

# NeoCLEAR: optimising lumbar punctures in newborns

<b>Submission date</b> 30/05/2018	<b>Recruitment status</b> No longer recruiting	<input type="checkbox"/> Prospectively registered <input checked="" type="checkbox"/> Protocol
<b>Registration date</b> 26/06/2018	<b>Overall study status</b> Completed	<input type="checkbox"/> Statistical analysis plan <input checked="" type="checkbox"/> Results
<b>Last Edited</b> 28/12/2023	<b>Condition category</b> Nervous System Diseases	<input type="checkbox"/> Individual participant data

## Plain English summary of protocol

### Background and study aims

Every year at least 15,000 newborns undergo a lumbar puncture to confirm suspected meningitis. Lumbar puncture technique varies in practice, and success rates are low (50-60%) meaning procedures need to be repeated, causing distress to the infants and their parents and extending treatment and hospital stay time. There is a pressing need for a large study to determine which lumbar puncture technique is the best approach. The aim of this study is to compare lumbar puncture techniques with the infant in a sitting position versus a lying position, and early versus late stylet removal.

### Who can participate?

Newborns and infants in neonatal units and maternity wards who are having a lumbar puncture

### What does the study involve?

The participants are randomly allocated to one of the following technique combinations:

1. Lying position and early stylet removal
2. Sitting position and early stylet removal
3. Lying position and late stylet removal
4. Sitting position and late stylet removal

The proportion of successful lumbar punctures is measured in the four groups.

### What are the possible benefits and risks of participating?

The results of this trial will inform best practice, and ultimately, improved technique would result in fewer uninterpretable samples, fewer repeated procedures, reduced distress for infants and families, decreased antibiotic use and risk of antibiotic resistance, and reduced NHS costs due to fewer procedures, reduced length of stay, shorter antibiotic courses, and minimised antibiotic-associated complications. All of the methods used in the study are used routinely within UK hospitals. At the moment it is not known whether one method is better than others, so babies taking part could be given any of them.

### Where is the study run from?

The University of Oxford (UK)

When is the study starting and how long is it expected to run for?  
September 2017 to February 2021

Who is funding the study?  
National Institute for Health Research (NIHR) (UK)

Who is the main contact?  
Christina Cole  
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**Study website**  
<https://www.npeu.ox.ac.uk/neoclear>

## Contact information

**Type(s)**  
Scientific

**Contact name**  
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**Contact details**  
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## Additional identifiers

**EudraCT/CTIS number**  
Nil known

**IRAS number**  
223737

**ClinicalTrials.gov number**  
Nil known

**Secondary identifying numbers**  
35643

## Study information

Scientific Title

NeoCLEAR: Neonatal Champagne Lumbar punctures Every time – An RCT. A multicentre, randomised controlled 2x2 factorial trial to investigate techniques to increase lumbar puncture success

## **Acronym**

NeoCLEAR

## **Study objectives**

Every year at least 15,000 newborns undergo a lumbar puncture to confirm suspected meningitis. Lumbar puncture technique varies in practice, and success rates are low (50-60%) meaning procedures need to be repeated, causing distress to the infants and their parents and extending treatment and hospital stay time. There is a pressing need for a large randomised controlled trial to determine which lumbar puncture technique is the best approach.

The trialists have designed a pragmatic (i.e a low level of trial-driven standards is enforced and sites work to their standard practices and processes for generalisability of the trial results), multi-centre, randomised controlled trial comparing two traditional lumbar puncture techniques:

1. The infant in sitting position versus lying position
2. Early versus late stylet removal

The aim is to determine the optimal technique for performing lumbar puncture in infants. The results of this trial will inform best practice, and ultimately, improved technique would result in:

1. Fewer uninterpretable samples
2. Fewer repeated procedures
3. Reduced distress for infants & families
4. Decreased antibiotic use and risk of antibiotic resistance
5. Reduced NHS costs due to fewer procedures, reduced length of stay, shorter antibiotic courses, and minimised antibiotic-associated complications

