¹ Evaluating the association of *TRPA1* gene

- ² polymorphisms with pain sensitivity: A
- ³ protocol for an adaptive recall by

⁴ genotype study

- 5 Aidan P. Nickerson^{1,2,3}, Laura Corbin^{4,5}, Nic Timpson^{4,5}, Keith Phillips³, Anthony E Pickering^{1,2} and
- 6 James P. Dunham^{1,2*}.
- 7 December 2020
- 8 * Corresponding Author
- 9 Affiliations
- 10 1. School of Physiology, Pharmacology & Neuroscience, University of Bristol, BS8 1TD, UK
- 12 2. Anaesthesia, Pain and Critical Care Sciences, University of Bristol, UK.
- 12 3. Eli Lilly and Company, 8 Arlington Square West, RG12 1WA, UK.
- 13 4. MRC Integrative Epidemiology Unit at University of Bristol, Bristol, BS8 2BN, UK.
- Population Health Sciences, Bristol Medical School, University of Bristol, Bristol, BS8 2BN,
 UK.
- 16

17 Abstract

- 18 **Background:** Pain is a complex polygenic trait whose common genetic underpinnings are relatively
- 19 ill-defined due in part to challenges in measuring pain as a phenotype. Pain sensitivity can be
- 20 quantified, but this is difficult to perform at the scale required for genome wide association studies
- 21 (GWAS). Existing GWAS of pain have identified surprisingly few loci involved in nociceptor function
- 22 which contrasts strongly with rare monogenic pain states. This suggests a lack of resolution with
- 23 current techniques. We propose an adaptive methodology within a recall-by-genotype (RbG)
- 24 framework using detailed phenotyping to screen minor alleles in a candidate 'nociceptor' gene in an
- 25 attempt to estimate their genetic contribution to pain.
- 26 Methods/Design: Participants of the Avon Longitudinal Study of Parents and Children will be
- 27 recalled on the basis of genotype at five common non-synonomous SNPs in the 'nociceptor' gene
- transient receptor potential ankylin 1 (*TRPA1*). Those homozygous for the common alleles at each of
- 29 the five SNPs will represent a control group. Individuals homozygous for the minor alleles will then
- 30 be recruited in a series of three sequential test groups. The outcome of a pre-planned early
- 31 assessment (interim) of the current test group will determine whether to continue recruitment or
- 32 switch to the next test group. Pain sensitivity will be assessed using quantitative sensory testing
- 33 (QST) before and after topical application of 10% cinnamaldehyde (a TRPA1 agonist).
- 34 **Discussion:** The design of this adaptive RbG study offers efficiency in the assessment of associations
- between genetic variation at *TRPA1* and detailed pain phenotypes. The possibility to change the test
- 36 group in response to preliminary data increases the likelihood to observe smaller effect sizes relative
- to a conventional multi-armed design, as well as reducing futile testing of participants where an
- effect is unlikely to be observed. This specific adaptive RbG design aims to uncover the influence of
- 39 common *TRPA1* variants on pain sensation but can be applied to any hypothesis-led genotype study
- 40 where costly and time intensive investigation is required and / or where there is large uncertainty
- 41 around the expected effect size.
- 42 Keywords: ALSPAC, Recall by Genotype, Adaptive Design, Pain, Quantitative Sensory Testing, TRPA1.

43

44 Introduction

- 45 Pain is a cognitive motivational state whose function is to minimise the risk of injury and to aid
- 46 healing and recovery. There is a large variation across the population in pain experience as well as
- 47 apparent susceptibility and twin studies have suggested that the genetic heritability may be
- 48 moderate (35-50%) (1). There are a number of examples of rare, highly penetrant single nucleotide
- 49 polymorphism (SNPs) modulating pain sensitivity, including in transient receptor potential ankyrin 1
- 50 (*TRPA1*) (2, 3). However, the genetic contribution to most acute and persistent pain is likely
- 51 comprised of the cumulative effect of many SNPs with small effects (4).
- 52 The most common approach to understanding the association of individual SNPs in polygenic traits is
- to perform a genome wide association study (GWAS). However GWAS have more power if there is a
- 54 well-defined, relatively homogeneous phenotype with which to search for genetic associations
- across individuals. The more heterogeneous the phenotype, the lower the probability of identifying
- 56 meaningful associations. Pain is a complex biological, psychological and social phenomenon (5)
- 57 where multiple pain mechanisms can be in play to differing extents at any one time. This results in
- 58 an intrinsically heterogeneous phenotype even within clinically defined patient populations. This
- 59 heterogeneity within pain phenotypes then requires a very large cohort for SNP effects to be
- 60 observed in a GWAS which, due to practicality, limits the assessment of individuals to phenotyping
- tools that are often questionnaire-based, reliant on recall and therefore lack mechanistic specificity
 and are subject to report bias. A recent large-scale GWAS of multisite chronic pain conducted in the
- 63 UK Biobank identified 76 independent genome-wide significant SNPs and estimated SNP heritability
- 64 to be 10% (6). This GWAS revealed similarities in the genetic profile of pain to common comorbid
- 65 mental health conditions like major depressive disorder and generalised anxiety disorder. However,
- 66 none of the associated SNPs were specific to the pain transduction pathway (including *TRPA1*) likely
- 67 due to a lack of mechanistic sensitivity of the questionnaire approach.
- 68 Quantitative sensory testing (QST) uses controlled and reproducible stimuli to evoke a percept,
- 69 which is measured using standardized language and pain scales. This approach enables
- 70 quantification of an individual's pain perception with more mechanistic precision than simple pain
- 71 scores. The German Research Network on Neuropathic Pain (DFNS) have produced a comprehensive
- 72 protocol and corresponding reference values which is an accepted standard in the field (7). This
- 73 protocol has been used to identify defined patient sub-populations and predict efficacy of drugs (8,
- 9). Unfortunately, the cost and time required to test the number of participants required to perform
- a GWAS of pain sensitivity using QST makes such an approach challenging, although a study design
- has recently been proposed to test 1500-2000 healthy young subjects (10).
- 77 Where there is a strong hypothesis for a candidate gene to alter function, informed by knowledge of 78 biological mechanisms, a recall-by-genotype (RbG) study can be used. In this design, individuals with 79 known variations in candidate genes are recalled for targeted detailed phenotyping. This selective 80 recruitment reduces genetic variability of the cohort within genes expected to be involved in the 81 trait of interest and allows more robust phenotyping with lower measurement error, therefore 82 increasing the power of the study to detect a difference (as compared to random sampling from the 83 population), allowing the study to be conducted on a smaller cohort than would otherwise be 84 required. Given random allocation of alleles at conception, the RbG design generates study groups in 85 which confounding factors are on average equal enabling a potentially informative assessment of
- 86 genotypic association (11). There is a wealth of evidence from studies of fundamental pain

neurobiology that can be used to inform mechanistic candidate gene investigations (12), such as the
gene families of transducer proteins involved in sensing threatening stimuli.

