# What is the inspired oxygen fraction that better predicts the need for surfactant administration for the lungs of preterm neonates with neonatal distress syndrome?

Submission date	Recruitment status	<ul><li>Prospectively registered</li></ul>
09/10/2023	No longer recruiting	☐ Protocol
Registration date	Overall study status	Statistical analysis plan
16/10/2023	Completed	Results
Last Edited	Condition category	Individual participant data
16/10/2023	Pregnancy and Childbirth	<ul><li>Record updated in last year</li></ul>

#### Plain English summary of protocol

Background and study aims

Current international guidelines on neonatal respiratory distress syndrome (RDS) recommend continuous positive airway pressure (CPAP) stabilization as the primary treatment. RDS occurs from a deficiency of surfactant, due to either inadequate surfactant production, or surfactant inactivation in the immature lungs. Surfactant replacement is indicated for those babies still requiring a fraction of inspired oxygen (FiO2) > 0.3 (above the normal atmospheric level or 20%) regardless of the gestational age (GA). The research team will explore the accuracy of decreasing FiO2 thresholds as early predictors of surfactant replacement in babies stratified by GA.

Who can participate?

Neonates aged 1 day to 6 months of age with RDS

#### What does the study involve?

This study is a pragmatic, observational study set in 12 Italian and Spanish neonatal intensive care units (NICUs). This is a secondary analysis of data from a derivation and a validation cohort of preterm babies suffering from RDS, stratified by gestational age (250-276; 280-306; 310-336 days) and stabilized on CPAP. FiO2 was collected soon after stabilization and its prognostic accuracy was evaluated on the subsequent surfactant administration by a rigorously masked physician.

What are the possible benefits and risks of participating?

It is hoped that future patients will benefit from the findings that FiO2 is a GA-dependent predictor of early surfactant administration. Neonates participating in the study have no possible risks because they will not undergo new procedures because of the study itself. It is an observational study without interventions on patients.

Where is the study run from?
University of Naples Federico II (Italy)

When is the study starting and how long is it expected to run for? May 2017 to April 2023

Who is funding the study?
University of Naples Federico II (Italy)

Who is the main contact?

Prof Francesco Raimondi, raimondi@unina.it (Italy)

# Contact information

#### Type(s)

Public, Scientific, Principal Investigator

#### Contact name

Prof Francesco Raimondi

#### **ORCID ID**

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# Additional identifiers

#### **EudraCT/CTIS** number

Nil known

#### **IRAS** number

# ClinicalTrials.gov number

Nil known

# Secondary identifying numbers

Nil known

# Study information

#### Scientific Title

Inspired oxygen fraction thresholds accuracy to predict surfactant administration in neonatal RDS is gestational age dependent: a pragmatic, multi-center study

#### Study objectives

The inspired oxygen fraction (FiO2) has been described as a significant predictor of CPAP failure and subsequent surfactant administration. Current European recommendations are to deliver surfactant if the infant has been stabilized with CPAP 6 cm H2O and still requires a FiO2>0.3 while Canadian guidelines recommend waiting until the oxygen requirement meets an FiO2>0.5. While the American Academy of Pediatrics would only recommend an early (i.e. within 2 hours of life) individualized surfactant administration without citing the FiO2>0.3 cut-off, the latter has been considered by a recent expert consensus from the United States.

Indeed, the choice of any FiO2 threshold is not supported by indisputable evidence. A recent meta-analysis showed no significant difference in major neonatal outcomes (i.e. BPD, ventilation etc) within the FiO2 0.3-0.59 interval. However, it remains unclear whether a FiO2  $\leq$ 0.3 threshold may be equally or more beneficial.

We hypothesized that decreasing FiO2 thresholds as predictors of CPAP failure would improve early surfactant replacement.

#### Ethics approval required

Ethics approval required

#### Ethics approval(s)

Approved 15/06/2017, Ethics Committee "Carlo Romano" at the Università Federico II di Napoli (Via Pansini 5, Napoli, 80131, Italy; + 39 331 2642920; segreteria@comitatoeticofedericoiicardarelli.it), ref: 187/17

#### Study design

Pragmatic observational secondary analysis cohort study

#### Primary study design

Observational

#### Secondary study design

Cohort study

#### Study setting(s)

Medical and other records

#### Study type(s)

Diagnostic

#### Participant information sheet

No participant information sheet available

#### Health condition(s) or problem(s) studied

Inspired oxygen fraction as a predictor of need for surfactant in respiratory distress syndrome of preterm neonates

#### **Interventions**

This is a secondary analysis of prospectively collected data from 12 NICUs (Università Federico II, Naples; Ospedale Pineta Grande, Castelvolturno; Ospedale Careggi, Università di Firenze, Florence; Ospedale Buzzi, Milan; Università di Milano, Fondazione Policlinico, Milan; Ospedale Salesi, Università Politecnica delle Marche, Ancona; Fondazione Policlinico Gemelli, Roma;

Hospital Gregorio Marañón, Madrid; Hospital San Juan de Dio, Barcelona; Hospital Basurto, Bilbao; and, Hospital Puerta del Mar, Cadiz).

Two cohorts of preterm infants 250-336 weeks of gestational age (GA) were investigated after validating their homogeneity. Neonates were stratified by gestational age calculated from the first day of the last menstrual period: 25-27; 28-30; 31-33 weeks. Written parental consent was obtained and the study was approved by the Ethics Committee "Carlo Romano" at the Università Federico II di Napoli (prot # 1621/17). Formal approval was also obtained by the Ethics Committee of each participating center. The study was conducted in accordance with the TRIPOD statement guidelines.