## **Ethics approval required**

Old ethics approval format

## **Ethics approval(s)**

South Central Hampshire-B, 12/06/2018, ref: 18/SC/0222

## **Study design**

Randomised; Interventional; Design type: Diagnosis, Other

## **Primary study design**

Interventional

## **Secondary study design**

Randomised controlled trial

## **Study setting(s)**

Hospital

## **Study type(s)**

Diagnostic

## **Participant information sheet**

## **Health condition(s) or problem(s) studied**

Meningitis

## **Interventions**

Stratified block randomisation will be used to ensure balance between the groups with respect to the collaborating hospital and corrected gestational age at trial entry.

The interventions compare:

1. Sitting position, in which the infant is held in a sitting position compared to lying ('lateral decubitus') position
2. Early stylet removal, which is the removal of the stylet from the hollow lumbar puncture needle shaft once it has penetrated the subcutaneous tissue before advancing the needle into the cerebrospinal fluid, compared to late stylet removal, which is removal of the stylet once it has been inserted into the expected cerebrospinal fluid space

The participants will be randomly allocated (with equal chance i.e. 1:1:1:1) to one of the following technique combinations:

1. Lying position and early stylet removal
2. Sitting position and early stylet removal
3. Lying position and late stylet removal
4. Sitting position and late stylet removal

Infants will be followed up until they are discharged home.

## **Intervention Type**

Procedure/Surgery

## **Primary outcome measure**

Proportion of infants with successful lumbar punctures, measured by whether cerebrospinal fluid is obtained and red blood cell count  $<10,000/\text{mm}^3$  on the first lumbar puncture procedure

## **Secondary outcome measures**

Current secondary outcome measures as of 24/04/2020:

The following short-term clinical, resource and safety outcomes have been defined as:

1. The proportion of infants with:
  - 1.1. No cerebrospinal fluid (CSF) obtained, or pure blood/clotted, or blood-stained, or clear
  - 1.2. CSF obtained and red blood cell (RBC) count  $<500$ ,  $<5000$ ,  $<10,000$ , or  $<25,000/\text{mm}^3$ , or any RBC count
  - 1.3. A CSF white blood cell (WBC) count not requiring a correction (whatever the RBC count)
2. Total number of procedures and attempts performed per infant
3. Proportion of infants diagnosed (by WBC count criteria, culture, Gram stain, and/or clinically) via CSF with:
  - 3.1. Meningitis: WBC count 20 or more in CSF, or a true positive culture/polymerase chain reaction (PCR) (if RBC count is  $\geq 500$ , the WBC count will be reduced by 1 for every 500 RBC counts to give a 'corrected' WBC count)
  - 3.2. Equivocal: WBC count (or corrected WBC)  $<20$ , AND negative (or contaminated/incidental) culture and PCR with:
    - 3.2.1. Polymorphonuclear leukocytes (PMN)  $>2$  (and RBC count  $<500$ ) OR
    - 3.2.2. Organism found on Gram stain

- 3.3. Negative: WBC (or corrected WBC) count  $<20$ , PMN  $\leq 2$  (if RBC count  $<500$ ), and negative (or contaminated/incidental) cultures, PCR, and Gram stain
- 3.4. Uninterpretable: No CSF obtained, or clotted, or CSF so bloody or insufficient that a cell count was impossible
4. CSF WBC, RBC, corrected WBC counts, PMNs and lymphocytes from the clearest sample
5. Time taken on first procedure from start of cleaning skin to removing needle at end of all attempts
6. Infant movement on first procedure using basic 4-point scale

Outcomes relating to cost and safety:

7. In all infants, according to CSF-defined and clinically-defined diagnostic criteria:
  - 7.1. Duration of the antibiotic course
  - 7.2. Length of stay in surviving infants
  - 7.3. Immediate complications related to LP:
    - 7.3.1. Cardiovascular instability including oxygen saturations and heart rate
    - 7.3.2. Respiratory deterioration (escalating respiratory support) post-LP
8. For the pilot phase: parental anxiety assessed using the State Trait Anxiety Inventory - State Subscale (STAI-S) Questionnaire

