

# Pneumonia Research: Establishing a Model Using SPN3

<b>Submission date</b> 01/05/2019	<b>Recruitment status</b> No longer recruiting	<input checked="" type="checkbox"/> Prospectively registered <input type="checkbox"/> Protocol
<b>Registration date</b> 08/05/2019	<b>Overall study status</b> Completed	<input type="checkbox"/> Statistical analysis plan <input checked="" type="checkbox"/> Results
<b>Last Edited</b> 11/07/2022	<b>Condition category</b> Other	<input type="checkbox"/> Individual participant data

## Plain English summary of protocol

### Background and study aims

*Streptococcus pneumoniae* is the leading cause of disease and death worldwide, causing community-acquired pneumonia (CAP), bacterial meningitis and sepsis. Pneumococcal infections cause over 1 million pneumonia deaths per year in children in the developing world and are a major burden of ear infections globally. The Experimental Human Pneumococcal Challenge (EHPC) Model has been developed over ten years in which healthy participants have live pneumococcal bacteria pipetted into their nose to determine colonisation rates, immune responses and test pneumococcal vaccines. In order to increase the relevance of the model and its use for vaccine development, we wish to now further develop the EHPC model to include other strains of pneumococcus that are commonly found in the community. In this study, we will establish safe colonisation with SPN3.

### Who can participate?

Healthy adults aged 18 - 69 years can take part.

### What does the study involve?

The initial stage of the study involves healthy volunteers 18-50yrs old. These participants will be nasally inoculated with a dose of live pneumococcal bacteria: SPN3. The dose inoculated will be either 20,000 or 80,000 or 160,000 CFU/0.1ml per nostril. Groups of 10 participants will be inoculated with each dose to achieve a colonisation rate of  $\geq 40\%$ . A lower dose of 10,000 CFU/0.1ml per nostril may also be used in 10 participants if the colonisation rates of the earlier doses are low. Participants are followed up during clinic visits to confirm that they are well at Day 2, Day 7 and Day 14. Research samples are taken during these visits and may include throat swabs, urine, blood, saliva, nasal wash (squirting some salty water into the nose and collecting the liquid) and nasal cell samples (a small scratch inside the nose).

Once the optimum dose has been selected by the Trial Steering Group, a further 33 healthy participants will be nasally inoculated using this dose. This stage of the study can be repeated with a second isolate of SPN3 if the colonisation rates are low.

Following the first stage to determine the optimum isolate and dose, 10 healthy older adults aged 60-69years old will be nasally inoculated with the selected isolate and dose to confirm colonisation and safety.

All participants will attend a screening appointment prior to inoculation and will be followed up

in clinic at Day 2, Day 7 and Day 14 at which point they will be given a 3-day course of amoxicillin to take if they have tested positive for pneumococcal colonisation (SPN3) at any point following the nasal inoculation.

What are the possible benefits and risks of participating?

There are no direct benefits to taking part in the study however we hope that participants will feel that they have contributed to a research project that could inform future vaccine research and development.

The risks associated with the research relate to the sampling methods and the inoculation of live bacteria. These are as follows:

Nasal wash samples involve squirting some sterile saline inside the nose, this is then expelled and collected for processing. Some participants may swallow some of the saline however this is not uncomfortable.

Blood sampling, we take a small sample of blood at the beginning of the study (up to 50ml). Some participants may find this temporarily uncomfortable however the staff that perform this are trained and experienced in this process. On occasions, blood sampling can cause a small bruise or make the participant feel light headed. The volume taken during this study is highly unlikely however to make participants feel light headed.

Throat swab may make the participant gag a little.

There are no adverse effects of the saliva and urine samples.

Nasal cell sampling can cause discomfort however this is temporary, it is likely to make the participant's eyes water and can occasionally cause slight bleeding evident on the sample probe. It is not likely to cause an actual nose bleed.

The risks associated with the inoculation of live bacteria include pneumonia, meningitis or sepsis. We have however inoculated over 1400 healthy participants in 9 years and we have not experienced a single case of these diseases. We reduce the risk by providing the participant with 24/7 access to a member of the research team, a course of antibiotics to be taken in case of illness (under specific guidance of the research team), a safety information leaflet and a digital thermometer to check their temperature daily for the first 3-4 days and in the case of feeling unwell.

Where is the study run from?

