

Full title: The efficacy and safety of two topical antiseptic solutions for skin disinfection prior to percutaneous central venous catheter insertion in preterm neonates: a feasibility study

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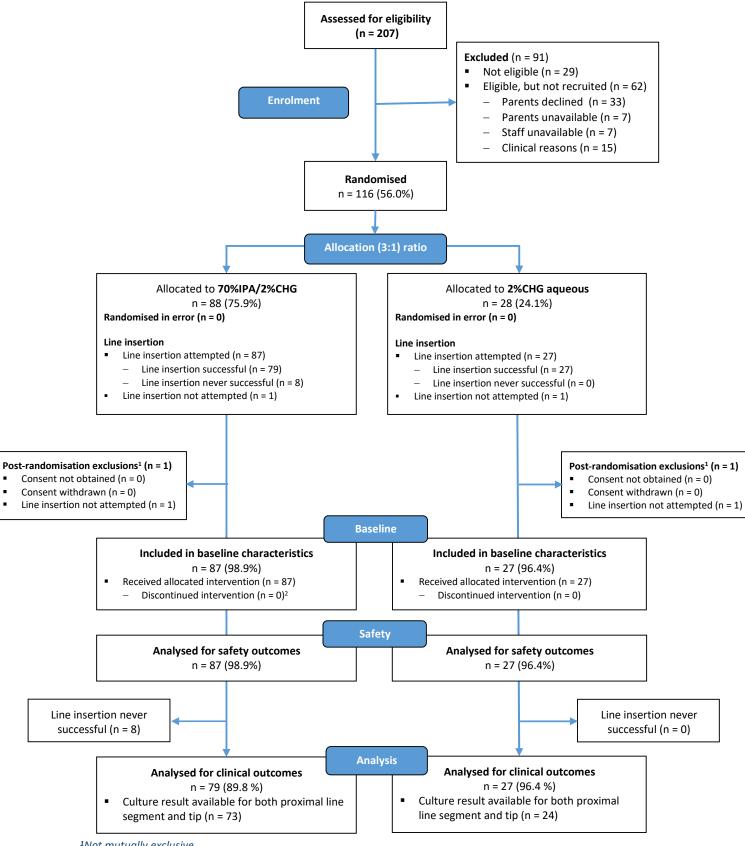
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Figure 1: Flow of participants



¹Not mutually exclusive.

²A discontinuation form was completed in error for infant 10156 who was transferred to another hospital with line in situ following application of the randomised allocation. This infant has therefore not been reported as a discontinuation. More information is in K:\NPEUDATA\Current trials\ARCTIC\Statistics - Restricted\Analysis\Final\2019-10-15\Data Queries\Incident - 10156

Table 1A: Infant characteristics at trial entry

(Minimisation factors highlighted)

