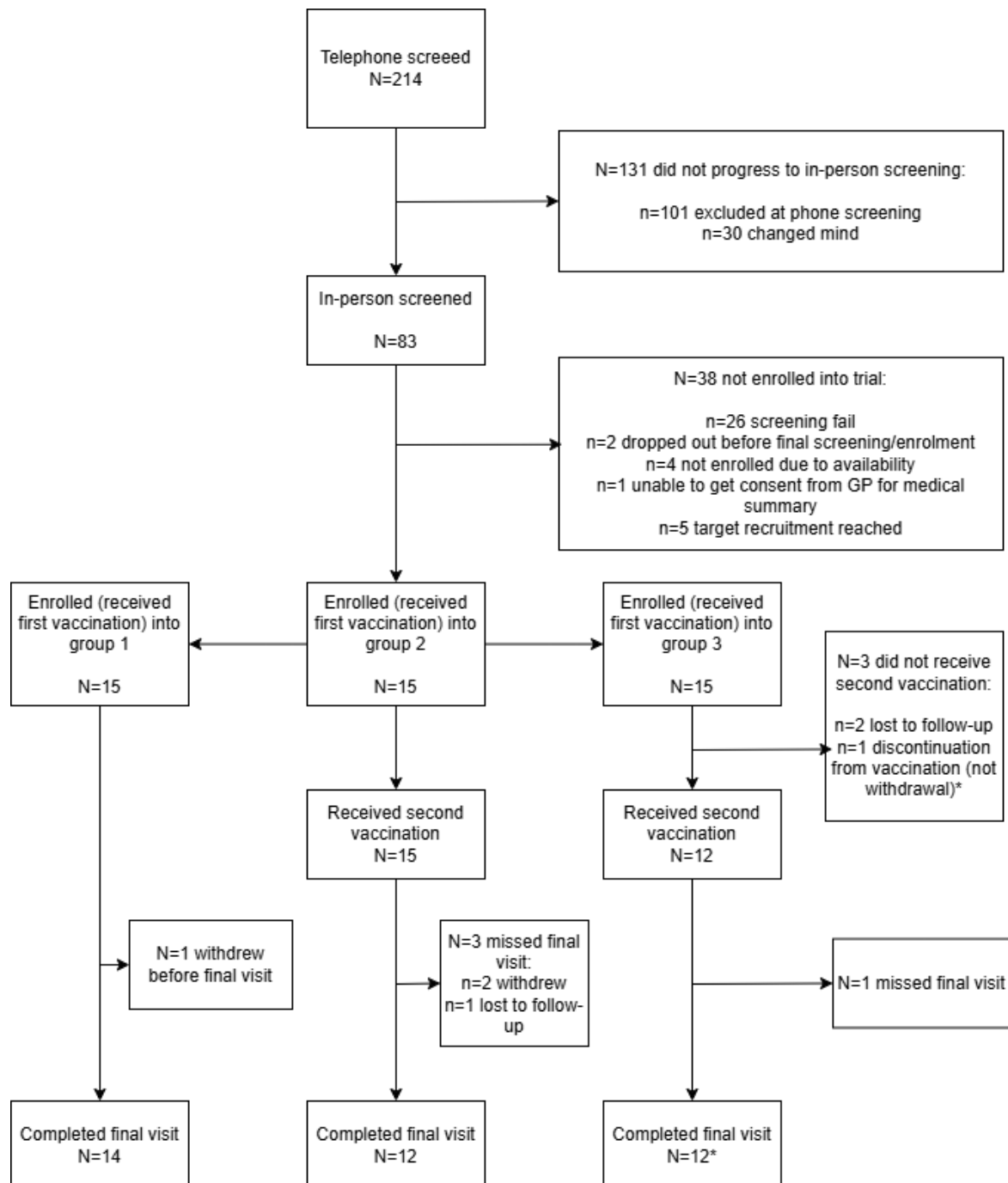


**A phase I study to assess the safety and immunogenicity of a recombinant adenovirus-based vaccine against plague (PlaVac): Basic Results Summary**

Participant flow



**PlaVac (UK) CONSORT diagram.** Group 1, single dose, Group 2 D0+D56 dosing, Group 3 D0+D182 dosing. Withdrawals in Groups 1 & 2 were not related to study procedures. Loss-to-follow-up in group 2: participant completed to D210. Loss-to-follow-up in group 3: one participant completed to D84, the other D28. \*One participant in Group 3 not given second vaccination due to meeting temporary exclusion and unable to be vaccinated within window.