89 We have chosen to focus on one candidate gene of interest in acute and chronic pain, TRPA1. This 90 nociceptor transducer protein is a cation channel that is activated by thermal, mechanical and 91 chemical stimuli including mustard oil and cinnamaldehyde (13). Additionally, TRPA1 is upregulated 92 in response to inflammation (3) which is a precursor to chronic pain (14). TRPA1 also plays a pivotal 93 role in reactive airway diseases such as asthma (15, 16). Based on data in dbSNP (17), we selected 94 common TRPA1 SNPs (minor allele frequency (MAF) > 1%) which represent nonsynonymous 95 mutations (i.e. involve an amino acid change within the TRPA1 protein). A review of the literature 96 has suggested that these SNPs associate with altered channel function (see Table 1). Given the MAF 97 range of the selected SNPs, the implementation of a RbG study in the Avon Longitudinal Study of 98 Parents and Children (ALSPAC, genetic data on ~8,000 young adult participants) (18) represents a 99 feasible and efficient study design.

100 Adaptive study designs are commonly used in clinical trials to optimise the number of participants

- recruited to trial arms (for a review see (19)). Adaptive trial designs are commended within the
 Initiative on Methods, Measurement, and Pain Assessment in Clinical Trials (IMMPACT) guidelines
- (20). A typical adaptive design utilises interim analysis performed by an independent data
- (20). A typical adaptive design utilises interim analysis performed by an independent data
 monitoring committee after a pre-specified number of individuals are recruited. The committee can
- 105 be unblinded to the treatment group allocation to enable evaluation of the probability of success –
- 106 either futility or efficacy, balanced against any associated toxicity findings. At interim assessment,
- 107 the committee can choose to adapt the study which can mean: study termination, sample size
- adjustments, altered recruitment strategy or even change to the primary endpoints (for a review see
- 109 Pallmann, Bedding (21), Bauer and Brannath (22)).
- 110 When applied in the context of a RbG study, an adaptive design should increase the likelihood of
- 111 observing smaller SNP effects on phenotype, prevent unnecessary testing in the case of futility and
- enable screening of multiple alleles by altering the recruitment strategy early if futility is
- demonstrated. Due to its prevalence in clinical drug trials the statistical implications of interim
- assessment have been well studied (23). To the best of our knowledge, the proposed adaptive RbG
- study design is a novel methodology that offers a number of advantages and is potentially
- 116 generalisable to many other settings and study questions.
- 117

118 Study Design

119This study aims to investigate the association of common variants of *TRPA1* with altered pain120sensitivity within the Avon Longitudinal Study of Parents and Children (ALSPAC) cohort who are a121regionally representative cross-sectional population aged around 30 years (with a correspondingly122relatively low incidence of chronic pain). Five *TRPA1* SNPs known to introduce missense mutations123and with minor allele frequencies of >1% hypothesized to impact TRPA1 function (see Table 1) will124be investigated. The effect of these five SNPs will be assessed in three groups due to the high linkage125disequilibrium between two pairs of minor alleles. QST results from the individuals in these three

- test groups will be compared to those of a reference group who are homozygous for the major
- 127 (ancestral) allele at all five SNPs. The results will be subject to planned interim assessments for
- 128 futility to alter recruitment if there is low probability of success of detecting a phenotype for a given
- allele until a maximum of 100 participants have been assessed.

- 130 Heat pain threshold is the primary outcome in this study as in both healthy volunteers and animal
- models TRPA1 is involved in determining heat pain sensitivity, particularly in the sensitised state (24-
- 132 27).

133 Ethical considerations and informed consent

134 The study was presented to the ALSPAC Original Cohort Advisory Panel (OCAP). Ethical approval for 135 the original study was obtained from the ALSPAC Ethics and Law Committee and the Local Research

- 136 Ethics Committees. Informed consent for the use of data collected via questionnaires and clinics was
- 137 obtained from participants following the recommendations of the ALSPAC Ethics and Law Committee
- at the time. The proposal number was B3236 and the approval number is 94082. All subjects will
- provide written informed consent and will be reimbursed for their time and travel costs. This study is
- 140 sponsored by the University of Bristol.

141 Participant recruitment

- 142 ALSPAC is a transgenerational prospective birth cohort that began with the recruitment of 14,541
- pregnant women resident in Avon, UK with expected dates of delivery 1st April 1991 to 31st
- 144 December 1992. Since then, the health and development of mothers and their children has been
- 145 followed across the life-course. When the oldest children were approximately 7 years of age, an
- 146 attempt was made to bolster the initial sample with eligible cases who had failed to join the study
- 147 originally. As a result, the total sample size for analyses using any data collected after the age of
- seven is therefore 15,454 pregnancies, resulting in 15,589 foetuses. Of these 14,901 were alive at 1
- 149 year of age (18, 28, 29). Please note that the study website contains details of all the data that is
- available through a fully searchable data dictionary and variable search tool:
- 151 http://www.bristol.ac.uk/alspac/researchers/our-data/
- 152 For this study, members of the original ALSPAC cohort (individuals born between 1990 and 1992)
- 153 will be selected for an invite based on their genotype at the five SNPs using previously acquired
- 154 genetic data. Individuals with the required genotypes will be identified using genome-wide data, as
- imputed to the Haplotype Reference Consortium (v1.1) reference panel (30). Only individuals whose
- genotypes at the five SNPs were imputed with a probability of >0.99 were eligible for invite. The
- 157 SNPs of interest were rs7819749, rs959976 with rs920829, rs16937976 with rs13268757 (dbSNP
- build 154, GRCh38.p12(17)) and a control group of individuals homozygous for all five major alleles
- 159 (see Table 1 for details). Investigators and participants will remain blind to genotype throughout the
- 160 recruitment and data collection phases of the study.
- 161 Invitations will be sent to selected ALSPAC participants, together with a participant information
- sheet and reply slip. All participants who volunteer to take part will undergo telephone screening
- 163 with exclusions applied based on the following criteria:
- Neurological disorders including peripheral neuropathy
 Regular use of analgesics
 Any pain medication taken within 24 hours of QST
- 167 Pregnancy
- 168 Acute or Chronic pain conditions
- 169 Severe anxiety/depression
- Allergy to cinnamon, mustard, alcohol / chlorhexidine wipes, latex.
- Use of non-prescribed or recreational drugs (assessed by questionnaire).
- 172

173 Data collection

174 *Quantitative Sensory Testing*

175 The participants will be assessed using quantitative sensory testing (QST, see protocol in figure 1)

before and after sensitisation by topical application of 10% cinnamaldehyde (a known activator of

177 TRPA1). QST paradigms are based upon the DFNS protocol (7), streamlined in line with the primary

178 hypothesis to omit some non-nociceptive assessments.