	70%IPA/2%CHG	2%CHG aqueous
	(n = 87)	(n = 27)
Centre, n (%)		
Norfolk	56 (64.4)	17 (63.0)
Medway	31 (35.6)	10 (37.0)
Missing	0	0
Male sex, n (%)	46 (52.9)	13 (48.1)
Missing	0	0
Infant's birthweight (g)		
Mean (SD)	1089.0 (340.5)	1074.5 (366.3)
Range	(508 to 2150)	(575 to 1900)
<500g	0	0
500 to 999g	39 (44.8)	15 (55.6)
1000 to 1499g	37 (42.5)	8 (29.6)
>1500g	11 (12.6)	4 (14.8)
Missing	0	O ,
Gestational age (completed weeks) ¹		
Median (IQR)	28 (26 to 30)	28 (26 to 30)
Range	(23 to 32)	(23 to 33)
<26 ⁺⁰	20 (23.0)	5 (18.5)
26 ⁺¹ to 27 ⁺⁶	19 (21.8)	7 (25.9)
28 ⁺⁰ to 33 ⁺⁶	48 (55.2)	15 (55.6)
Missing	0	0
One of a multiple pregnancy, n (%)	16 (18.4)	9 (33.3)
Missing	0	0
Mode of delivery, n (%)		0
Vaginal	29 (33.3)	7 (25.9)
Caesarean	58 (66.7)	20 (74.1)
Missing	0	0
Membranes ruptured prior to labour, n (%)	35 (41.7)	9 (36.0)
> 24 hours before delivery		, ,
≤ 24 hours before delivery	20 (57.1)	6 (66.7)
•	15 (42.9) 0	3 (33.3)
Unknown		_
Missing	3	2
Apgar score at 5 minutes Median (IQR)	8 (7 to 9)	8 (6 to 9)
< 4	2 (2.4)	2 (7.7)
Missing	2 (2.4)	1
First recorded temperature on admission to the	36.8 (0.7)	36.8 (0.9)
neonatal unit after birth, mean (SD)	00.0 (0.7)	33.5 (3.3)
Missing	0	0
Infant ventilated via an endotracheal tube at the time	34 (39.1)	13 (50.0)
of randomisation, n (%)	, ,	, ,
Missing	0	1
Infant born in recruiting hospital, n (%)	68 (78.2)	19 (70.4)
Missing	0	0
Any surgical procedure prior to randomisation, n (%)	6 (6.9)	1 (3.7)
Missing	0	0

	70%IPA/2%CHG (n = 87)	2%CHG aqueous (n = 27)
Prophylactic antifungal medication at the time of	27 (31.0)	9 (33.3)
randomisation, n (%)		, ,
Missing	0	0
Infant received antibiotics prior to randomisation, n (%)	78 (98.7)	26 (96.3)
Missing	8	0
Devices in situ at time of PCVC insertion, n (%)		
Chest drain	1 (1.3)	0 (0.0)
Endotracheal tube	28 (35.4)	12 (44.4)
Peripheral arterial line	2 (2.5)	2 (7.4)
Peripheral venous cannula	70 (88.6)	18 (66.7)
UAC (umbilical arterial catheter)	24 (30.4)	11 (40.7)
UVC (umbilical venous catheter)	43 (54.4)	14 (51.9)
Other	2 (2.5)	0 (0.0)
Missing	8	0

Table 1B: Maternal characteristics at trial entry

	70%IPA/2%CHG (n = 87)	2%CHG aqueous (n = 27)
Mother's age (years), mean (SD)	29.7 (6.0)	29.4 (5.7)
Missing	0	0
Any antenatal corticosteroids, n (%)	80 (92.0)	24 (88.9)
Missing	0	0
Received antibiotics within the week before delivery, n	27 (31.0)	8 (29.6)
(%)		
Missing	0	0
Pyrexial in labour (temperature > 38.0 °C), n (%)	4 (4.8)	0 (0.0)
Missing	3	0
Chorioamnionitis suspected clinically before delivery, n (%)	7 (8.0)	1 (3.7)
Missing	0	0

Table 2: Primary outcome

Proportion of babies with catheter colonisation as determined by positive bacterial culture (significant growth) from one or both of the catheter segments taken at catheter removal. The primary outcome is the proportion in the 70%IPA/2%CHG arm.

	70%IPA/2%CHG (n = 79)
Catheter colonisation as determined by positive	
bacterial culture from at least one of the two catheter	3 (4.1)
segments taken at catheter removal, n (%)	
Positive tip alone	1
Positive proximal segment alone	2
Both tip and proximal segment positive	0
Missing	6
Proportion of babies with catheter colonisation (95%	4.1 (0.9, 11.5)
confidence interval)	

Table 3A: Feasibility metrics

Rates of recruitment and retention to the study.