Baseline characteristics

**Table 1. Baseline characteristics, PlaVac (UK) participants. SD = single dose**

Demographics	PlaVac (UK)			
	Group 1: SD (n=15)	Group 2: 0+56 (n=15)	Group 3: 0+182 (n=15)	Total (n=45 )
<b>Sex (female)</b>	4 (27%)	5 (33%)	6 (40%)	15 (33%)
<b>Age (years), median [IQR]</b>	48 [33- 51]	31 [23- 46]	32 [26- 41]	38 [26- 48]
<b>BMI, median [IQR]</b>	27 [25- 29]	26 [23- 26]	24 [22- 28]	26 [23- 28]
<b>Ethnicity</b>				
<b>White English/Welsh/Scottish/Northern Irish/British</b>	15 (100%)	13 (87%)	14 (93%)	42 (93%)
<b>Any other Mixed/Multiple ethnic background</b>	0 (0%)	2 (13%)	1 (7%)	3 (7%)
<b>Black African</b>	0 (0%)	0 (0%)	0 (0%)	0 (0%)
<b>Received <math>\geq 1</math> dose of any COVID-19 vaccine prior to enrolment</b>	15 (100%)	15 (100%)	15 (100%)	45 (100 %)
<b>Oxford/AstraZeneca (ChAdOx1 nCoV-19)</b>	8 (53%)	6 (40%)	8 (53%)	22 (49%)
<b>Pfizer (BNT162b2)</b>	7 (47%)	9 (60%)	6 (40%)	22 (49%)
<b>Moderna (mRNA1273)</b>	0 (0%)	0 (0%)	1 (7%)	1 (2%)

Outcome measures

**Table 2. Summary of adverse events occurring within 28 days post-vaccination, by study group**

Group	Participants with ≥1 AE within 28 days post- vaccinations	AEs within 28 days post- vaccinations	AEs within 28 days post-first dose	AEs within 28 days post-second dose	Causality				AEs of severity grade ≥3	SAEs
					No relationship	Possible	Probable	Definite		
1 (n=15)	10 (67%)	24/86 (28%)	24/65 (37%)	0/21 (0%)	21/24 (88%)	3/24 (12%)	0/24 (0%)	0/24 (0%)	3/24 (12%)	0
2 (n=15)	15 (100%)	39/86 (45%)	23/65 (35%)	16/21 (76%)	31/39 (79%)	8/39 (21%)	0/39 (0%)	0/39 (0%)	7/39 (18%)	0
3 (n=15)	12 (80%)	23/86 (27%)	18/65 (28%)	5/21 (24%)	18/23 (78%)	2/23 (9%)	0/23 (0%)	3/23 (13%)	5/23 (22%)	0
All (n=45)	37 (82%)	86	65/86 (76%)	21/86 (24%)	70/86 (81%)	13/86 (15%)	0/86 (0%)	3/86 (3%)	15/86 (17%)	0

**Table 3. Geometric mean titres (GMT) of serum IgG to vaccine antigens LcrV and F1.** Shown by study, group, and timepoint. N is shown where the number of samples was lower than the total number of participants in the respective group. \*Median (IQR) reported in addition to GMT (95% CI) where >30% results fall below the lower limit of quantification (LLOQ) for the respective timepoint in at least one of the study groups within each trial. SD = single dose.

	Study day	GMT (95% CI); Median (IQR)*		
		Group 1: SD (n=15)	Group 2: 0+56 (n=15)	Group 3: 0+182 (n=15)
Anti-LcrV IgG	0	169 (100, 285) <i>167 (72-459)</i>	182 (97, 341) <i>157 (72-248)</i>	129 (82, 203) <i>72 (72-224)</i>
	28	6083 (1617, 22885) [n=14]	6690 (1573, 28449) [n=14]	6854 (2184, 21507)
	56	3267 (782, 13657)	5320 (1452, 19493)	3554 (1165, 10845) [n=14]
	84	2020 (439, 9299) [n=14]	20890 (7588, 57512) [n=14]	2650 (822, 8540) [n=14]

