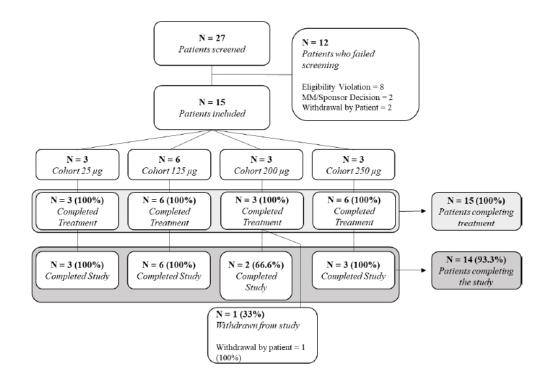
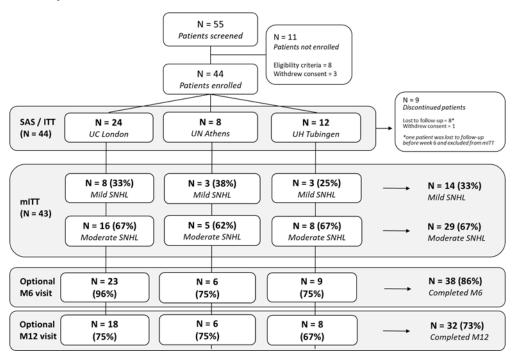
Title of Study: A Phase I/II multiple ascending dose open-label safety and
efficacy study of the Notch Inhibitor LY3056480 in patients with mild to moderate
sensorineural hearing loss (ISRCTN59733689)

## Participant Flow Phase I



## Participant Flow Phase IIa



## • Baseline Characteristics and common Adverse Events

		Phase I	Phase IIa
Dose level	μg	25, 125, 200, 250	250
Number of participants	N	15	44
Age	Median (years)	60	58
	Min - Max (years)	22 - 79	32 - 73
Gender	Female	7 (46.7%)	15 (34.1%)
	Male	8 (53.3%)	29 (65.9%)
Ethnic group	White	15 (100%)	42 (95.4%)
	Asian	0 (0%)	1 (2.3%)
	Other	0 (0%)	1 (2.3%)
Years of Schooling	Median (years)	16	16
	Min - Max (years)	12 - 20	8 - 24
Education	Primary schooling only	0 (0%)	1 (2.3%)
	Secondary schooling	5 (33.3%)	20 (45.5%)
	Tertiary / higher education	10 (66.7%)	23 (52.3%)
Severity of Hearing Loss	Mild	9 (60%)	14 (33.3%)
	Moderate	6 (40%)	29 (66.7%)
	Mean Pure-Tone HLA 2,4,8 kHz	49.1 ± 12.6 HL	55.3 ± 9.3 HL
Duration of Hearing Loss	Range (yrs)	0-10	0-19
Adverse Events	Injection site pain	10 (66.7%)	39 (88.6%)
	Ear pain	4 (26.7%)	13 (29.5%)
	Ear discomfort	8 (53.3%)	6 (13.6%)
	Procedural pain	4 (26.7%)	11 (25%)
	Decreased hearing	10 (66.7%)	6 (13.6%)
	Tinnitus	12 (80%)	15 (34.1%)
	Dizziness	7 (46.7%)	6 (13.6%)

## • Outcome Measures:

The primary efficacy endpoint	Average change in hearing from baseline in the treated ear at 12 weeks across three frequencies (2, 4, 8 kHz), as measured by Pure-Tone Audiometry (PTA) (dBHL).
The secondary efficacy endpoints up to week 12	Hearing: -Change from baseline at 6 and 12 weeks (treated ear, untreated ear and difference), in terms of: -Hearing level as tested by PTA (dBHL) at individual frequencies (0.25, 0.5, 1, 2, 3, 4, 6, 8, 12.5 and 16 kHz) -Average change in hearing level across three frequencies (2, 4, 8 kHz), as measured by PTA (dBHL) (at 6 weeks only) -Speech audiometry as tested by speech in noise testing to determine signal to noise ratio loss shift -Middle ear immittance as tested by tympanometry and Acoustic Reflex Testing (ART) to determine middle ear pressure, volume and compliance values and acoustic threshold reflex shift -Distortion Product Oto-Acoustic Emissions (DPOAE) — Signal to Noise Ratio (SNR) and absolute levels -Cochlear dead regions as tested by the Threshold Equalising Noise test -Hearing specific quality of life (per patient), as measured by the Hearing Handicap Inventory for Adults/Elderly (HHIA/E) questionnaire

	Level of timpitue on management by the Timpitue Functional Index
	-Level of tinnitus as measured by the Tinnitus Functional Index
	(TFI)
	-Change in Hearing Aid use as measured by the Hearing Aid -
	Outcome Questionnaire (at month 6 and 12 optional visits)
	Balance:
	-Change from baseline at 12 weeks, as measured by a clinical
	balance assessment, including History and Examination (Eye
	Movements, Head Thrust, modified Romberg, Unterberger,
	Bithermal Air Calorics using Videonystagmography [VNG]), and
	Dizziness Handicap Inventory
Endpoints at 6 and 12 months	Hearing:
	-Average change in hearing from baseline in the treated ear
	across three frequencies (2, 4, 8 kHz), as measured by Pure-Tone
	Audiometry (PTA) (dBHL)
	-Change from baseline (treated ear, untreated ear and difference),
	in terms of: Hearing level as tested by PTA (dBHL) at individual
	frequencies (0.25, 0.5, 1, 2, 3, 4, 6, 8, 12.5 and 16 kHz)
	-Average change in hearing level across three frequencies (2, 4, 8 kHz), as measured by PTA (dBHL)
	-Speech audiometry as tested by speech in noise testing to
	determine signal to noise ratio loss shift
	-Middle ear immittance as tested by tympanometry
	-Distortion Product Oto-Acoustic Emissions (DPOAE) – Signal to
	Noise Ratio (SNR) and absolute levels
	-Hearing specific quality of life (per patient), as measured by the
	Hearing Handicap Inventory for Adults/Elderly (HHIA/E)
	questionnaire
	-Level of tinnitus as measured by the Tinnitus Functional Index
	(TFI)
	-Change in Hearing Aid use as measured by the Hearing Aid
	Outcome Questionnaire (at month 6 and 12 optional visits)
	Balance:
	-Change from baseline at 12 weeks, as measured by a clinical
	balance assessment
Safety and tolerability	-Hearing and balance as defined in the above endpoints
endpoints	-Facial nerve function: Change from baseline at the treated side
	up to month 12, in terms of: Facial nerve function as measured by
	the House-Brackman grading scale
	-Taste, as reported by the patient (no change, altered taste, loss
	of taste)
	-Occurrence and severity of Investigational Medicinal Product
	(IMP)-related local and systemic AEs up to 12 months
	-Occurrence and severity of procedure related local and systemic
	AEs up to 12 months
	-Occurrence of systemic AEs as measured by potentially clinically
	significant changes in Electrocardiogram (ECG), vital signs,
	physical examinations and laboratory tests up to 12 weeks
	-Occurrence of injection sites reactions in and around the treated
	ear as assessed by otomicroscopy up to 12 months
	car as assessed by otornicroscopy up to 12 months