	Total eligible ¹ (n = 178)
Uptake rate	
Number of eligible infants randomised, n (%)	116 (65.2)
Proportion (95% CI)	65.2 (57.7, 72.1)

	70%IPA/2%CHG (n = 88)	2%CHG aqueous (n = 28)	All (n = 116)
Retention ² Number of infants who remained in the study, n (%)	73 (83.0)	24 (85.7)	97 (83.6)
Proportion (95% CI)	83.0 (73.4, 90.1)	85.7 (67.3, 96.0)	85.1 (77.2, 91.1)

¹Eligible infants are defined as those who were recruited or not recruited (including those who declined to participate) but who were clinically eligible.

Table 3B: Completeness of data

	Total ³ (n = 106)
Infants with no missing data collection forms, n (%)	104 (98.1)
Overall form completeness for required forms, n (%)	
Form 1: Trial Entry and Randomisation Form	106 (100.0)
Form 2: Main Outcome Data Form	106 (100.0)
Form 4: PCVC Removal Form	104 (98.1) ⁴
Form 5: Microbiology Data Form	106 (100.0)
Form 8: End of Study	106 (100.0)

³Analysed for clinical outcomes – infants who had a successfully inserted catheter and received the intervention

²Proportion of infants that remained in the study to provide complete primary outcome and safety data

⁴Two participants did not complete the study as they were transferred, and so PCVC Removal Forms were not required.

Table 4A: Bacterial species (microbiology lab culture result)

Bacterial species (microbiology lab culture result) identified on positive blood culture, exit site skin swab (before and after disinfection), and catheter segments (proximal and tip) for infants with at least one positive lab culture result. Coagulase negative staphylococcus species is provided for cases where species was reported.

				Blood cult	ures	Exit site skin swab		Catheter segment		
Study no.	Allocation	Sample taken?	Closest to PCVC removal (days)	Blood culture results			Before disinfection	After disinfection	Proximal	Tip
10031	70%IPA/2%CHG	Yes	6.2 pre	No growth	No growth	-	Coag-neg – Staph-capitis	No growth	No growth	No growth
10048	70%IPA/2%CHG	No	-	N/A	N/A	N/A	No growth	No growth	Coag-neg - Staph-capitis	No growth
10103	70%IPA/2%CHG	Yes	0.3 pre	Other - Mixed CoNS (not specified)	No growth	-	No growth	No growth	No growth	No growth
10111	70%IPA/2%CHG	No	-	N/A	N/A	N/A	Coag-neg – Staph- haemolyticus	No growth	No growth	No growth
10195	70%IPA/2%CHG	Yes	0.0 post	Coag-neg – Staph- epidermidis	Coag-neg – Staph-capitis	-	No growth	No growth	No growth	No growth
10225	70%IPA/2%CHG	Yes	1.8 post	No growth	-	-	Coag-neg – Staph-capitis	No growth	No growth	No growth
10278	70%IPA/2%CHG	Yes	0.9 post	No growth	-	-	No growth	Coag-neg – Staph-capitis	No growth	No growth
10284	70%IPA/2%CHG	No	-	N/A	N/A	N/A	Coag-neg Staph- epidermidis	No growth	No growth	No growth
10307	70%IPA/2%CHG	No	-	N/A	N/A	N/A	Mixed coag, neg staph (not specified)	No growth	No growth	No growth
10321	70%IPA/2%CHG	Yes	1.3 post	Coag-neg – Staph (not specified)	-	-	No growth	No growth	No growth	No growth
10466	70%IPA/2%CHG	Yes	0.0 post	No growth	Coag-neg – Staph-capitis	-	No growth	No growth	No growth	No growth