179 Thresholds for heat and cold detection and pain will be tested using a thermode (Medoc TSA-II,

180 Medoc, Israel, or similar) on the right volar forearm. The temperature of the thermode will change

181 at 1°C per second until the participant reports either detection of temperature change (cool and

182 warm detection threshold), or detection of pain (heat or cold pain threshold) via a mouse click. The

183 thermode then returns to a neutral temperature of 32°C. The first trial will be discarded as an

- acclimatisation and then followed by 3 experimental repeats.
- 185 Thresholds for innocuous mechanical stimuli will be assessed using calibrated von Frey filaments
- 186 (TouchTest; Stoelting, USA) via the method of levels. Mechanical pain thresholds, again via the
- 187 method of limits, and stimulus response curves will be assessed using calibrated punctate needle
- stimulators (PinPricks; MRC Systems, Germany). For the stimulus response curve participant
- numerical pain ratings from 0 (no pain) to 100 (worst imaginable pain), will be assessed 5 times with
- 190 7 filaments exerting forces from 8 to 512mN presented in a randomised manner. Dynamic
- 191 mechanical allodynia will be assessed with 5 standardised brush strokes (SenseLab; via MRC

192 Systems, Germany). Pressure pain sensitivity will be assessed with an algometer (Somedic, Sweden)

193 applied over the muscles of the right volar forearm. Skin perfusion imaging

- 194 Axonal flare in response to cinnamaldehyde will be measured using full-field laser perfusion imaging
- (FLPI) of the target area of skin before and 20 minutes after sensitization (31) (moorFLPI-2; Moor
- 196 Instruments). Full field laser perfusion imaging (also known as laser doppler perfusion imaging)
- 197 quantifies skin perfusion by detecting alterations in reflected laser light resulting from the
- 198 movement of blood under the skin. Activation of nociceptors in the skin produces a local flare
- 199 because of release of vasoactive substances which causes an increase in perfusion. This method has
- 200 previously been used to study the effects of TRPA1 activation by agonists such as cinnamaldehyde
- 201 (27) and also as a secondary end point in studies of TRPV1 antagonists (32).

202 Cinnamaldehyde application:

After baseline QST and skin perfusion imaging the skin will be sensitized by application of transcinnamaldehyde (Sigma Aldrich) 10% in ethanol (1ml) to a 4 x 4 cm area of the participant's volar forearm for 20 minutes using a dressing pad covered with an occlusive adhesive dressing. This

- 206 concentration of cinnamaldehyde (10%) is the lowest concentration known to reliably activate
- 207 nociceptors and elicit pain and flare. Lower concentrations predominantly evoke itch (33). The 20
- 208 minute duration is informed by prior publications measuring thermal thresholds and flare (24, 27,
- 34). Participants will then be asked to rate the cinnamaldehyde evoked pain from 0 (no pain) to 10
- (worst pain imaginable). They will then be asked to described any evoked sensations. The FLPI and
 QST will then be repeated. Study data will be collected and managed using REDCap electronic data
- capture tools hosted at the University of Bristol (35, 36). REDCap (Research Electronic Data Capture)
- 213 is a secure, web-based software platform designed to support data capture for research studies,
- 214 providing 1) an intuitive interface for validated data capture; 2) audit trails for tracking data
- 215 manipulation and export procedures; 3) automated export procedures for seamless data downloads
- to common statistical packages; and 4) procedures for data integration and interoperability with
- 217 external sources.

218

219 Interim Assessment Strategy

220 Interim assessments will be used to determine if the recruitment of the minor allele group should be

221 changed given the likelihood of observing a detectable effect (80% power, $\alpha \le 0.5$ using independent

two tailed t-test) at the end of the study (see Figure 2). To reduce the impact of performing interim

assessments, the criteria for adapting the study are stated *a priori* and an O'Brien-Fleming alpha

spending is used after each hypothesis test (37).

225 Individuals homozygous for the minor allele(s) and individuals from the control allele group will be

recruited until at least 15 members have been recruited to both groups (as determined by simulations see Figure 3). At this point, an interim analysis will be performed:

- If the interim analysis predicts >= 80% statistical power using an estimated effect size then a
 hypothesis test will be performed using O'Brien-Fleming alpha-spending criteria:
- If the null hypothesis cannot be rejected at this point then recruitment of this minor allele
 group along with the control group will continue to the end of the planned cohort.
- Else if the null hypothesis can be rejected then this minor allele group will stop. The study will continue with recruitment of the next planned minor allele group.

234 2) If the interim analysis predicts < 80% statistical power then recruitment of this minor allele
 235 group will stop and individuals from next minor allele group will be recruited. Recruitment will
 236 again be continued up to the pre-specified interim analysis (repeat analysis step (1))

- This process will be repeated iteratively until the full cohort has been assessed (N=100) as directedby the recruitment plan (see Figure 2).
- 239 The interim and final analyses will use data from the Control group as the comparator therefore, to
- 240 maintain equally sized groups at the end of the study and to maintain study group masking, the rate
- of recruitment into the Control group will reduce after each adaptation in minor allele group
- 242 recruitment. The changes in recruitment strategy will be directed by the interim analysis committee
- and implemented by an independent group within the ALSPAC participant recruitment team.
- 244 In the event of all minor alleles being underpowered for differences in heat pain threshold, as
- 245 determined by the interim assessments, additional endpoints derived from the secondary measures
- 246 (QST and flare) will inform the final group recruitment (see Figure 3 and Table 2 which illustrate the
- 247 adaptive design and its effects upon recruitment).
- 248 We will follow the applicable Food and Drug Administration (FDA) guidelines for adaptive trial design
- 249 (38). To maintain the integrity of the sampling frame through this experiment, the analysis script is
- 250 stated *a priori* and researchers involved in the data collection will not be aware of the outcomes of

any interim analysis nor changes in the recruitment strategy; and therefore remain blind to

252 genotype throughout.