Previous secondary outcome measures:

Short-term clinical outcomes are measured by assessing:

1. The proportion of infants with:
  - 1.1. No cerebrospinal fluid (CSF) obtained, or Pure blood/Clotted, or blood-stained, or clear
  - 1.2. CSF obtained and red blood cell (RBC) count  $<500$ ,  $<5,000$ ,  $<10,000$ , or  $<25,000$  /mm<sup>3</sup>, or any RBC count
  - 1.3. A CSF white cell count not requiring a correction (whatever the RBC count)
2. Total number of procedures, and attempts within procedures, performed per infant to obtain interpretable CSF (RBC counts at the above thresholds)
3. Proportion of infants diagnosed (by WBC count criteria, culture, gram stain, and/or clinically) via CSF with:
  - 3.1. Meningitis: WBC count 20 or more in CSF, or more than 2 PMNs, or a positive culture or gram stain, or clinically diagnosed (if RBC count is  $>500$ , the WBC count will be reduced by 1 for every 500 RBC counts to give a 'corrected' WBC count)
  - 3.2. Equivocal: borderline white blood cell (WBC) counts, or uncertain culture result or uncertain clinical diagnosis
  - 3.3. Negative:  $<20$  CSF WBC count and 0–2 PMNs and negative cultures and gram stain and no clinical diagnosis of meningitis
  - 3.4. Uninterpretable: no CSF obtained, or CSF so bloody that a cell count was impossible
4. CSF WBC, RBC, corrected WBC counts, PMNs, and lymphocytes, for any of the above
5. Time taken from start of cleaning skin to removing needle at end of all attempts
6. Infant movement assessed using a basic 4-point scale at time of procedure
7. Parental anxiety, measured using short-version STAI at baseline and within 48 hours of the first lumbar puncture procedure
8. Cost measured by assessing the duration of the antibiotic course from trial entry to discharge home
9. Cost measured by assessing the length of stay in hospital from trial entry until discharge home
10. Safety measured by assessing cardiovascular instability, including oxygen saturations and heart rate during the lumbar puncture procedure
11. Safety measured by assessing respiratory deterioration based on the requirement for escalating respiratory support within 1 hour of the lumbar puncture procedure

**Overall study start date**

01/09/2017

**Completion date**

28/02/2021

## Eligibility

**Key inclusion criteria**

1. Neonates and infants in neonatal units and their maternity wards who are having a lumbar puncture
2. Parent(s) willing and able to give informed consent
3. Infants of corrected gestational age from 27+0 weeks to 44+0 weeks, AND working weight of 1,000 g or more
4. First lumbar puncture for current indication

**Participant type(s)**

Patient

**Age group**

Neonate

**Sex**

Both

**Target number of participants**

Planned Sample Size: 1,020; UK Sample Size: 1,020

**Total final enrolment**

1082

**Key exclusion criteria**

Current exclusion criteria as of 24/04/2020:

1. Unable to be held in sitting position (including infants intubated and mechanically-ventilated) or other clinical condition which is likely, in the opinion of the treating clinician, to make sitting difficult, or which is likely to be compromised by sitting (e.g. open gastroschisis)
2. Previously randomised to the trial

Previous exclusion criteria:

1. Unable to be held in sitting position (e.g. intubated and mechanically-ventilated) or other clinical condition which is likely to make sitting difficult, or which is likely to be compromised by sitting (e.g. open gastroschisis)
2. Previously randomised to the trial

