# Hospital alerting via electronic noticeboard (HAVEN)

Submission date 20/05/2016	<b>Recruitment status</b> No longer recruiting	<ul><li>Prospectively registered</li><li>[X] Protocol</li></ul>
<b>Registration date</b> 27/07/2016	<b>Overall study status</b> Completed	<ul> <li>[] Statistical analysis plan</li> <li>[X] Results</li> </ul>
Last Edited 25/03/2021	<b>Condition category</b> Signs and Symptoms	Individual participant data

## Plain English summary of protocol

#### Background and study aims

Late recognition of deteriorating patients (i.e their condition getting worse) in hospitals causes delays in treatment for these patients, which then results in an increase in mortality (ill health) and morbidity (death) despite the widespread introduction of vital sign-based "early warning scores".

Therefore, developing systems that recognise earlier when a patient is at risk of a severe but reversible deterioration in health is a key goal for the NHS. The HAVEN Project aims to produce a hospital-wide IT system that enables a continuous risk assessment in all hospital patients, and predicts those at risk of deterioration.

#### Who can participate?

Data are collated from the records of all adult patients over the age of 18 who are admitted to all participating hospitals. Data without identifying information are sent to the central office in Oxford. Staff members from Oxford and Portsmouth trusts are also recruited to help design the user interface.

#### What does the study involve?

An IT system is developed that routinely stores electronic data (including demographics, laboratory results and vital signs recordings) to create a continuous risk assessment. Data is gathered from different local databases; at present they are not integrated or displayed in a way that supports decision making or calculation of patient risk. Risk prediction algorithms are then developed (programs that look at the information and decide whether a patient is likely to be at risk of deteriorating) and tested. These use the records of patients who are admitted to hospital and then admitted to an intensive care unit (ICU) after two or more days in hospital. The information about these patients illustrates the pathway from the first signs of deterioration on the ward to ICU admission. There is no direct involvement for patients who are included in the project. Information from their hospital stay is collected by the NHS IT groups in a way that will allow the university-based research team to review the information without knowing who the people are. During the project, the automatic system is checked to see whether it has correctly recognised if patients needed intensive care treatment during their hospital stay. Doctors and nurses who are part of the research team need access to individual patient information to check this. The algorithms are used to create an interface allowing clinical staff to identify, rank,

review and treat patients who, without acute medical intervention (treatment), will deteriorate and require ICU admission. Members of staff are asked questions about the information they would look for to recognise that a patient was becoming unwell. They are then observed as they carry out their usual daily tasks.

What are the possible benefits and risks of participating?

There are no risks to patients whose data is used in the project and the potential benefit is the development of a hospital-wide IT system that is capable of recognising patients at risk of deterioration. Clinical participants (healthcare staff) will need to find time to meet with the research team to discuss the project plans in some detail as well as participate in the cognitive task analysis and user interface testing.

Where is the study run from?

The project is run from the University of Oxford. It takes place at the University of Portsmouth, Portsmouth Hospitals NHS Trust, and Oxford University Hospitals NHS Foundation Trust. Other hospitals taking part provide data only.

When is the study starting and how long is it expected to run for? August 2015 to March 2023

Who is funding the study? Department of Health and the Wellcome Trust through the Health Innovation Challenge Fund provided the initial project funding. The ongoing work is funded by the NIHR Oxford Biomedical Research Centre.

Who is the main contact? Rachel Henning ccrg@ndcn.ox.ac.uk

#### Study website

http://www.ndcn.ox.ac.uk/research/critical-care-research-group-kadoorie-centre/research-studies/hospital-alerting-via-electronic-noticeboard-haven/haven

## **Contact information**

**Type(s)** Public

**Contact name** Ms Rachel Henning

#### **Contact details**

Kadoorie Centre for Critical Care Research and Education Level 3, John Radcliffe Hospital Headley Way Headington Oxford United Kingdom OX3 9DU +44 (0)1865 231448 ccrg@ndcn.ox.ac.uk **Type(s)** Scientific

**Contact name** Dr Peter Watkinson

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**Contact details** Department of Clinical Neurosciences University of Oxford Oxford United Kingdom OX3 9DU

# Additional identifiers

EudraCT/CTIS number

**IRAS number** 

ClinicalTrials.gov number

Secondary identifying numbers 160405-HAVEN-v1

# Study information

**Scientific Title** Hospital Alerting Via Electronic Noticeboard (HAVEN)

**Acronym** HAVEN

Study objectives

Production of a hospital-wide IT system that enables a continuous risk assessment in all hospital patients, optimised for end-user functionality.

