# NeoCLEAR: optimising lumbar punctures in newborns

Submission date	Recruitment status  No longer recruiting	<ul><li>Prospectively registered</li></ul>		
30/05/2018		[X] Protocol		
Registration date	Overall study status	Statistical analysis plan		
26/06/2018	Completed	[X] Results		
Last Edited	Condition category	Individual participant data		
28/12/2023	Nervous System Diseases			

#### Plain English summary of protocol

Background and study aims

Every year at least 15,000 newborns undergo a lumbar puncture to confirm suspected meningitis. Lumbar puncture technique varies in practice, and success rates are low (50-60%) meaning procedures need to be repeated, causing distress to the infants and their parents and extending treatment and hospital stay time. There is a pressing need for a large study to determine which lumbar puncture technique is the best approach. The aim of this study is to compare lumbar puncture techniques with the infant in a sitting position versus a lying position, and early versus late stylet removal.

#### Who can participate?

Newborns and infants in neonatal units and maternity wards who are having a lumbar puncture

#### What does the study involve?

The participants are randomly allocated to one of the following technique combinations:

- 1. Lying position and early stylet removal
- 2. Sitting position and early stylet removal
- 3. Lying position and late stylet removal
- 4. Sitting position and late stylet removal

The proportion of successful lumbar punctures is measured in the four groups.

#### What are the possible benefits and risks of participating?

The results of this trial will inform best practice, and ultimately, improved technique would result in fewer uninterpretable samples, fewer repeated procedures, reduced distress for infants and families, decreased antibiotic use and risk of antibiotic resistance, and reduced NHS costs due to fewer procedures, reduced length of stay, shorter antibiotic courses, and minimised antibiotic-associated complications. All of the methods used in the study are used routinely within UK hospitals. At the moment it is not known whether one method is better than others, so babies taking part could be given any of them.

Where is the study run from? The University of Oxford (UK) When is the study starting and how long is it expected to run for? September 2017 to February 2021

Who is funding the study? National Institute for Health Research (NIHR) (UK)

Who is the main contact? Christina Cole neoclear@npeu.ox.ac.uk

## Contact information

#### Type(s)

Scientific

#### Contact name

Ms Christina Cole

#### Contact details

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## Additional identifiers

Clinical Trials Information System (CTIS)

Nil known

Integrated Research Application System (IRAS)

223737

ClinicalTrials.gov (NCT)

Nil known

Protocol serial number

35643

## Study information

#### Scientific Title

NeoCLEAR: Neonatal Champagne Lumbar punctures Every time – An RCT. A multicentre, randomised controlled 2x2 factorial trial to investigate techniques to increase lumbar puncture success

#### **Acronym**

NeoCLEAR

#### **Study objectives**

Every year at least 15,000 newborns undergo a lumbar puncture to confirm suspected meningitis. Lumbar puncture technique varies in practice, and success rates are low (50-60%) meaning procedures need to be repeated, causing distress to the infants and their parents and extending treatment and hospital stay time. There is a pressing need for a large randomised controlled trial to determine which lumbar puncture technique is the best approach.

The trialists have designed a pragmatic (i.e a low level of trial-driven standards is enforced and sites work to their standard practices and processes for generalisability of the trial results), multi-centre, randomised controlled trial comparing two traditional lumbar puncture techniques:

- 1. The infant in sitting position versus lying position
- 2. Early versus late stylet removal

The aim is to determine the optimal technique for performing lumbar puncture in infants. The results of this trial will inform best practice, and ultimately, improved technique would result in:

- 1. Fewer uninterpretable samples
- 2. Fewer repeated procedures
- 3. Reduced distress for infants & families
- 4. Decreased antibiotic use and risk of antibiotic resistance
- 5. Reduced NHS costs due to fewer procedures, reduced length of stay, shorter antibiotic courses, and minimised antibiotic-associated complications

## Ethics approval required

Old ethics approval format

## Ethics approval(s)

South Central Hampshire-B, 12/06/2018, ref: 18/SC/0222

## Study design

Randomised; Interventional; Design type: Diagnosis, Other

## Primary study design

Interventional

## Study type(s)

Diagnostic

## Health condition(s) or problem(s) studied

Meningitis

#### **Interventions**

Stratified block randomisation will be used to ensure balance between the groups with respect to the collaborating hospital and corrected gestational age at trial entry.

#### The interventions compare:

1. Sitting position, in which the infant is held in a sitting position compared to lying ('lateral decubitus') position

2. Early stylet removal, which is the removal of the stylet from the hollow lumbar puncture needle shaft once it has penetrated the subcutaneous tissue before advancing the needle into the cerebrospinal fluid, compared to late stylet removal, which is removal of the stylet once it has been inserted into the expected cerebrospinal fluid space

The participants will be randomly allocated (with equal chance i.e. 1:1:1:1) to one of the following technique combinations:

- 1. Lying position and early stylet removal
- 2. Sitting position and early stylet removal
- 3. Lying position and late stylet removal
- 4. Sitting position and late stylet removal

Infants will be followed up until they are discharged home.