253 Simulation

- 254 To determine the timing of the interim analysis, simulations of the study design were performed.
- 255 Hypothetical results were drawn equal to the number of participants (50) from separate normal
- distributions of fixed effect sizes ranging from *d*=0 (null) to *d*=1.6 were subject to an emulated
- 257 interim analysis after a varying number of participants had been 'recruited'. A t-test was performed
- 258 comparing the two sets and considered successful where a significance level $\alpha <=0.05$. This was
- 259 repeated 10,000 times for each combination to evaluate the effect of participant numbers on the
- 260 number of trials that would either have: (i) Been prematurely halted, where an effect would have

- 261 been observed had the trial completed (False Negative, type 2 error); or (ii) Been incorrectly
- 262 continued, where an effect was too small to be observed with confidence at the end of the study
- 263 (False Positive, type 1 error).

264 Data analysis

- 265 The hypothesis test at interim and final analysis will be performed using a two-sided independent t-
- 266 test. The type-1 error is controlled using the O'Brien-Fleming alpha spending method for the primary
- 267 outcome measure, the heat pain threshold. Other QST variables will only be analysed at the final
- analysis. All other comparisons between available groups will be treated as exploratory. The 268
- 269 genotype of the individuals will also be considered to qualify the association of SNP to any observed
- 270 effect.

271 Data access statement

- 272 Data collected as part of this study will be available on request to the ALSPAC executive committee 273 (alspac-exec@bristol.ac.uk). The ALSPAC data management plan (available here:
- 274 http://www.bristol.ac.uk/alspac/researchers/data-access/) describes in detail the policy regarding
- 275
- data sharing, which is through a system of managed open access. Code used both in the work
- 276 presented herein and in the statistical analysis itself will be made available from the corresponding
- 277 author on reasonable request.

Discussion 278

- 279 This study will utilise detailed sensory testing within a recall-by-genotype framework (11) to assess
- 280 variation in pain sensitivity in healthy young adults due to commonly occurring SNPs in TRPA1.
- 281 Importantly, this study advances the RbG approach via a novel pairing with an adaptive design using
- 282 interim assessments. Our primary rationale for this approach is for deep phenotypic screening of
- 283 minor allele carriers where it is difficult to estimate the effect size. By performing interim
- 284 assessment our approach reduces futile assessment of participants when an effect is unlikely to be
- 285 observed given a predetermined sample size and reduces excessive assessment of participants
- 286 where there is a large effect.

287 [comparison with standard designs]

- 288 In this study we focused on the heat pain threshold (HPT) of QST as TRPA1 has been implicated in
- 289 detection of thermal stimuli. The number of participants to recruit for a RbG study is typically
- 290 calculated from the expected effect size of the variant, however, there is little reliable data upon
- 291 which to base an effect size calculation for these TRPA1 SNPs. When an effect size is unknown, an
- 292 alternative approach is to use estimates of the minimum clinically relevant change. In a reference
- 293 population, the HPT is $42 \pm 2.5^{\circ}$ C (mean \pm SD) (39) and we consider a 1.5° C (d=0.6) change in HPT as
- 294 being a minimum clinically relevant change (Baron, Maier (40)). In a classic design the number of
- 295 individuals required for the study can be calculated given a desired probability of observing the
- 296 effect size at a defined error cut-off. In a standard RbG study design investigating a single minor
- 297 allele 45 subjects (90 total) would be required in the minor and major allele groups with 80%
- 298 likelihood of observing an effect at alpha <= 0.05.

299 [efficacy]

- 300 The estimated effect size is commonly used to determine the number of participants to recruit for a
- 301 study, however if the estimated mean effect size is lower than the actual mean effect within the
- 302 sampled population then more participants will be recruited than is required to observe the effect. If
- 303 we consider where we observe a large 4°C change in heat pain threshold, a recall by genotype would

- have an 80% chance of observing this effect after the first seven participants per group (given our
- expected standard deviation 2.5°C), however the full cohort would still have been recruited. In our
- 306 study, there is a 77.6% chance of observing a 4°C change at the first interim with fifteen participants
- 307 per study arm resulting in less assessment of individuals with a hypersensitivity to noxious stimuli.

308 [futility]

- 309 Conversely, there is a chance that the actual mean effect within the sampled population is
- 310 considerably smaller than the estimated mean effect size. In the classic design this will only be
- 311 apparent once the full cohort is assessed. Using this adaptive design it is likely that the recruitment
- of the allele would be stopped at an interim assessment after only 30 participants are recruited. As
- 313 such, our design reduces the burden on the experimenter and the participants when an effect is
- 314 unlikely to be observed.
- In many studies the sample size is restricted due to practical and financial concerns which can result
- in the study being of insufficient power to observe clinically relevant changes. In this study we have
- been resourced to recruit a total cohort of 100 participants where the adaptive design can screen up
- to 3 cohorts and is powered to detect changes of 1.43°C (d=0.57), 1.55°C (d=0.62) and 1.7°C
- 319 (d=0.68) at each interim respectively. An analogous, "classical" RbG with multi-armed assessment
- 320 with 25 participants per group would be powered to detect a difference of $2.03^{\circ}C$ (d=0.81 a<=0.05)
- in the heat pain threshold.

322 [statistical considerations]

- 323 Unblinded assessment of effect size at interim has the potential to impact the final statistical 324 analysis. For example, if an interim analysis performs a hypothesis test to reject the null hypothesis 325 then it introduces a testing multiplicity which will increase the likelihood of type 1 errors (false 326 positives) in the final analysis. A common approach to take account of the interim assessment is to 327 split the alpha criteria across the additional interims, referred to as alpha spending. In its simplest 328 form the critical value threshold is divided equally amongst the interims including the final analysis 329 (41). For example, the addition of an interim analysis with a desired final alpha of 0.05 results in an 330 adjusted alpha threshold of 0.025 at the interim and final analysis stage giving an equal weight to 331 both analyses. More commonly, alpha spending strategies which consider the available information 332 at the time of interim assessment are employed such as the O'Brien - Fleming method, which divides 333 the alpha threshold by the number recruited at interim relative to the total number of participants 334 (37). In the case where a single interim is performed after half of the participants are recruited the 335 effect is the same as the Pocock adjustment. However, where the interim occurs with less than half, 336 a larger effect size is required to stop for efficacy than at the end of the study which accounts for the 337 lower confidence associated with the fewer participants earlier in the study. We opted for the 338 O'Brien-Fleming approach as we desired to perform interim at an early timepoint to allow screening 339 of more alleles; we expect small effect sizes and therefore will only stop for efficacy at interim where
- the effect is very robust; and desire the interim to have minimal impact on the final analysis.

