# The use of restrictive practices in the care of people living with dementia in hospital

Submission date 25/08/2022	<b>Recruitment status</b> No longer recruiting	[X] Prospectively registered [] Protocol
<b>Registration date</b> 26/08/2022	<b>Overall study status</b> Completed	Statistical analysis plan [_] Results
Last Edited 05/03/2024	<b>Condition category</b> Mental and Behavioural Disorders	<ul> <li>Individual participant data</li> <li>Record updated in last year</li> </ul>

# Plain English summary of protocol

Background and study aims

This study will examine everyday cultures of restrictive practices in the care of people living with dementia (PLWD) during an acute hospital admission in order to understand the nature, rationales, and experiences of PLWD, their families, and ward staff. Data collection will be carried out within nine wards: six acute wards (three general medicine and three older person's care) and three specialist inpatient mental health wards (dementia specialist mental health inpatient wards across trusts in two regions of the UK (Yorkshire and the South East) and health boards in Wales. 30 days of observation will occur in each acute ward alongside 15 days of observation will occur in each mental health ward.

Who can participate?

Ward staff, patients and carers and family members of patients with a diagnosis or query of dementia when admitted to hospital

What does the study involve?

1. Observing routine ward care practices within and across shifts (am/pm) and different staffing structures (weekends/nights), including handovers

2. Shadowing the work of ward staff and wider hospital staff involved in the care of PLWD

3. Observing clinical assessments, team meetings, and where possible discharge and transfer meetings

4. Observing to identify which patients experience restrictive practices, what forms they take, and if there are any individual patients or groups who appear to be excluded, exempt, or experience increased use of these practices

5. Observing the care of PLWD perceived as at higher risk of adverse incident or 'challenging' behaviour

6. Ethnographic interviews (less than 10-minute conversations during ethnographic observation) with ward staff

7. Document analysis of ward records

8. Interviews carried out with PLWD, their care partners and families to explore the recognition, understandings, and experiences of restrictive practices.

9. Follow-up interviews after discharge in the community with PLWD and their family members participating in the ward ethnography and interviews. Analysis will inform the delivery of

evidence-based strategies to support best practice in the care of PLWD at the ward level, including open-access training and NHS service organisational interventions.

What are the possible benefits and risks of participating?

This study is unlikely to directly benefit patient participants (which is explained clearly in the Patient and Family Member Information sheet). This project does, however, give people living with dementia a rare opportunity to contribute to research which may potentially benefit other people living with the condition and their families.

This is an observational study and will carry no direct risks to participants' physical health. No changes to lifestyle are expected, nor any freedom of action. It is possible that in some cases observations may cause distress or discomfort, at which point observations will cease.

Where is the study run from? University of West London (UK)

When is the study starting and how long is it expected to run for? March 2022 to August 2024

Who is funding the study? National Institute for Health and Care Research (NIHR) (UK)

Who is the main contact? Andy Northcott, andy.northcott@uwl.ac.uk

Study website https://storiesofdementia.com

# **Contact information**

**Type(s)** Principal Investigator

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# Additional identifiers

**EudraCT/CTIS number** Nil known **IRAS number** 313816

**ClinicalTrials.gov number** Nil known

Secondary identifying numbers CPMS 53197, IRAS 313816

# Study information

# Scientific Title

Understanding the everyday use of restrictive practices in the care of people living with dementia during a hospital admission: reducing inappropriate use, identifying good practice and alternative approaches to reduce risk and improve care

# Study objectives

The aim of this in-depth ethnographic study is to examine everyday cultures of restrictive practices in the care of people living with dementia (PLWD) during an acute hospital admission. It will explore what forms these practices take, the rationales for their use, and the experience of these practices from the perspectives of PLWD, their families, and ward staff. It will identify evidence-based (and alternative) strategies in the care of PLWD that are achievable, safe, and transferrable across care settings. The objectives are to:

1. Provide a detailed examination of the social and organisational context in influencing the everyday care of PLWD to understand the (a) nature of restrictive (and alternative) practices within acute wards (b) circumstances and contexts of use (c) care practices when PLWD are perceived as at risk of 'falls' or 'wandering', or when their behaviour is perceived as 'challenging', 'disruptive' or 'aggressive'.