Preterm neonates were stabilized after birth as per the individual NICU protocol. After CPAP stabilization and within 120 minutes of life, a local investigator who was not in charge of the patient recorded the quantity of respiratory support in cm H2O, her/his FiO2 and the post-natal age. SpO2 was recorded at the right hand by pulse oximetry, and it was maintained in the 90-95% range by adjusting FiO2. Natural surfactant (poractant alfa 200 mg/kg for the first dose and 100 mg/kg for the following doses, Chiesi Farmaceutici, Parma, Italy) was prescribed by an attending neonatologist, unaware of the purpose of the study, based on radiographic and clinical signs suggestive of respiratory distress syndrome (RDS) as per the individual NICU protocol. For each patient, the local investigator also recorded the subsequent respiratory support history, the clinical complications from birth to discharge/exitus, and the presence of BPD.

#### **Intervention Type**

Other

#### Primary outcome measure

FiO2 thresholds recorded within the first 3 hours of life as predictors of later surfactant administration in preterm neonates by a masked attending physician measured using a secondary analysis of prospectively collected data at one timepoint

#### Secondary outcome measures

The following secondary outcome measures were measured using prospectively collected data at one timepoint:

- 1. Gestational age, birth weight, type of delivery, chorioamnionitis, maternal hypertension, PROM, IUGR, Apgar score at 5 min ≤5, and antenatal steroids recorded within 120 minutes of life
- 2. First surfactant dose administered (number of infants)
- 3. Age at first surfactant dose (minutes)
- 4. FiO2 at first surfactant dose
- 5. Death or BPD at NICU discharge

# Overall study start date

01/05/2017

# Completion date

30/04/2023

# **Eligibility**

Key inclusion criteria

Premature neonates with respiratory distress syndrome (RDS) enrolled at birth and before the administration of the first surfactant dose. RDS in the preterm infant was defined as the presence of intercostal and subcostal retractions with expiratory grunting shortly after birth in the presence of typical radiographic features.

#### Participant type(s)

**Patient** 

#### Age group

Neonate

#### Lower age limit

1 Days

#### Upper age limit

6 Months

#### Sex

Both

#### Target number of participants

412

#### Total final enrolment

412

#### Key exclusion criteria

- 1. Infants who were intubated in the delivery room
- 2. Neonates with major congenital malformations

#### Date of first enrolment

01/05/2018

#### Date of final enrolment

31/10/2022

# Locations

#### Countries of recruitment

Australia

Italy

Spain

# Study participating centre Federico II University Hospital

Division of Neonatology, Department of Translational Medical Sciences

Via Pansini 5 Naples Italy 80131

#### Study participating centre NICU Casa di Cura Pineta Grande

Via Domitiana Castel Volturno (CE) Italy 81030

#### Study participating centre

Neonatology Division, Instituto de Investigación Sanitaria Hospital General Universitario Gregorio Marañón, Complutense University of Madrid

C/O'Donnell, 48-50 Madrid Spain 28009

#### Study participating centre

Neonatal Intensive Care Unit, Department of Paediatrics, Hospital Germans Triasi Pujol, Autonomous University of Barcelona

Passeig Sant Joan de Déu, 2 Barcelona Spain 08950

#### Study participating centre NICU, Vittore Buzzi Children's Hospital

Via Castelvetro 32 Milan Italy 20154

# Study participating centre

Department of Clinical Sciences and Community Health, Fondazione Istituto di Ricovero e Cura a Carattere Scientifico Cà Granda Ospedale Maggiore Policlinico, University of Milan

Via della Commenda 12

Milan Italy 20122

# Study participating centre

Women's and Children's Health Department, University of Padova

Via Giustiniani 2 Padova Italy 35128

#### Study participating centre

Division of Neonatology, Careggi University Hospital of Florence

largo Brambilla 3 Florence Italy 50134

#### Study participating centre

Neonatal Intensive Care Unit, Puerta del Mar University

Avenida Ana de Viya 21 Cadiz Spain 11009

# Study participating centre

Neonatal Intensive Care Unit, Basurto University Hospital

Montevideo Etorb., 18, Bilbao Spain 48013

# Study participating centre

Newborn Research Centre, The Royal Women's Hospital, University of Melbourne

Grattan Street Parkville. Victoria Australia 3010

# Study participating centre TIN Ospedale Salesi, Università Politecnica delle Marche

Via Conca, 71 - Torrette Ancona Italy 60126

#### Study participating centre TIN Fondazione Gemelli. Università Cattolica del Sacro Cuore

Largo Agostino Gemelli 8 Roma Italy 00168

# Sponsor information

#### Organisation

University of Naples Federico II

#### Sponsor details

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#### Sponsor type

University/education

#### Website

http://www.unina.it/index.jsp

#### **ROR**

https://ror.org/05290cv24

# Funder(s)

#### Funder type

University/education

#### **Funder Name**

#### Università degli Studi di Napoli Federico II

#### Alternative Name(s)

University of Naples Federico II, University of Naples, Federico II University of Naples, Università di Napoli, Università di Napoli Federico II, UNINA

#### **Funding Body Type**

Government organisation

#### **Funding Body Subtype**

Universities (academic only)

#### Location

Italy

# **Results and Publications**

#### Publication and dissemination plan

Planned pubblication in a high impact peer-reviewed journal

#### Intention to publish date

21/02/2024

#### Individual participant data (IPD) sharing plan

The dataset generated and /or analyzed during the current study will be available upon request from Prof Francesco Raimondi, raimondi@unina.it. Anonymized data will be available for sharing for one year after the publication of the study.

#### IPD sharing plan summary

Available on request