341 [simulations]

342 The optimal point (number of participants) at which to perform the interim analysis is determined by 343 statistical and practical considerations with the objective of making effective use of the scarce and

valuable resource of the available cohort, in our case ALSPAC. Ideally, at interim, the distributions of

- 345 the data should be representative of the final distribution; if not it may lead to an incorrect decision
- to continue or halt the experiment. We undertook simulations of the study design to better estimate
- this optimal interim point. We examined a broad range of possible effect sizes, but chose to focus on

- 348 the characteristics under the null effect (d=0) and the minimal clinically relevant effect size (d=0.6).
- Assessment of the modelling demonstrates that an interim analysis after 15 participants per group
- using a confidence threshold of 80% would adequately balance this risk, whilst still allowing multiple
- alleles to be tested. As can be seen from Figure 3, with 15 participants per group, we can be more
 than 80% confident that we will correctly halt approaching 90% of "negative" trials balanced against
- than 80% confident that we will correctly halt approaching 90% of "negative" trials balanced against
 the risk of incorrectly halting approximately 10% of "positive" trials. Further increasing the number
- at interim beyond 15 had little impact on the efficiency of the interim assessment. As our sample
- 355 size is fixed by available resource and statistical power is determined from effect size and sample
- 356 size, then here the effect size will determine the result of the interim assessment.

357 [other adaptive designs]

- 358 There are many varieties of adaptive design which could be applied to RbG studies. We chose to run
- 359 sequential allele groups in our recruitment which was ordered on prior knowledge, allele frequency
- 360 and confidence in the assocations. Where there is equal confidence in the alleles, an alternative
- 361 adaptive design could recruit from all allele groups, and after interim adapt recruitment to a single
- 362 group which creates a larger cohort to recruit from at the start of the study.
- 363

364 [concluding remarks]

- 365 The novel approach to a recall by genotype study presented herein will allow efficient assessment of
- 366 the contribution of common SNPs to pain sensitivity and offers an alternative approach to
- 367 understanding the polygenic contributions to this complex and heterogeneous phenotype. In
- 368 addition, this approach prevents unnecessary testing of individuals where there is unlikely to be an
- 369 effect on the phenotype of interest, which is an important ethical consideration. We suggest that
- this approaches' ability to maximise both exploratory potential and resources can be applied across
- all RbG settings.
- 372

373 List of abbreviations

374	AITC	Allyl isothiocyanate
375	ALSPAC	Avon Longitudinal Study of Parents and Children
376	CFA	Coal Fly Ash
377	DFNS	German Research Network on Neuropathic Pain (Deutsche Forschungsverbund
378		Neuropathischer Schmerz)
379	FDA	Food and Drug Administration
380	FLPI	Full-field laser perfusion imaging
381	GWAS	Genome Wide Association Study
382	HEK	Human embryonic kidney cells
383	HPT	Heat Pain threshold
384	IMMPACT	Initiative on Methods, Measurement, and Pain Assessment in Clinical Trials
385	MAF	minor allele frequency
386	QST	Quantitative Sensory Testing
387	RbG	recall by genotype
388	SNPs	Single nucleotide polymorphisms
389 390	TRPA1	Transient Receptor Potential A1

391 Declarations

- 392
- Ethics approval and consent to participate
- 394 Please see the Ethical considerations and informed consent section.
- 395 Consent for publication
- Not applicable.
- Availability of data and materials
- 398 Please see data access statement.
- Competing interests
- 400 AN and KP are current or former employees of Eli Lilly and Company Inc., and may own stock in401 this company.
- 402 Funding

The UK Medical Research Council and Wellcome (Grant ref: 21765/Z/19/Z) and the University of
 Bristol provide core support for ALSPAC. This publication is the work of the Authors who serve as
 guarantors for the contents of this paper.

- NJT and LJC work in the MRC IEU at the University of Bristol which is supported by the MRC
 (MC_UU_00011) and the University of Bristol. NJT is a Wellcome Trust Investigator
 (202802/Z/16/Z) and works within the University of Bristol National Institute for Health Research
 (NIHR) Biomedical Research Centre (BRC). NJT is supported by the Cancer Research UK (CRUK)
 Integrative Cancer Epidemiology Programme (C18281/A29019). LJC is supported by NJT's
 Wellcome Trust Investigator grant (202802/Z/16/Z).
- This research was funded in whole, or in part, by the Wellcome Trust [202802/Z/16/Z]. For the
 purpose of Open Access, the author has applied a CC BY public copyright licence to any Author
 Accepted Manuscript version arising from this submission.
- JPD is an NIHR Clinical Lecturer in Anaesthesia funded by the University of Bristol and NorthBristol Healthcare Trust.
- This study is funded by Above and Beyond, the University Hospitals Bristol Charity, via their
 Neurosciences and Mental Health Legacies Call. Grant reference ABL-2019-20-10 to AEP.
- 419 A comprehensive list of grants funding is available on the ALSPAC website
 420 (http://www.bristol.ac.uk/alspac/external/documents/grant-acknowledgements.pdf).
- 421
- 422 Authors' contributions
- 423 AN: Conceptualization, Methodology, Data curation, Formal Analysis,
- 424 Investigation, Methodology, Software, Visualisations, Writing- Original Draft.
- 425 **LIC:** Conceptualization, Methodology, Writing- Reviewing Editing, Project administration
- 426 **NT:** Conceptualization, Methodology, Writing- Reviewing Editing
- 427 **KP:** Conceptualization, Methodology, Resources, Supervision

- 428 **AEP:** Conceptualization, Methodology, Writing- Reviewing Editing, Supervision, Funding 429 acquisition
- 430 JPD: Conceptualization, Methodology, Writing- Reviewing Editing, Supervision, Funding
 431 acquisition, Visualization.

• Acknowledgements

434 We are extremely grateful to all the families who took part in this study, the midwives for their 435 help in recruiting them, and the whole ALSPAC team, which includes interviewers, computer and 436 laboratory technicians, clerical workers, research scientists, volunteers, managers, receptionists 437 and nurses. GWAS data was generated by Sample Logistics and Genotyping Facilities at 438 Wellcome Sanger Institute and LabCorp (Laboratory Corporation of America) using support from 439 23andMe.

440

432

441 References

Nielsen CS, Knudsen GP, Steingrímsdóttir Ó A. Twin studies of pain. Clin Genet.
 2012;82(4):331-40.

4442.Kremeyer B, Lopera F, Cox JJ, Momin A, Rugiero F, Marsh S, et al. A Gain-of-Function445Mutation in TRPA1 Causes Familial Episodic Pain Syndrome. Neuron. 2010;66(5):671-80.

3. Dunham JP, Kelly S, Donaldson LF. Inflammation reduces mechanical thresholds in a
population of transient receptor potential channel A1-expressing nociceptors in the rat. Eur J
Neurosci. 2008;27(12):3151-60.