**Ethics approval required** Old ethics approval format

Ethics approval(s)

1. South Central Oxford C Research Ethics Committee, 20/06/2016, ref: 16/SC/0264

2. Confidentiality Advisory Group, 04/07/2016, ref: 16/CAG/0066

**Study design** Observational mixed quantitative/qualitative study Primary study design

Observational

**Secondary study design** Mixed quantitative/qualitative methodology

**Study setting(s)** Hospital

**Study type(s)** Other

### Participant information sheet

No participant information sheet available

#### Health condition(s) or problem(s) studied

Patients at risk of deterioration (and requiring intensive care unit admission) in hospital

#### Interventions

Patients will be identified by the system as likely (or not) to require intensive care unit admission. The system generated results will be compared against reality and discrepancies reviewed.

Creation of the risk prediction score will be a multi-stage process involving:

1. Candidate Variable Selection

Candidate variables will be selected by a systematic review of variables associated with inhospital deterioration; analysis of data of 5000 admissions to ICUs at the Royal Berkshire Hospital, the Churchill Hospital, Oxford and the John Radcliffe Hospital, Oxford to identify common factors to patients admitted from general wards; analysis of 127,000 patient episodes in Portsmouth Hospital to obtain information on patents who did not require ICU admission, and compare the frequency of candidate variables between those who deteriorated and those who remained stable; and a modified two-round

Delphi Process to review possible candidate variables identified in the above processes and to obtain further suggestions for variables of interest.

2. Score Creation

To create the risk prediction score, the project will utilise standard statistical approaches (including linear and non-linear regression), machine learning techniques and techniques such as Gaussian processes for trend analysis using trajectories in multi-dimensional input space. Algorithms will be developed in which correlations between the various time-series are learned automatically, allowing early detection of physiological deterioration by identifying unexpected changes in correlation or other changes in dynamics. The algorithms will include probabilistic, Bayesian methods that can cope with missing or artefactual data from one or more input time-series in a principled, robust

manner, as is required for a realistic real-time risk estimation system.

3. Score Validation

The risk prediction score will be validated by comparing observed ICU admissions with predicted ICU admissions. The goodness-of-fit of observed to expected ICU admissions will be described using the Hosmer-Lemeshow (HL) test.

4. Clinical Expert Review

The first risk prediction model will be run on new inpatient episodes. A list of false negatives (patients in whom the algorithm reported a low risk, but the patient was admitted to ICU) and

false positives (patients in whom the algorithm reported a high risk, but the patient was not admitted to ICU) will be generated. Clinicials from the project team to examine these patients' standard clinical records (EPR, paper notes, etc) to determine new factors (or combinations of factors) used by the clinical team to

determine the patients' need for ICU.

5. Score Re-validation

The score will be re-validated following the modifications made to the risk algorithm after step iv.

#### Intervention Type

Other

#### Primary outcome measure

 Validated risk prediction score identifying at least 50% of deteriorating patients admitted to the ICU at least 12 hrs ahead of their admission, with a false positive rate of under 60%. This will be validated by comparing observed ICU admissions with predicted ICU admissions. The goodness-of-fit of observed to expected ICU admissions will be described using the Hosmer-Lemeshow (HL) test. This outcome will be measured during the second half of 2017
 Data aggregation software in place capable of supplying all data fields to the algorithm
 Working interface complete with functional underlying software and prototype graphics. A minimum success criterion would be usability scale results above average (>68%). This will be designed using Human Factors methods. This will involve process mapping and task analysis of existing workflows used to recognise deterioration of patients on the ward. Knowledge gained will be used to produce a design specification for the interface. This will then be tested formally assessing efficiency, effectiveness and user satisfaction as outlined in ISO 9421

The end of the study will be a key timepoint for overall evaluation of the outcomes from the project.