2. Examine the recognition, understandings, and experiences of restrictive (and alternative) practices during an admission from the perspectives of PLWD and their families.

3. Examine staff perspectives (a) their understandings and recognition of restrictive practices (b) the formal frameworks and informal rationales drawn on to inform the care of PLWD.

4. Translate the findings into evidence-based strategies to support best practice and alternative approaches in the care of PLWD at ward level.

# Ethics approval required

Old ethics approval format

# Ethics approval(s)

Approved 15/07/2022, London - Bromley Research Ethics Committee (Temple Quay House, 2 The Square, Temple Quay, Bristol, BS1 6PN, UK; +44 (0)207 104 8105; bromley.rec@hra.nhs.uk), ref: 22/LO/0448

## Study design

Multi-site ethnographic study

**Primary study design** Observational

Secondary study design

#### Case series

Study setting(s) Hospital

**Study type(s)** Quality of life

#### Participant information sheet

Not available in web format, please use contact details to request a participant information sheet.

#### Health condition(s) or problem(s) studied

Dementia

#### Interventions

Ethnography and in-situ interviews about the everyday use of formal and informal restrictive practices across a range of hospital settings known to admit people living with dementia.

Ethnographic data collection will be carried out within nine wards: six acute wards (three general medicine and three older person's care) and three specialist inpatient mental health wards (dementia specialist mental health in-patient wards across trusts in two regions of the UK (Yorkshire and the South East) and health boards in Wales. 30 days of observation will occur in each acute ward alongside 15 days of observation will occur in each mental health ward (n = 225 days of observed practice).

## Intervention Type

Other

#### Primary outcome measure

 The visible work of nurses and healthcare assistants involved in delivering everyday care to people living with dementia as staff make decisions relating to the use of restrictive practices during shifts, assessed using ethnographic observation over four hour periods
 Routine practice and responses when interacting with people living with dementia explored using short ethnographic interviews as care is delivered over four hour periods
 The potential impacts and consequences of restrictive practice on patient experiences and discharge pathway in the 3 months after discharge, assessed with follow-up interviews with people living with dementia and family carers at monthly intervals

#### Secondary outcome measures

There are no secondary outcome measures

Overall study start date 01/03/2022

Completion date 31/08/2024



## Key inclusion criteria

Ward staff, patients and carers and family members of patients with a diagnosis or query of dementia when admitted to hospital

**Participant type(s)** Patient, Health professional, Carer

# Age group

Mixed

**Sex** Both

**Target number of participants** 140

**Key exclusion criteria** Patients without a diagnosis or query of dementia will not be included in observations

Date of first enrolment 01/09/2022

Date of final enrolment 31/08/2024

# Locations

**Countries of recruitment** England

United Kingdom

**Study participating centre Leeds and York Partnership NHS Foundation Trust** 2150 Century Way Thorpe Park Leeds United Kingdom LS15 8ZB

# Sponsor information

**Organisation** University of West London

## Sponsor details

St Mary's Road Ealing London England United Kingdom W5 5RF +44 (0)20 8231 2468 SBMSadmin@uwl.ac.uk

**Sponsor type** University/education

Website http://www.uwl.ac.uk/

ROR https://ror.org/03e5mzp60

# Funder(s)

**Funder type** Government

**Funder Name** National Institute for Health and Care Research

## Alternative Name(s)

National Institute for Health Research, NIHR Research, NIHRresearch, NIHR - National Institute for Health Research, NIHR (The National Institute for Health and Care Research), NIHR

**Funding Body Type** Government organisation

Funding Body Subtype National government

**Location** United Kingdom

# **Results and Publications**

## Publication and dissemination plan

Planned publication in a high impact peer-reviewed journal, accompanied by open access report, online training and public events.

# Intention to publish date

01/03/2025

## Individual participant data (IPD) sharing plan

The datasets generated during and/or analysed during the current study are not expected to be made available. For reasons of participant anonymity, the full dataset for this study will be stored privately and securely by the University of West London and destroyed after 5 years. Anonymised sections of data will be published where appropriate, for example in academic journals.

#### IPD sharing plan summary

Not expected to be made available

#### Study outputs

Output type	Details	Date created	Date added	Peer reviewed?	Patient-facing?
HRA research summary			28/06/2023	No	No