449 4. Young EE, Lariviere WR, Belfer I. Genetic basis of pain variability: recent advances. J Med 450 Genet. 2012;49(1):1-9.

451 5. Raja SN, Carr DB, Cohen M, Finnerup NB, Flor H, Gibson S, et al. The revised International
452 Association for the Study of Pain definition of pain: concepts, challenges, and compromises. PAIN.
453 2020;161(9).

454 6. Johnston KJA, Adams MJ, Nicholl BI, Ward J, Strawbridge RJ, Ferguson A, et al. Genome-wide 455 association study of multisite chronic pain in UK Biobank. PLoS Genet. 2019;15(6):e1008164.

7. Rolke R, Baron R, Maier C, Tölle TR, Treede RD, Beyer A, et al. Quantitative sensory testing in
the German Research Network on Neuropathic Pain (DFNS): standardized protocol and reference
values. Pain. 2006;123(3):231-43.

8. Schliessbach J, Siegenthaler A, Bütikofer L, Vuilleumier P, Jüni P, Stamer U, et al. Predicting
drug efficacy in chronic low back pain by quantitative sensory tests. Eur J Pain. 2018;22(5):973-88.

9. Demant DT, Lund K, Vollert J, Maier C, Segerdahl M, Finnerup NB, et al. The effect of
oxcarbazepine in peripheral neuropathic pain depends on pain phenotype: a randomised, doubleblind, placebo-controlled phenotype-stratified study. Pain. 2014;155(11):2263-73.

Schmid AB, Adhikari K, Ramirez-Aristeguieta LM, Chacón-Duque JC, Poletti G, Gallo C, et al.
Genetic components of human pain sensitivity: a protocol for a genome-wide association study of
experimental pain in healthy volunteers. BMJ Open. 2019;9(4):e025530.

467 11. Corbin LJ, Tan VY, Hughes DA, Wade KH, Paul DS, Tansey KE, et al. Formalising recall by
468 genotype as an efficient approach to detailed phenotyping and causal inference. Nat Commun.
469 2018;9(1):711.

470 12. Sexton JE, Cox JJ, Zhao J, Wood JN. The Genetics of Pain: Implications for Therapeutics. Annu
471 Rev Pharmacol Toxicol. 2018;58:123-42.

472 13. Viana F. TRPA1 channels: molecular sentinels of cellular stress and tissue damage. J Physiol.
473 2016;594(15):4151-69.

474 14. Diogenes A, Akopian AN, Hargreaves KM. NGF up-regulates TRPA1: implications for orofacial475 pain. J Dent Res. 2007;86(6):550-5.

476 15. Gallo V, Dijk FN, Holloway JW, Ring SM, Koppelman GH, Postma DS, et al. TRPA1 gene 477 polymorphisms and childhood asthma. Pediatric Allergy and Immunology. 2017;28(2):191-8. Reese RM, Dourado M, Anderson K, Warming S, Stark KL, Balestrini A, et al. Behavioral 478 16. 479 characterization of a CRISPR-generated TRPA1 knockout rat in models of pain, itch, and asthma. 480 Scientific Reports. 2020;10(1):979. 481 17. Sherry ST, Ward MH, Kholodov M, Baker J, Phan L, Smigielski EM, et al. dbSNP: the NCBI 482 database of genetic variation. Nucleic Acids Res. 2001;29(1):308-11. 483 Fraser A, Macdonald-Wallis C, Tilling K, Boyd A, Golding J, Davey Smith G, et al. Cohort 18. 484 Profile: the Avon Longitudinal Study of Parents and Children: ALSPAC mothers cohort. Int J 485 Epidemiol. 2013;42(1):97-110. 486 19. Bretz F, Gallo P, Maurer W. Adaptive designs: The Swiss Army knife among clinical trial 487 designs? Clinical Trials. 2017;14(5):417-24. 488 20. Gewandter JS, Dworkin RH, Turk DC, Farrar JT, Fillingim RB, Gilron I, et al. Research design 489 considerations for chronic pain prevention clinical trials: IMMPACT recommendations. Pain. 490 2015;156(7):1184-97. 491 Pallmann P, Bedding AW, Choodari-Oskooei B, Dimairo M, Flight L, Hampson LV, et al. 21. 492 Adaptive designs in clinical trials: why use them, and how to run and report them. BMC Med. 493 2018;16(1):29. 494 22. Bauer P, Brannath W. The advantages and disadvantages of adaptive designs for clinical 495 trials. Drug Discov Today. 2004;9(8):351-7. 496 Vandemeulebroecke M. Group sequential and adaptive designs - a review of basic concepts 23. 497 and points of discussion. Biom J. 2008;50(4):541-57. 498 24. Weyer-Menkhoff I, Lötsch J. TRPA1 Sensitization Produces Hyperalgesia to Heat but not to 499 Cold Stimuli in Human Volunteers. Clin J Pain. 2019;35(4):321-7. 500 25. Hoffmann T, Kistner K, Miermeister F, Winkelmann R, Wittmann J, Fischer MJ, et al. TRPA1 501 and TRPV1 are differentially involved in heat nociception of mice. Eur J Pain. 2013;17(10):1472-82. 502 Meents JE, Ciotu CI, Fischer MJM. TRPA1: a molecular view. Journal of Neurophysiology. 26. 2019;121(2):427-43. 503 504 Namer B, Seifert F, Handwerker HO, Maihöfner C. TRPA1 and TRPM8 activation in humans: 27. 505 effects of cinnamaldehyde and menthol. Neuroreport. 2005;16(9):955-9. 506 28. Northstone K, Lewcock M, Groom A, Boyd A, Macleod J, Timpson N, et al. The Avon 507 Longitudinal Study of Parents and Children (ALSPAC): an update on the enrolled sample of index 508 children in 2019 [version 1; peer review: 2 approved]. Wellcome Open Research. 2019;4(51). 509 29. Boyd A, Golding J, Macleod J, Lawlor DA, Fraser A, Henderson J, et al. Cohort Profile: the 510 'children of the 90s'--the index offspring of the Avon Longitudinal Study of Parents and Children. Int J 511 Epidemiol. 2013;42(1):111-27. 512 30. McCarthy S, Das S, Kretzschmar W, Delaneau O, Wood AR, Teumer A, et al. A reference 513 panel of 64,976 haplotypes for genotype imputation. Nature Genetics. 2016;48(10):1279-83. 514 31. Andersen HH, Lo Vecchio S, Gazerani P, Arendt-Nielsen L. Dose-response study of topical 515 allyl isothiocyanate (mustard oil) as a human surrogate model of pain, hyperalgesia, and neurogenic 516 inflammation. Pain. 2017;158(9):1723-32. 517 32. Chizh BA, O'Donnell MB, Napolitano A, Wang J, Brooke AC, Aylott MC, et al. The effects of 518 the TRPV1 antagonist SB-705498 on TRPV1 receptor-mediated activity and inflammatory 519 hyperalgesia in humans. Pain. 2007;132(1-2):132-41. 520 33. Hojland CR, Andersen HH, Poulsen JN, Arendt-Nielsen L, Gazerani P. A human surrogate 521 model of itch utilizing the TRPA1 agonist trans-cinnamaldehyde. Acta Derm Venereol. 522 2015;95(7):798-803. 523 34. Olsen RV, Andersen HH, Moller HG, Eskelund PW, Arendt-Nielsen L. Somatosensory and 524 vasomotor manifestations of individual and combined stimulation of TRPM8 and TRPA1 using topical

L-menthol and trans-cinnamaldehyde in healthy volunteers. Eur J Pain. 2014;18(9):1333-42.