**Date of first enrolment**

01/06/2018

**Date of final enrolment**

31/08/2020

## Locations

**Countries of recruitment**

England

United Kingdom

**Study participating centre****John Radcliffe Hospital (lead site)**

Headley Way

Headington

Oxford

United Kingdom

OX3 9DU

**Study participating centre****Birmingham Heartlands Hospital**

Bordesley Green East

Birmingham

United Kingdom

B9 5SS

**Study participating centre****Leicester Royal Infirmary**

Infirmary Square

Leicester

United Kingdom

LE1 5WW

**Study participating centre****Northampton General Hospital**

Cliftonville

Northampton

United Kingdom

NN1 5BD

**Study participating centre****Princess Anne Hospital**

Coxford Road

Southampton

United Kingdom

SO16 5YA

**Study participating centre**  
**Royal Berkshire Hospital**  
London Road  
Reading  
United Kingdom  
RG1 5AN

**Study participating centre**  
**Royal Hampshire County Hospital**  
Department of Paediatrics  
Winchester  
United Kingdom  
SO22 5DG

**Study participating centre**  
**Southmead Hospital**  
Southmead road  
Westbury-on-Trym  
Bristol  
United Kingdom  
BS10 5NB

**Study participating centre**  
**St Michael's Hospital**  
Southwell Street  
Bristol  
United Kingdom  
BS2 8EG

**Study participating centre**  
**Bradford Royal Infirmary**  
Smith Lane  
Bradford  
United Kingdom  
BD9 6DA

**Study participating centre**  
**Colchester General Hospital**  
Turner Rd



Mile End  
Colchester  
United Kingdom  
CO4 5JL

**Study participating centre**

**Derriford Hospital**

Derriford Rd  
Plymouth  
United Kingdom  
PL6 8DH

**Study participating centre**

**Gloucestershire Royal Hospital**

Great Western Rd  
Gloucester  
United Kingdom  
GL1 3NN

**Study participating centre**

**Great Western Hospital**

Marlborough Rd  
Swindon  
United Kingdom  
SN3 6BB

**Study participating centre**

**Medway Maritime Hospital**

Windmill Road  
Gillingham  
United Kingdom  
ME7 5NY

**Study participating centre**

**Norfolk and Norwich University Hospital**

Colney Lane  
Norwich  
United Kingdom  
NR4 7UY

**Study participating centre**  
**Royal Devon and Exeter Hospital**  
Barrack Rd  
Exeter  
United Kingdom  
EX2 5DW

**Study participating centre**  
**Royal Oldham Hospital**  
Rochdale Rd  
Oldham  
United Kingdom  
OL1 2JH

**Study participating centre**  
**St Peter's Hospital**  
Guildford Rd  
Lyne  
Chertsey  
United Kingdom  
KT16 0PZ

**Study participating centre**  
**Stoke Mandeville Hospital**  
Mandeville Rd  
Aylesbury  
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HP21 8AL

**Study participating centre**  
**Basingstoke and North Hampshire Hospital**  
Aldermaston Rd  
Basingstoke  
United Kingdom  
RG24 9NA

## **Sponsor information**

**Organisation**

University of Oxford

**Sponsor details**

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ctrgr@admin.ox.ac.uk

**Sponsor type**

University/education

**ROR**

<https://ror.org/052gg0110>

## **Funder(s)**

**Funder type**

Government

**Funder Name**

NIHR Evaluation, Trials and Studies Co-ordinating Centre (NETSCC); Grant Codes: 15/188/106

## **Results and Publications**

**Publication and dissemination plan**

The study protocol and other documentation will be made available on the trial website: <https://www.npeu.ox.ac.uk/neoclear>. Planned publication of the study results in a high-impact peer reviewed journal.

**Intention to publish date**

01/07/2022

**Individual participant data (IPD) sharing plan**

The data sharing plans for the current study are unknown and will be made available at a later date.

**IPD sharing plan summary**

Data sharing statement to be made available at a later date

**Study outputs**

Output type	Details	Date created	Date added	Peer reviewed?	Patient-facing?
<a href="#">Protocol article</a>	protocol	15/04/2020	24/04/2020	Yes	No
<a href="#">Results article</a>		29/11/2022	05/12/2022	Yes	No
<a href="#">HRA research summary</a>			28/06/2023	No	No
<a href="#">Results article</a>		01/12/2023	28/12/2023	Yes	No