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	182	1266 (294, 5456) [n=13]	7961 (2823, 22451) [n=15]	1471 (407, 5315) [n=13]
	210	1160 (293, 4587) [n=14]	6814 (2072, 22413) [n=13]	62646 (22653, 173247) [n=13]
	365	1181 (273, 5102) [n=14]	2464 (644, 9430) [n=12]	14079 (5322, 37241) [n=12]
Anti-F1 IgG	0	152 (77, 297) <i>124 (58-252)</i>	103 (68, 155) <i>58 (58-145)</i>	158 (83, 300) <i>133 (58-380)</i>
	28	372 (161, 861) [n=14]	340 (176, 659) [n=14]	495 (205, 1192)
	56	288 (130, 639) <i>215 (90-609)</i>	224 (123, 407) <i>303 (58-479)</i>	365 (150, 888) <i>621 (58-1204) [n=14]</i>
	84	199 (97, 408) <i>149 (58-374) [n=14]</i>	582 (270, 1253) <i>666 (262-1403) [n=14]</i>	278 (127, 609) <i>330 (58-736) [n=14]</i>

	182	160 (78, 328) <i>150 (58-267) [n=13]</i>	265 (156, 452) <i>207 (161-383)</i>	191 (89, 412) <i>155 (58-465) [n=13]</i>
	210	150 (75, 299) <i>86 (58-363) [n=14]</i>	227 (129, 397) <i>219 (139-338) [n=13]</i>	1026 (236, 4461) <i>2435 (58-5604) [n=12]</i>
	365	137 (71, 265) <i>89 (58-276) [n=14]</i>	115 (70, 189) <i>87 (58-242) [n=12]</i>	465 (142, 1525) <i>549 (106-1057) [n=12]</i>
Anti-LcrV IgA	0	82 (56, 121) <i>46 (46-146)</i>	104 (71, 151) <i>115 (46-181)</i>	71 (51, 99) <i>46 (46-113)</i>
	28	1245 (362, 4280) [n=14]	703 (287, 1722) [n=14]	787 (331, 1872)
	56	501 (176, 1426)	353 (164, 759)	569 (243, 1331) [n=14]
	84	516 (174, 1527) [n=14]	934 (390, 2236) [n=14]	348 (138, 877) [n=14]

	182	265 (85, 826) [n=13] <i>364 (46-836)</i>	435 (196, 965) <i>473 (207-739)</i>	317 (123, 817) <i>210 (133-483) [n=13]</i>
	210	329 (127, 851) [n=14]	386 (178, 837) [n=13]	1569 (668, 3685) [n=12]
	365	360 (138, 934) [n=14]	294 (116, 746) [n=12]	776 (305, 1975) [n=12]

**Table 4. Geometric mean fold rise (GMFR) between peak post-vaccination timepoints, by study and dosing group.**

		PlaVac (UK)	
		Group 2: 0+56	Group 3: 0+182
<b>Anti-LcrV IgG</b>	Vaccine 2+28/Vaccine 1+28	3.13 (1.77, 5.54) [n=13]	7.74 (2.30, 26.01) [n=13]
<b>Anti-F1 IgG</b>	Vaccine 2+28/Vaccine 1+28	1.98 (1.07, 3.67) [n=13]	2.13 (0.80, 5.63) [n=12]

### Adverse events

There were no serious adverse events associated with this study.

**Table 5. Maximum severity of solicited adverse events during days 0-7 after first vaccination, all participants.**

Solicited adverse event	None	Mild	Moderate	Severe	Any occurrence
Redness	26 (58%)	19 (42%)	0 (0%)	0 (0%)	19 (42%)
Hardness	36 (80%)	7 (16%)	1 (2%)	1 (2%)	9 (20%)
Swelling	35 (78%)	9 (20%)	1 (2%)	0 (0%)	10 (22%)
Pain	24 (53%)	16 (36%)	4 (9%)	1 (2%)	21 (47%)
Warmth	34 (76%)	11 (24%)	0 (0%)	0 (0%)	11 (24%)
Itch	43 (96%)	2 (4%)	0 (0%)	0 (0%)	2 (4%)
Tenderness	16 (36%)	24 (53%)	4 (9%)	1 (2%)	29 (64%)
Feverish	26 (58%)	13 (29%)	3 (7%)	3 (7%)	19 (42%)
Fever $\geq 37.6^{\circ}\text{C}$	36 (80%)	7 (16%)	1 (2%)	1 (2%)	9 (20%)
Chills	33 (73%)	6 (13%)	3 (7%)	3 (7%)	12 (27%)
Rash	45 (100%)	0 (0%)	0 (0%)	0 (0%)	0 (0%)
Joint pain	33 (73%)	10 (22%)	2 (4%)	0 (0%)	12 (27%)
Muscle ache	21 (47%)	17 (38%)	5 (11%)	2 (4%)	24 (53%)
Fatigue	24 (53%)	13 (29%)	6 (13%)	2 (4%)	21 (47%)
Headache	18 (40%)	18 (40%)	8 (18%)	1 (2%)	27 (60%)



Solicited adverse event	None	Mild	Moderate	Severe	Any occurrence
Malaise	27 (60%)	11 (24%)	5 (11%)	2 (4%)	18 (40%)
Nausea	37 (82%)	7 (16%)	0 (0%)	1 (2%)	8 (18%)
Vomiting	45 (100%)	0 (0%)	0 (0%)	0 (0%)	0 (0%)

**Table 6. Unsolicited adverse events to D28 post each-vaccination.** Presented by participant code and group, shown as MedDRA preferred term and code.