- 526 35. Harris PA, Taylor R, Thielke R, Payne J, Gonzalez N, Conde JG. Research electronic data
- 527 capture (REDCap)--a metadata-driven methodology and workflow process for providing translational
 528 research informatics support. J Biomed Inform. 2009;42(2):377-81.
- 529 36. Harris PA, Taylor R, Minor BL, Elliott V, Fernandez M, O'Neal L, et al. The REDCap
- consortium: Building an international community of software platform partners. J Biomed Inform.2019;95:103208.
- 532 37. O'Brien PC, Fleming TR. A multiple testing procedure for clinical trials. Biometrics.
 533 1979;35(3):549-56.
- 534 38. FDA. Adaptive Design Clinical Trials for Drugs and Biologics Guidance for Industry 2019
 535 [Available from: <u>https://www.fda.gov/media/78495/download</u>.
- 53639.Mucke M, Cuhls H, Radbruch L, Baron R, Maier C, Tolle T, et al. Quantitative sensory testing537(QST). English version. Schmerz. 2016.
- Baron R, Maier C, Attal N, Binder A, Bouhassira D, Cruccu G, et al. Peripheral neuropathic
 pain: a mechanism-related organizing principle based on sensory profiles. Pain. 2017;158(2):261-72.
- Mehta CR, Pocock SJ. Adaptive increase in sample size when interim results are promising: A
 practical guide with examples. Statistics in Medicine. 2011;30(28):3267-84.
- 542 42. Deering-Rice CE, Shapiro D, Romero EG, Stockmann C, Bevans TS, Phan QM, et al. Activation
 543 of Transient Receptor Potential Ankyrin-1 by Insoluble Particulate Material and Association with
 544 Asthma. Am J Respir Cell Mol Biol. 2015;53(6):893-901.
- 545 43. Binder A, May D, Baron R, Maier C, Tölle TR, Treede R-D, et al. Transient receptor potential
 546 channel polymorphisms are associated with the somatosensory function in neuropathic pain
 547 patients. PloS one. 2011;6(3):e17387-e.
- 548 44. May D, Baastrup J, Nientit MR, Binder A, Schünke M, Baron R, et al. Differential expression
 549 and functionality of TRPA1 protein genetic variants in conditions of thermal stimulation. J Biol Chem.
 550 2012;287(32):27087-94.
- 45. Jhun EH, Hu X, Sadhu N, Yao Y, He Y, Wilkie DJ, et al. Transient receptor potential
- polymorphism and haplotype associate with crisis pain in sickle cell disease. Pharmacogenomics.
 2018;19(5):401-11.
- 46. Machiela MJ, Chanock SJ. LDlink: a web-based application for exploring population-specific
- haplotype structure and linking correlated alleles of possible functional variants. Bioinformatics.2015;31(21):3555-7.
- 557
- 558 Figures

TRPA1	SNP (Rs ID)	Major	Minor	MAF in	MAF in	Amino acid position	Functional evidence
allele		(control)	allele	ALSPAC ^b	1000G ^c	(number and domain)	
group #		alleleª				with amino acid change	
						relative to reference	
1	rs7819749	G	T*	0.40	0.39	K186N (ANK4)	• K186 (resulting from the minor allele in ALSPAC), has increased response to CFA relative to N186, with similar responses to other
							agonists (42).
2	rs920829	C*	Т	0.10	0.13	Е179К (АNК4)	 Patients with paradoxical heat sensations show a lower frequency of being either hetero- and homozygous for the minor allele (43). E179, shows cold evoked calcium flux whereas K179 does not (44). K179 has reduced response to CFA relative to E179 (42).# Individuals hetero- and homo-zygous for the minor allele have increased odds of asthma (15). Patients with the minor allele have more presentations to healthcare with sickle cell pain (45).
	rs959976	T*	C	0.16	0.20	H1018R (cytoplasmic)	 The presence of the minor allele increases the odds of doctor diagnosed asthma (15). R1018 had increased response to coal fly ash relative to H1018 (42).#
3	rs16937976	C*	G	0.15	0.17	R58T (cytoplasmic)	• C3 and T58 separately, but not when co-expressed, have increased response to CFA, AITC and DTBP relative to R58/R3 (42).#
	rs13268757	G*	A	0.15	0.17	R3C (cytoplasmic)	

Table 1: *TRPA1* **SNP** information. ^a in all cases the major allele is also the designated ancestral allele in dbSNP; ^b MAF is as reported in dbSNP; ^c MAF in 1000 Genomes Project phase3 release V3+; * indicates the reference allele in dbSNP – note that this is different to the predicted ancestral allele for rs7819749. SNP information is extracted from dbSNP and is therefore reported in the forward orientation whilst *TRPA1* itself maps to the reverse strand. dbSNP: build 154, GRCh38, last accessed: 27th January 2021) (17). SNPs in high linkage disequilibrium as reported in LDLink using GBR cohort (46): Group 2 LD: r²=0.51. Group 3 LD: r²=1. AITC: Allyl isothiocyanate, ALSPAC: Avon Longitudinal Study of Parents and Children, CFA: Coal fly Ash, DTBP: 3,5-*Ditert*-butylphenol, MAF: minor allele frequency, SNP: single nucleotide polymorphism. [#] Deering Rice et al., 2015 expressed TRPA1 with site directed mutations HEK cells; "response" relates to calcium flux evoked by the stated TRPA1 agonists

Interim Analysis #	Futility effect size	Efficacy cut-off alpha	Effect size req. for statistical eff (∆HPT)
1	0.57	0.0013	1.63 (∆4.1°C)
2	0.62	0.0023	1.52 (Δ3.8°C)
3	0.68	0.0055	1.42 (∆3.5°C)