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				Blood cult	ures		Exit site s	skin swab	Catheter segment	
Study no.	Allocation	Sample taken?	Closest to PCVC removal (days)	ВІ	Blood culture results			After disinfection	Proximal	Tip
10539	70%IPA/2%CHG	No	-	N/A	N/A	N/A	Coag-neg – Staph-capitis	No growth	No growth	Coag-neg – Staph-capitis
10609	70%IPA/2%CHG	Yes	1.4 post	No growth	Coag-neg – Staph- haemolyticus	-	No growth	No growth	No growth	No growth
10650	70%IPA/2%CHG	Yes	0.0 post	Coag-neg – Staph- haemolyticus & Staph- epidermidis	No growth	-	Coag-neg - staph capitis	No growth	No growth	No growth
10686	70%IPA/2%CHG	Yes	1.7 post	Coag-neg – Staph-capitis	Coag-neg – Staph-capitis	No growth	No growth	No growth	Coag-neg – Staph-capitis	No growth
10789	70%IPA/2%CHG	Yes	6.1 pre	No growth	Coag-neg – Staph-capitis	-	Coag-neg – Staph-capitis	No growth	No growth	No growth
10897	70%IPA/2%CHG	Yes	0.2 pre	Coag-neg – Staph- haemolyticus	No growth	Coag-neg – Staph-capitis	No growth	No growth	No growth	No growth
10917	70%IPA/2%CHG	Yes	5.8 pre	Coag-neg – Staph- haemolyticus	Coag-neg – Staph- epidermidis	No growth	No growth	No growth	No growth	No growth
10955	70%IPA/2%CHG	No	-	N/A	N/A	N/A	Coag-neg – Staph-warneri	No growth	No growth	No growth
10963	70%IPA/2%CHG	Yes	0.2 pre	No growth	Coag-neg – Staph-capitis	No growth	No growth	No growth	No growth	No growth
11012	70%IPA/2%CHG	No	-	N/A	N/A	N/A	Other – 1. Staph- capitis; 2. Staph-aureus	No growth	No growth	No growth
11064	70%IPA/2%CHG	Yes	-	Coag-neg – Not specified	-	-	missing ¹	missing	missing	No growth
11118	70%IPA/2%CHG	No	-	N/A	N/A	N/A	Coag-neg – Staph- haemolyticus	No growth	No growth	No growth

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				Blood cult	ures	Exit site s	skin swab	Catheter segment		
Study no.	Allocation	Sample taken?	Closest to PCVC removal (days)	BI	ood culture resu	lts	Before disinfection	After disinfection	Proximal	Tip
10060	2%CHG aqueous	Yes	1.7 post	No growth	-	-	Coag-neg – Staph- haemolyticus	No growth	No growth	No growth
10168	2%CHG aqueous	Yes	0.0 post	Coag-neg – Staph-warneri	No growth	-	No growth	No growth	No growth	No growth
10243	2%CHG aqueous	Yes	0.3 pre	No growth	Coag-neg – Staph-warneri	-	No growth	No growth	No growth	Coag-neg – Staph-warneri
10290	2%CHG aqueous	No	-	N/A	N/A	N/A	Coag-neg – Staph- haemolyticus ²	No growth	No growth	No growth
10522	2%CHG aqueous	No	-	N/A	N/A	N/A	Coag-neg – Staph-capitis	Coag-neg – Staph-capitis	No growth	No growth
10662	2%CHG aqueous	Yes	4.0 pre	Coag-neg – Staph-capitis	No growth	-	No growth	No growth	No growth	No growth
10944	2%CHG aqueous	Yes	0.5 post	Coag-neg – 1. Staph- epidermidis; 2. Staph-capitis.	No growth	-	No growth	missing³	No growth	No growth
10981	2%CHG aqueous	No	-	N/A	N/A	N/A	Coag-neg – Staph- epidermidis	No growth	Coag-neg – Staph- epidermidis	Coag-neg – Staph- epidermidis

Coag-neg – Coagulase-negative staphylococcus

Staph-aureus – Staphylococcus aureus

Staph-capitas – Staphylococcal capitis

Staph-epidermis – Staphylococcus epidermis

Staph-haemolyticus – Staphylococcus haemolyticus

Staph-warneri – Staphylococcus warneri

Mixed CoNS – Mixed coagulase-negative staphylococci

N/A – not applicable because no blood cultures taken between catheter insertion and 48 hours post removal