Accelerator Research Clinic, Liverpool School of Tropical Medicine, UK.

When is the study starting and how long is it expected to run for?

The study is planned to start in June 2019 and is expected to run for up to 2 years or until the recruitment target has been reached. Each participant is expected to remain in the study for 3-4 weeks

Who is funding the study?

Pfizer UK

Who is the main contact?

Dr Ryan Robinson

[ryan.robinson@lstmed.ac.uk](mailto:ryan.robinson@lstmed.ac.uk)

**Study website**

<https://www.lstmed.ac.uk/pneumoniavaccine>

## Contact information

**Type(s)**

Public

**Contact name**

Dr Ryan Robinson

**ORCID ID**

<http://orcid.org/0000-0003-0871-4780>

**Contact details**

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01517029468  
[ryan.robinson@lstmed.ac.uk](mailto:ryan.robinson@lstmed.ac.uk)

## Additional identifiers

**EudraCT/CTIS number**

Nil known

**IRAS number****ClinicalTrials.gov number**

Nil known

**Secondary identifying numbers**

Version 1: 18/03/2019

## Study information

**Scientific Title**

Experimental Human Pneumococcal Challenge (EHPC) Model: Establishing SPN3 Challenge Model

**Acronym**

EHPC: SPN3

**Study objectives**

To determine the optimum dose and isolate of SPN3 to establish colonisation in healthy adults by classical culture of the nasopharynx using the Experimental Human Pneumococcal Challenge (EHPC) model.

**Ethics approval required**

Old ethics approval format

**Ethics approval(s)**

Approved 26/06/2019, North West - Liverpool East Research Ethics Committee (Barlow House, 3rd Floor, 4 Minshull Street, Manchester, M1 3DZ; 0207104 8345; 0207 104 8019; nrescommittee.northwest-liverpooleast@nhs.net), ref: 19/NW/0238, IRAS 263376

**Study design**

Interventional non-randomised study

**Primary study design**

Interventional

**Secondary study design**

Non randomised study

**Study setting(s)**

Other

**Study type(s)**

Other

**Participant information sheet**

See additional files

**Health condition(s) or problem(s) studied**

Healthy volunteers

**Interventions**

The initial stage of the study involves healthy volunteers 18-50yrs old. These participants will be nasally inoculated with a dose of live pneumococcal bacteria: SPN3. The dose inoculated will be either 20,000 or 80,000 or 160,000 CFU/0.1ml per nostril. Cohorts of 10 participants will be inoculated with each dose to achieve a colonisation rate of  $\geq 40\%$ . A lower dose of 10,000 CFU/0.1ml per nostril may also be used in 10 participants if the colonisation rates of the earlier doses are low.

Once the optimum dose has been selected by the Trial Steering Group, a further 33 healthy participants will be nasally inoculated using this dose. This stage of the study can be repeated with a second isolate of SPN3 if the colonisation rates are low.

Following the first stage to determine the optimum isolate and dose, 10 healthy older adults aged 60-69years old will be nasally inoculated with the selected isolate and dose to confirm colonisation and safety.

All participants will attend a screening appointment prior to inoculation and will be followed up in clinic at Day 2, Day 7 and Day 14 at which point they will be given a 3-day course of amoxicillin to take if they have tested positive for pneumococcal colonisation (SPN3) at any point following the nasal inoculation.

**Intervention Type**

Biological/Vaccine

**Phase**

Not Applicable

**Drug/device/biological/vaccine name(s)**

Pneumococcal bacteria serotype 3

**Primary outcome measure**

The presence of SPN3 pneumococcal bacteria in nasal wash recovered by classical microbiological culture at any timepoint post inoculation: Day 2, Day 7 or Day 14. This will be reported as yes or no.

**Secondary outcome measures**

1. Density of bacteria recovered from nasal wash by classical microbiological culture in positive participants, this will be reported as colony forming units (CFU) per ml of nasal wash.
2. The presence of SPN3 pneumococcal bacteria in nasal wash recovered by molecular methods at any timepoint post inoculation: Day 2, Day 7 or Day 14. This will be reported as yes or no.