#### **Secondary outcome measures** No secondary outcome measures

Overall study start date 01/08/2015

Completion date

31/03/2023

# Eligibility

#### Key inclusion criteria

1. Aged 16 years and over 1. Admitted to any hospital from Portsmouth Hospitals NHS Trust since 1st January 2010 or Oxford University Hospitals NHS Foundation Trust since 1st January 2014

Participant type(s) Patient

**Age group** Adult **Lower age limit** 16 Years

**Sex** Both

Target number of participants 450-500,000 patients

**Total final enrolment** 496710

Key exclusion criteria1. Patients <16 years of age</li>2. Patients whose data are not entered into local electronic patient records

Date of first enrolment 01/08/2015

Date of final enrolment 31/07/2018

## Locations

**Countries of recruitment** England

United Kingdom

**Study participating centre Oxford University Hospitals NHS Foundation Trust** Oxford United Kingdom OX3 9DU

**Study participating centre Portsmouth Hospitals NHS Trust** Portsmouth United Kingdom PO6 3LY

**Study participating centre Royal Preston Hospital** Sharoe Green Lane Fulwood Preston United Kingdom PR2 9HT

#### **Study participating centre Warwick Hospital** Lakin Road Warwick United Kingdom CV34 5BW

**Study participating centre Royal Berkshire NHS Foundation Trust** London Road Reading United Kingdom RG1 5AN

## Sponsor information

#### Organisation

University of Oxford

#### **Sponsor details**

University Offices Wellington Square Oxford England United Kingdom OX1 2JD

**Sponsor type** University/education

ROR https://ror.org/052gg0110

## Funder(s)

**Funder type** Government

#### Funder Name

Department of Health/Wellcome Trust Health Innovation Challenge Fund

Funder Name

NIHR Oxford Biomedical Research Centre

## **Results and Publications**

#### Publication and dissemination plan

Current publication and dissemination plan as of 25/03/2021: Publications are expected throughout the project with a final report within 12 months of the end of the project.

A full list of project-related publications is available from the project website: https://www.ndcn. ox.ac.uk/research/critical-care-research-group-kadoorie-centre/research-studies/hospitalalerting-via-electronic-noticeboard-haven

Previous publication and dissemination plan as of 26/06/2020: Publications are expected throughout the project with a final report within 12 months of the end of the project.

A full list of project-related publications is available from the project website: https://www.ndcn. ox.ac.uk/research/critical-care-research-group-kadoorie-centre/research-studies/ hospital-alerting-via-electronic-noticeboard-haven/academic-publications-from-the-havenproject

Previous publication and dissemination plan:

1. A protocol for a systematic review to determine the variables with a statistical association with unplanned ICU admission will be published in late 2016. This will be followed by the publication of the systematic review itself

2. A protocol for a systematic review examining usability evaluation methods used to assess information visualisations of patient data will be published in late 2016. This will be followed by the publication of the systematic review itself

3. There will be a final report within 12 months of the end of the project

4. Further interim papers are likely and will be confirmed at a later date

#### Intention to publish date

31/03/2024

#### Individual participant data (IPD) sharing plan

The datasets generated during and/or analysed during the current study are/will be available upon request. Currently researchers need to apply to Peter Watkinson (HAVEN Chief Investigator) to access the de-identified data. Applicants will need to outline their hypothesis and analysis plan. Valid submissions will be reviewed by a 'Data Access Committee' who will grant access (or not). At the end of the HAVEN project the trialists will provide a full access statement.

## **IPD sharing plan summary** Available on request

## Study outputs

Output type	Details	Date created	Date added	Peer reviewed?	Patient-facing?
Other publications	development of mathemetical model	16/07/2020	31/07/2020	Yes	No
Protocol article	protocol	11/09/2019	31/07/2020	Yes	No
Results article		01/07/2021	25/03/2021	Yes	No
HRA research summary	L		28/06/2023	No	No