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¹ Infant was transferred to a non-participating site where their line was removed

² Detail of species was not captured in database, but was found post-data lock. More information is in K:\NPEUDATA\Current trials\ARCTIC\Statistics - Restricted\Analysis\Final\2019-10-15\Data Queries\Incident - 10290

³ Sample not obtained

Table 4B: Bacterial species typed via molecular methods (for relevant paired isolates)

		Blood cultures				Exit site skin swab		Catheter segment		
Study no.	Allocation	Sample taken?	Closest to PCVC removal (days)	Blood culture results			Before disinfection	After disinfection	Proximal	Tip
Catheter-related sepsis cases (n = 2)										
10243	2%CHG aqueous	Yes	0.3 pre	-	Coag-neg – Staph-warneri	-	N/A	N/A	N/A	Coag-neg – Staph-warneri
10686	70%IPA/2%CHG	Yes	1.7 post	Isolate not retained	Coag-neg – Staph-capitis	N/A	N/A	N/A	Coag-neg – Staph-capitis	N/A
Cathete	r-associated sepsis	cases with	positive exit	site skin swab	(n = 2)					
10650	70%IPA/2%CHG	Yes	0.0 post	Isolates not retained	N/A	-	Other – Pantoea ananatis	N/A	N/A	N/A
10789	70%IPA/2%CHG	Yes	6.1 pre	N/A	Isolate not retained	1	Coag-neg – Staph- epidermidis	N/A	N/A	N/A

Coag-neg – Coagulase-negative staphylococcus

Staph-aureus – Staphylococcus aureus

Staph-capitas – Staphylococcal capitis

Staph-epidermis – Staphylococcus epidermis

Staph-haemolyticus – Staphylococcus haemolyticus

Staph-warneri – Staphylococcus warneri

N/A – not applicable because no relevant isolate to sequence

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Table 5: Secondary outcomes: bacteriology and sepsis

	70%IPA/2%CHG (n = 79)	2%CHG aqueous (n = 27)	All (n = 106)
Positive exit-site skin swab at catheter removal (before disinfection), n (%)	11 (15.1)	4 (16.7)	15 (15.5)
Missing	6	3	9
Positive exit site skin swab at catheter removal (after disinfection), n (%)	1 (1.4)	1 (4.3)	2 (2.1)
Missing	7	4	11
Culture positive catheter segments at removal, n (%)	3 (4.1)	2 (8.3)	5 (5.2)
Positive tip alone	1 (1.3)	1 (3.7)	2 (1.9)
Positive proximal segment alone	2 (2.5)	0	2 (1.9)
Both tip and proximal segment positive	0	1 (4.2)	1 (1.0)
Missing	6	3	9
Definite catheter-related sepsis, n (%)	1 (1.5)	1 (4.5)	2 (2.3)
Missing	13	5	18
Catheter-associated sepsis, n (%)	10 (13.7)	3 (12.5)	13 (13.4)
Missing	6	3	9
Total number of PCVC days	653	223	876
Definite catheter-related sepsis, n (rate per 1000 PCVC days)	1 (1.5)	1 (4.5)	2 (2.3)
Catheter-associated sepsis, n (rate per 1000 PCVC days)	10 (15.3)	3 (13.5)	13 (14.8)