**Overall study start date**

03/08/2018

**Completion date**

23/06/2021

**Eligibility****Key inclusion criteria**

1. Healthy young adults aged 18-50 years (inclusive) - ages chosen to minimise the risk of pneumococcal infection, and to allow comparison with previously published experimental work done by our group.
2. Healthy older participants group only- adults aged 60-69 years (inclusive)
3. Fluent spoken English - to ensure a comprehensive understanding of the research project and their proposed involvement
4. Access to their own mobile telephone - to ensure safety and timely communication
5. Capacity to give informed consent

**Participant type(s)**

Healthy volunteer

**Age group**

Mixed

**Lower age limit**

18 Years

**Upper age limit**

69 Years

**Sex**

Both

**Target number of participants**

156

**Total final enrolment**

96

## **Key exclusion criteria**

1. Currently involved in another study unless observational or non-interventional except for the EHPC bronchoscopy study\*
2. Participant in a previous EHPC trial within the last 3 years (at the discretion of the study team ie. Not inoculated nasally with pneumococcus)
3. Vaccination: previous pneumococcal vaccination PPV23 or PCV13 (routine in UK babies born since 2005 or US 2001) or PCV10.
4. Allergy: to penicillin or amoxicillin
5. Chronic ill health including, immunosuppressive history, diabetes, asthma (on regular medication), recurrent otitis media or other respiratory disease
6. Medication that may affect the immune system e.g. steroids, inflammation altering (e.g. nasal steroids, roacutane) or disease-modifying anti-rheumatoid drugs.
7. Recent antibiotics (within the last 28 days or long term for known active chronic infection)
8. Current illness or acute illness within 14 days prior to inoculation
9. Major pneumococcal illness requiring hospitalisation
10. Other conditions considered by the clinical team as a concern for participant safety or integrity of the study
11. Direct caring role or close contact with individuals at higher risk of infection (children under 5 years age, chronic ill health or immunosuppressed adults)
12. Current or ex-smoker (regular cigarettes, regular e-cigarette/vaping and regular smoking of recreational drugs) in the last 6 months
13. Previous significant smoking history - more than 20 cigarettes per day for 20 years or the equivalent (>20 pack years)
14. Women of child-bearing potential (WOCBP) who are:
  - 14.1 Not deemed to have sufficient/effective birth control or confirmed abstinence
  - 14.2 Pregnant
15. History or current drug or alcohol abuse (frequently drinking alcohol: men and women should not regularly drink >3 units/day and >2 units/day respectively) at discretion of the clinician
16. Overseas travel planned in follow up period of the study visits
17. Natural pneumococcal colonisation at baseline It is anticipated that 10-15% of screened participants will have natural pneumococcal colonisation at the time of recruitment as demonstrated by the initial nasal wash. These individuals will be excluded from the study after screening visits.

## **Date of first enrolment**

24/06/2019

## **Date of final enrolment**

23/06/2020

## **Locations**

### **Countries of recruitment**

England

United Kingdom

### **Study participating centre**

**Accelerator Research Clinic**

Liverpool Life Sciences Accelerator Building  
1 Daulby Street  
Liverpool  
United Kingdom  
L7 8XZ

## Sponsor information

**Organisation**

Liverpool School of Tropical Medicine

**Sponsor details**

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Liverpool  
England  
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L3 5QA  
01517053794  
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**Sponsor type**

University/education

**ROR**

<https://ror.org/03svjbs84>

## Funder(s)

**Funder type**

Industry

**Funder Name**

Pfizer UK

**Alternative Name(s)**

Pfizer Ltd, Pfizer Limited

**Funding Body Type**

Private sector organisation

**Funding Body Subtype**

For-profit companies (industry)

## Location

United Kingdom

# Results and Publications

## Publication and dissemination plan

We plan to publish the results in scientific peer-reviewed journals, national and international conferences, on our website and summaries to be included in our yearly newsletter that is used at public engagement events and sent to all participants electronically.

## Intention to publish date

23/06/2022

## Individual participant data (IPD) sharing plan

All data generated or analysed during this study will be included in the subsequent results publication.

## IPD sharing plan summary

Other

## Study outputs

Output type	Details	Date created	Date added	Peer reviewed?	Patient-facing?
<a href="#">Participant information sheet</a>	version V1	18/03/2019	23/05/2019	No	Yes
<a href="#">Participant information sheet</a>	version V1	18/03/2019	23/05/2019	No	Yes
<a href="#">Results article</a>		08/07/2022	11/07/2022	Yes	No
<a href="#">HRA research summary</a>			28/06/2023	No	No