Pseudo-ID <sup>^</sup>	Group	Days to onset from first dose	Days to onset from second dose	Duration of AE (days)	AE severity grade	Causality to study vaccine	MedDRA preferred term	MedDRA code
2	Group 1	23	-	26	2	No relationship	Lower respiratory tract infection	10024968
2	Group 1	26	-	15	2	No relationship	COVID-19	10084268
5	Group 1	10	-	0	3	No relationship	Joint dislocation	10023204
6	Group 1	9	-	0	1	No relationship	Headache	10019211
6	Group 1	25	-	2	1	No relationship	Arthropod sting	10003402
6	Group 1	28	-	0	1	No relationship	Hangover	10019133
7	Group 1	13	-	4	3	No relationship	Cellulitis	10007882

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<b>9</b>	Group 1	1	-	49	1	Possible	Rhinitis	10039083
<b>9</b>	Group 1	10	-	0	1	No relationship	Abdominal pain	10000081
<b>9</b>	Group 1	10	-	2	2	No relationship	Arthralgia	10003239
<b>9</b>	Group 1	21	-	13	1	No relationship	Upper respiratory tract infection	10046306
<b>9</b>	Group 1	23	-	1	1	No relationship	Headache	10019211
<b>9</b>	Group 1	26	-	7	2	No relationship	Upper respiratory tract infection	10046306
<b>10</b>	Group 1	0	-	89	1	No relationship	Microcytic anaemia	10027538
<b>12</b>	Group 1	5	-	6	1	No relationship	Oral herpes	10067152
<b>12</b>	Group 1	25	-	0	1	Possible	Headache	10019211
<b>14</b>	Group 1	16	-	7	1	No relationship	COVID-19	10084268
<b>14</b>	Group 1	24	-		2	No relationship	Post-acute COVID-19 syndrome	10085503
<b>19</b>	Group 1	13	-	4	1	No relationship	Upper respiratory tract infection	10046306
<b>25</b>	Group 1	1	-	208	1	No relationship	Back pain	10003988
<b>25</b>	Group 1	6	-	203	2	No relationship	Muscle strain	10050031
<b>25</b>	Group 1	10	-	0	1	Possible	Headache	10019211
<b>25</b>	Group 1	12	-		2	No relationship	Headache	10019211
<b>25</b>	Group 1	20	-	214	3	No relationship	Upper respiratory tract infection	10046306

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<b>4</b>	Group 2	63	7	7	2	Possible	Thrombocytopenia	10043554
<b>4</b>	Group 2	27	-	22	1	Possible	Leukopenia	10024384
<b>13</b>	Group 2	78	22	27	2	No relationship	Neck pain <sup>s</sup>	10028836
<b>13</b>	Group 2	81	25		1	No relationship	Dizziness	10013573
<b>13</b>	Group 2	5	-	30	3	Possible	Neck pain	10028836
<b>15</b>	Group 2	75	19	6	1	No relationship	COVID-19	10084268
<b>15</b>	Group 2	22	-	7	1	No relationship	Cough	10011224
<b>18</b>	Group 2	70	12	1	3	No relationship	Immunisation reaction*	10021432
<b>18</b>	Group 2	7	-	7	1	No relationship	Vessel puncture site bruise	10063881
<b>18</b>	Group 2	8	-	1	1	No relationship	Upper respiratory tract infection	10046306
<b>20</b>	Group 2	65	7	0	2	Possible	Malaise	10025482
<b>20</b>	Group 2	5	-	25	3	No relationship	Otitis media	10033078
<b>23</b>	Group 2	7	-	20	4	No relationship	Hypokalaemia	10021015
<b>23</b>	Group 2	27	-	16	2	Possible	Neutropenia	10029354
<b>24</b>	Group 2	64	8	21	3	No relationship	Blood urea increased	10005851
<b>28</b>	Group 2	69	11	1	1	No relationship	Immunisation reaction*	10021432
<b>28</b>	Group 2	76	18	0	1	No relationship	Abdominal pain	10000081