Table 6: Process outcomes and adherence

	70%IPA/2%CHG (n = 87)	2%CHG aqueous (n = 27)
Number of anatomical sites with at least one failed PCVC	, ,	, ,
insertion		
Median (IQR)	0 (0 to 1)	1 (0 to 2)
Range	(0 to 7)	(0 to 4)
1	18 (20.7)	6 (22.2)
2	10 (11.5)	6 (22.2)
3	1 (1.1)	0
4	1 (1.1)	2 (7.4)
Adherence to intervention		
Successful catheterisation (N)	79	27
Insertion done observing strict aseptic technique and in	79 (100.0)	27 (100.0)
accordance with Working Document "Standardised guideline		
for catheter insertion utilising good catheter insertion and		
care practices", n (%)		
Insertion site disinfected with the allocated study antiseptic	79 (100.0)	27 (100.0)
prior to successful PCVC insertion, n (%)		
Baseline skin condition is recorded to describe the PCVC	79 (100.0)	27 (100.0)
insertion site appearance prior to successful PCVC insertion, n		
(%)		
Allocated study antiseptic used to clean the skin before PCVC	79 (100.0)	27 (100.0)
insertion applied sparingly and for 10 to 20 seconds, n (%)		
Allocated study antiseptic allowed to dry for at least 30	79 (100.0)	27 (100.0)
seconds prior to the successful PCVC insertion, n (%)		
Following skin disinfection preceding the successful PCVC	76 (96.2)	26 (96.3)
insertion, no other solution was used to wipe off the		
antiseptic from the skin ¹ , n (%)		
Exit site disinfected after first skin swab taken but before	75 (96.2)	24 (92.3)
PCVC removal ² , n (%)		_
Missing	1	1

¹ Two infants in the 70%IPA/2%CHG arm and one in the 2%CHG arm who did have another solution had sterile water used.

²Three infants in the 70%IPA/2%CHG arm and one in the 2%CHG arm who didn't have their exit site disinfected at this time had their line removed at a non-participating site. For one infant in the 2%CHG arm who didn't, the allocated solution could not be located.

Table 7: Safety outcomes

Daily skin morbidity scores in the period between catheter insertion and 48 hours post-catheter removal, and in the period between antiseptic application and 48 hours post antiseptic application where catheterisation was unsuccessful.

Skin morbidity scores	70%IPA/2%CHG	2%CHG aqueous
	(n = 87)	(n = 27)
Worst score for skin dryness throughout safety		
monitoring period		
Median (IQR)	1 (1 to 1)	1 (1 to 1)
Range	(1 to 2)	(1 to 2)
1	80 (92.0)	26 (96.3)
2	7 (8.0)	1 (3.7)
3	0	0
Worst score for erythema throughout safety		
monitoring period		
Median (IQR)	1 (1 to 1)	1 (1 to 1)
Range	(1 to 2)	(1 to 2)
1	72 (82.8)	22 (81.5)
2	15 (17.2)	5 (18.5)
3	0	0
Worst score for breakdown/excoriation		
throughout safety monitoring period		
Median (IQR)	1 (1 to 1)	1 (1 to 1)
Range	(1 to 2)	(1 to 2)
1	82 (94.3)	25 (92.6)
2	5 (5.7)	2 (7.4)
3	0	0
Worst score for totals of all three scores		
throughout safety monitoring period		
Median (IQR)	3 (3 to 4)	3 (3 to 4)
Range	(3 to 5)	(3 to 5)
3	65 (74.7)	20 (74.1)
4	20 (23.0)	6 (22.2)
5	2 (2.3)	1 (3.7)
6	0	0

Skin scores are graded as follows:

Dryness

1 = Normal, no sign of dry skin

2 = Dry skin, visible scaling

3 = Very dry skin, cracking/fissures

Erythema

1 = No evidence of erythema

2 = Visible erythema <50% of skin

area exposed to antiseptic

3 = Visible erythema ≥50% of skin area exposed to antiseptic

Breakdown/excoriation

1 = None evident

2 = Small localised areas

3 = Extensive

Table 8: Supplementary information

	70%IPA/2%CHG (n = 79)	2%CHG aqueous (n = 27)	Overall (n = 106)
Postnatal age (days) at line insertion, median (IQR)	5.0 (2.0 to 7.0)	4.0 (2.0 to 8.0)	5.0 (2.0 to 7.0)
Range (min to max)	(0 to 46)	(1 to 19)	(0 to 46)
Catheter inserted in ≤ 3 days after birth, n (%)	31 (39.2)	11 (40.7)	42 (39.6)
Anatomical site of long line insertion			
Upper limb (inc. axilla)	46 (58.2)	15 (55.6)	61 (57.5)
Lower limb	32 (40.5)	11 (40.7)	43 (40.6)
Scalp	1 (1.3)	1 (3.7)	2 (1.9)
At least one blood culture sent while line was in situ, n (%)	25 (33.3)	8 (32.0)	33 (33.0)
Missing	4	2	6
Time (days) to first positive blood culture during line indwell in infants that had bloodstream infection, N	11	4	15
Median (IQR)	7.0 (5.0 to 10.0)	5.5 (5.0 to 9.5)	6.0 (5.0 to 10.0)
Line removal details completed, N	78	26	104
Postnatal age (days) at line removal, median (IQR)	13.0 (10.0 to 19.0)	15.0 (11.0 to 21.0)	14.0 (10.0 to 20.0)
Range (min to max)	(3 to 57)	(3 to 29)	(3 to 57)
Duration of line indwelling, median (IQR)	9.0 (6.0 to 12.0)	9.5 (7.0 to 12.0)	9.0 (6.0 to 12.0)
Range (min to max)	(1 to 32)	(2 to 20)	(1 to 32)
Reason for removal, n (%) (non-exclusive)			
No longer needed	68 (87.2)	20 (76.9)	88 (84.6)
Suspected sepsis	5 (6.4)	3 (11.5)	8 (7.7)
Confirmed sepsis	3 (3.8)	0 (0.0)	3 (2.9)
Damaged	0 (0.0)	1 (3.8)	1 (1.0)
Unintended removal	1 (1.3)	0 (0.0)	1 (1.0)
Blocked	2 (2.6)	1 (3.8)	3 (2.9)
Malposition confirmed by x-ray	4 (5.1)	1 (3.8)	5 (4.8)
Other complications	1 (1.3)	1 (3.8)	2 (1.9)
Missing	1	1	2
Blood culture sent at time of PCVC removal in those with suspected or confirmed sepsis, N	8	3	11
n (%)	5 (62.5)	3 (100.0)	8 (72.7)
Antibiotics received on day of PCVC removal, n (%)	15 (19.2)	6 (23.1)	21 (20.2)
At least one dose prior to removal, n (%)	11 (78.6)	4 (66.7)	15 (75.0)
Missing	1	0	1
Within 7 days before removal, n (%)	10 (100.0)	4 (100.0)	14 (100.0)
Missing	1	0	1

Document history

Version	Dates	Edited by	Comments
0.1	22/10/2019	JB	First draft of final analysis
0.2	25/10/2019	JB	Second draft following manual checks
0.3	6/11/2019	JB	Revised figure 1, and other minor changes, following review by LL
0.4	7/11/2019	JB	Further revisions to figure 1
0.5	3/1/2020	JB	Further revisions and additional supplementary information following review and request by PC
0.6	8/1/2020	JB	Additional details on species and timing of blood culture samples in tables 4a and 4.
0.7	9/1/2020	JB	Edits to figure 1 (removing incorrectly entered discontinuation), tables 4a and 4b (additional detail), and change to title of table 5.
0.8	10/1/2020	JB	Footnote added explaining additional data on infant 10290 in table 4a. Clarification to second footnote on table 6.
0.9	20/1/2020	JB	Minor changes following joint TSC/DMC meeting: Blood cultures in tables 4a & 4b in chronological order, overall total column added to table 5, ranges added to tables 1a and 8, rows with zero missings removed, typos corrected.
0.10	21/1/2020	JB	Added Catheter inserted in ≤ 3 days after birth to table 8
0.11	28/1/2020	JB	Added details of incidents in footnotes (figure 1, table 4a)