Table 2: Interim analyses. Each row indicates the study state at subsequent interims. Effect sizes are displayed as Cohen's d $\frac{(\mu_{minor}-\mu_{major})}{\sigma}$ where μ is the mean and σ is the standard deviation. The futility effect size cut-off is the minimum effect size required to continue the group in the study. The futility effect size is calculated as the smallest effect size observable at the final analysis at 80% probability given an alpha cut-off of 0.05. The efficacy cut-off alpha is the alpha criteria for the interim analysis to determine efficacy. The efficacy cut-off alpha is calculated using an O'Brien-Fleming alpha spending through the gsdesign R package. With subsequent interims the alpha threshold is relaxed as the proportion of information known at interim increases due to the fixed sample size of 15. The effect size required for statistical efficacy (HPT) indicates the minimum effect size (cohen's d) likely to be observed at 80% power at interim with the corresponding changes in HPT (Δ) indicated assuming $\sigma = 2.5$. Abbreviations: HPT – heat pain threshold

Figure 1.



Figure 1 - Schematic of Quantitative Sensory Testing (QST) protocol. Top row represents the baseline QST including thermal and mechanical stimulation. The middle row shows capture of baseline cutaneous perfusion using the FLPI in the 4x4cm region of interest, application of 10% cinnamaldehyde and then capture of the post challenge cutaneous perfusion. The bottom row represents the post challenge QST. (Figure adapted from Rolke et al., 2006).

CDT, cold detection threshold; WDT, warm detection threshold; CPT, cold pain threshold; HPT; heat pain threshold; MDT, mechanical detection threshold; MPT, mechanical pain threshold; MPS; mechanical pain sensitivity; Brush, presence or absence of brush allodynia; Pressure, deep pressure pain threshold; FLPI, full field laser perfusion imaging; Cinn, cinnamaldehyde.





Figure 2 - Schematic of study design showing the 4 potential outcomes of the adaptive design. The trial progresses from left to right until the full sample of 100 is recruited. Each allele cohort is noted with uniquely coloured human icon with the numbers recruited in that phase noted underneath. Interim assessments are marked with a magnifying glass with the effect size 'd' criteria to continue the trial noted and alpha thresholds for subsequent t-test. Note that the trial will adapt due to small effect sizes and also if the hypothesis test passess due efficacy. The final "?" represents that this final cohort could be from any of the final cohorts based on assessment of other outcomes. For further details on the outcome criteria see Table 2.



Figure 3- Simulations of the interim analysis. A) The number of correct interim decisions – where an interim was stopped where there was no significant finding or continued for effect sizes of 0 and 0.6. Error bars indicate 95% confidence intervals generated by bootstrapping the simulation results sampling 100 results 1000 times. B) The change in mean % correct interim decisions as more subjects are added to interim. The interim number 15 was chosen as the relative benefit of adding more decreases above this point. C) The effect of number of subjects on overall interim pass rate. This figure displays the % of interims that would go on to recruit a full study from populations of fixed effect sizes.

Supplementary Information

ALSPAC: Genotyping and imputation description

Members of the original ALSPAC cohort (individuals born between 1990 and 1992) were genotyped using the Illumina HumanHap550 quad chip genotyping platforms by 23andme subcontracting the Wellcome Trust Sanger Institute, Cambridge, UK and the Laboratory Corporation of America, Burlington, NC, US. The resulting raw genome-wide data were subjected to standard quality control methods. Individuals were excluded on the basis of gender mismatches; minimal or excessive heterozygosity; disproportionate levels of individual missingness (>3%) and insufficient sample replication (identity by descent (IBD) < 0.8). Population stratification was assessed by multidimensional scaling analysis and compared with Hapmap II (release 22) European descent (CEU), Han Chinese, Japanese and Yoruba reference populations; all individuals with non-European ancestry were removed. SNPs with a minor allele frequency of less than 1%, a call rate of < 95% or evidence for violations of Hardy-Weinberg equilibrium (P < 5E-07) were removed. Cryptic relatedness was measured as proportion of identity by descent (IBD > 0.1). Related subjects that passed all other quality control thresholds were retained during subsequent phasing and imputation. 9,115 subjects and 500,527 SNPs passed these quality control filters.

Mothers of the original cohort were genotyped using the Illumina human660W-quad array at Centre National de Génotypage (CNG) and genotypes were called with Illumina GenomeStudio. PLINK (v1.07)(1) was used to carry out quality control measures on an initial set of 10,015 subjects and 557,124 directly genotyped SNPs. SNPs were removed if they displayed more than 5% missingness or a Hardy-Weinberg equilibrium P value of less than 1E-06. Additionally, SNPs with a minor allele frequency of less than 1% were removed. Samples were excluded if they displayed more than 5% missingness, had indeterminate X chromosome heterozygosity or extreme autosomal heterozygosity. Samples showing evidence of population stratification were identified by multidimensional scaling of genome-wide identity by state pairwise distances using the four HapMap populations (see above) as a reference, and then excluded. Cryptic relatedness was assessed using a IBD estimate of more than 0.125 which is expected to correspond to roughly 12.5% alleles shared IBD or a relatedness at the first cousin level. Related subjects that passed all other quality control thresholds were retained during subsequent phasing and imputation. 9,048 subjects and 526,688 SNPs passed these quality control filters.

477,482 SNP genotypes in common between the sample of mothers and sample of children (original cohort) were combined. SNPs with genotype missingness above 1% due to poor quality were removed (11,396 SNPs removed). A further 321 subjects were removed due to potential ID mismatches. This resulted in a dataset of 17,842 subjects containing 6,305 duos and 465,740 SNPs (112 were removed during liftover and 234 were out of Hardy-Weinberg equilibrium after combination). Haplotypes were estimated using ShapeIT (v2.r644) which utilises relatedness during phasing. Imputation of the target data was performed using IMPUTE V3 against the Haplotype Reference Consortium (r1.1) imputation panel(2, 3). This procedure gave imputed data for 17,842 mothers and children; subsequent consent withdrawals have left 17,825 individuals for study.

1. Purcell S, Neale B, Todd-Brown K, Thomas L, Ferreira MA, Bender D, et al. PLINK: a tool set for whole-genome association and population-based linkage analyses. Am J Hum Genet. 2007;81(3):559-75.

2. Howie BN, Donnelly P, Marchini J. A Flexible and Accurate Genotype Imputation Method for the Next Generation of Genome-Wide Association Studies. PLOS Genetics. 2009;5(6):e1000529.

3. Howie B, Marchini J, Stephens M. Genotype imputation with thousands of genomes. G3 (Bethesda). 2011;1(6):457-70.