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<b>28</b>	Group 2	1	-	0	1	No relationship	Eructation	10015137
<b>28</b>	Group 2	8	-	0	1	No relationship	Headache	10019211
<b>28</b>	Group 2	10	-	6	1	No relationship	Upper respiratory tract infection	10046306
<b>28</b>	Group 2	18	-	366	1	No relationship	Myalgia	10028411
<b>28</b>	Group 2	22	-	2	1	No relationship	Fatigue	10016256
<b>28</b>	Group 2	22	-	1	1	No relationship	Malaise	10025482
<b>28</b>	Group 2	25	-	1	2	No relationship	Pharyngitis	10034835
<b>28</b>	Group 2	25	-	3	1	No relationship	Ligament sprain	10024453
<b>29</b>	Group 2	74	16	1	1	No relationship	Headache	10019211
<b>29</b>	Group 2	11	-	0	1	No relationship	Fatigue	10016256
<b>30</b>	Group 2	86	0		1	No relationship	Eosinophilia	10014950
<b>30</b>	Group 2	113	27	8	1	No relationship	COVID-19	10084268
<b>30</b>	Group 2	27	-	59	1	No relationship	Anaemia	10002034
<b>31</b>	Group 2	3	-	1	1	Possible	Aphthous ulcer	10002959
<b>31</b>	Group 2	27	-	23	2	No relationship	Lymphopenia	10025327
<b>33</b>	Group 2	23	-	1	3	No relationship	Migraine	10027599
<b>35</b>	Group 2	91	7	0	2	Possible	Migraine	10027599

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<b>38</b>	Group 2	57	1	8	2	No relationship	Toothache	10044055
<b>38</b>	Group 2	63	7	21	3	No relationship	Hypokalaemia	10021015
<b>38</b>	Group 2	70	14	9	2	No relationship	Upper respiratory tract infection	10046306
<b>38</b>	Group 2	8	-	0	2	Possible	Malaise	10025482
<b>41</b>	Group 2	26	-	2	1	No relationship	Injection site pain*	10022086
<b>8</b>	Group 3	9	-	1	1	No relationship	Myalgia	10028411
<b>8</b>	Group 3	11	-	2	2	No relationship	Headache	10019211
<b>16</b>	Group 3	212	24	75	1	No relationship	Rhinitis allergic	10039085
<b>16</b>	Group 3	19	-	1	1	No relationship	Pharyngitis	10034835
<b>16</b>	Group 3	27	-	2	2	No relationship	Vessel puncture site pain	10066951
<b>21</b>	Group 3	191	8	7	2	Possible	Haemoglobin decreased	10018884
<b>21</b>	Group 3	13	-	1	1	No relationship	Headache	10019211
<b>22</b>	Group 3	19	-	3	1	No relationship	Upper respiratory tract infection	10046306
<b>27</b>	Group 3	8	-	2	1	Definite	Injection site pain <sup>&amp;</sup>	10022086
<b>32</b>	Group 3	253	28	0	2	No relationship	Syncope	10042772
<b>32</b>	Group 3	3	-	17	1	No relationship	Upper respiratory tract infection	10046306
<b>32</b>	Group 3	10	-	4	3	No relationship	C-reactive protein increased	10006825

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<b>34</b>	Group 3	197	7	21	3	No relationship	Influenza like illness	10022004
<b>34</b>	Group 3	18	-	8	3	No relationship	Upper respiratory tract infection	10046306
<b>36</b>	Group 3	27	-	2	1	No relationship	Upper respiratory tract infection	10046306
<b>37</b>	Group 3	1	-	11	2	Definite	Injection site induration	10022075
<b>37</b>	Group 3	7	-	0	1	No relationship	Vertigo	10047340
<b>37</b>	Group 3	8	-	3	1	Possible	Rash	10037844
<b>37</b>	Group 3	26	-	2	2	No relationship	Dermatitis contact	10012442
<b>39</b>	Group 3	14	-	129	3	No relationship	Tonsillitis	10044008
<b>40</b>	Group 3	190	0	13	3	Definite	Injection site reaction	10022095
<b>40</b>	Group 3	20	-	10	1	No relationship	Upper respiratory tract infection	10046306
<b>42</b>	Group 3	17	-	0	1	No relationship	Headache	10019211
^ Participant ID anonymised *Non-study vaccinations \$ Episode of musculoskeletal neck pain & Injection site reaction with 4cm induration and some bruising								

The full publication is in final draft and will be published in due course. This will be linked to the ISRCTN record at the time of publication.