#### Intervention Type

Procedure/Surgery

#### Primary outcome(s)

Proportion of infants with successful lumbar punctures, measured by whether cerebrospinal fluid is obtained and red blood cell count <10,000/mm3 on the first lumbar puncture procedure

#### Key secondary outcome(s))

Current secondary outcome measures as of 24/04/2020:

The following short-term clinical, resource and safety outcomes have been defined as:

- 1. The proportion of infants with:
- 1.1. No cerebrospinal fluid (CSF) obtained, or pure blood/clotted, or blood-stained, or clear
- 1.2. CSF obtained and red blood cell (RBC) count <500, <5000, <10,000, or <25,000/mm3, or any RBC count
- 1.3. A CSF white blood cell (WBC) count not requiring a correction (whatever the RBC count)
- 2. Total number of procedures and attempts performed per infant
- 3. Proportion of infants diagnosed (by WBC count criteria, culture, Gram stain, and/or clinically) via CSF with:
- 3.1. Meningitis: WBC count 20 or more in CSF, or a true positive culture/polymerase chain reaction (PCR) (if RBC count is ≥500, the WBC count will be reduced by 1 for every 500 RBC counts to give a 'corrected' WBC count)
- 3.2. Equivocal: WBC count (or corrected WBC) <20, AND negative (or contaminated/incidental) culture and PCR with:
- 3.2.1. Polymorphonuclear leukocytes (PMN) >2 (and RBC count <500) OR
- 3.2.2. Organism found on Gram stain
- 3.3. Negative: WBC (or corrected WBC) count <20, PMN ≤2 (if RBC count <500), and negative (or contaminated/incidental) cultures, PCR, and Gram stain
- 3.4. Uninterpretable: No CSF obtained, or clotted, or CSF so bloody or insufficient that a cell count was impossible
- 4. CSF WBC, RBC, corrected WBC counts, PMNs and lymphocytes from the clearest sample
- 5. Time taken on first procedure from start of cleaning skin to removing needle at end of all attempts
- 6. Infant movement on first procedure using basic 4-point scale

Outcomes relating to cost and safety:

- 7. In all infants, according to CSF-defined and clinically-defined diagnostic criteria:
- 7.1. Duration of the antibiotic course

- 7.2. Length of stay in surviving infants
- 7.3. Immediate complications related to LP:
- 7.3.1. Cardiovascular instability including oxygen saturations and heart rate
- 7.3.2. Respiratory deterioration (escalating respiratory support) post-LP
- 8. For the pilot phase: parental anxiety assessed using the State Trait Anxiety Inventory State Subscale (STAI-S) Questionnaire

Previous secondary outcome measures:

Short-term clinical outcomes are measured by assessing:

- 1. The proportion of infants with:
- 1.1. No cerebrospinal fluid (CSF) obtained, or Pure blood/Clotted, or blood-stained, or clear
- 1.2. CSF obtained and red blood cell (RBC) count <500, <5,000, <10000, or <25000 /mm3, or any RBC count
- 1.3. A CSF white cell count not requiring a correction (whatever the RBC count)
- 2. Total number of procedures, and attempts within procedures, performed per infant to obtain interpretable CSF (RBC counts at the above thresholds)
- 3. Proportion of infants diagnosed (by WBC count criteria, culture, gram stain, and/or clinically) via CSF with:
- 3.1. Meningitis: WBC count 20 or more in CSF, or more than 2 PMNs, or a positive culture or gram stain, or clinically diagnosed (if RBC count is >500, the WBC count will be reduced by 1 for every 500 RBC counts to give a 'corrected' WBC count)
- 3.2. Equivocal: borderline white blood cell (WBC) counts, or uncertain culture result or uncertain clinical diagnosis
- 3.3. Negative: <20 CSF WBC count and 0–2 PMNs and negative cultures and gram stain and no clinical diagnosis of meningitis
- 3.4. Uninterpretable: no CSF obtained, or CSF so bloody that a cell count was impossible
- 4. CSF WBC, RBC, corrected WBC counts, PMNs, and lymphocytes, for any of the above
- 5. Time taken from start of cleaning skin to removing needle at end of all attempts
- 6. Infant movement assessed using a basic 4-point scale at time of procedure
- 7. Parental anxiety, measured using short-version STAI at baseline and within 48 hours of the first lumbar puncture procedure
- 8. Cost measured by assessing the duration of the antibiotic course from trial entry to discharge home
- 9. Cost measured by assessing the length of stay in hospital from trial entry until discharge home 10. Safety measured by assessing cardiovascular instability, including oxygen saturations and heart rate during the lumbar puncture procedure
- 11. Safety measured by assessing respiratory deterioration based on the requirement for escalating respiratory support within 1 hour of the lumbar puncture procedure

#### Completion date

28/02/2021

## **Eligibility**

#### Key inclusion criteria

- 1. Neonates and infants in neonatal units and their maternity wards who are having a lumbar puncture
- 2. Parent(s) willing and able to give informed consent
- 3. Infants of corrected gestational age from 27+0 weeks to 44+0 weeks, AND working weight of 1,000 g or more
- 4. First lumbar puncture for current indication

#### Participant type(s)

Patient

#### Healthy volunteers allowed

No

#### Age group

Neonate

#### Sex

All

#### Total final enrolment

1082

#### Key exclusion criteria

Current exclusion criteria as of 24/04/2020:

- 1. Unable to be held in sitting position (including infants intubated and mechanically-ventilated) or other clinical condition which is likely, in the opinion of the treating clinician, to make sitting difficult, or which is likely to be compromised by sitting (e.g. open gastroschisis)
- 2. Previously randomised to the trial

#### Previous exclusion criteria:

- 1. Unable to be held in sitting position (e.g. intubated and mechanically-ventilated) or other clinical condition which is likely to make sitting difficult, or which is likely to be compromised by sitting (e.g. open gastroschisis)
- 2. Previously randomised to the trial

#### Date of first enrolment

01/06/2018

#### Date of final enrolment

31/08/2020

## Locations

#### Countries of recruitment

United Kingdom

England

## Study participating centre John Radcliffe Hospital (lead site)

Headley Way Headington Oxford United Kingdom OX3 9DU

### Study participating centre Birmingham Heartlands Hospital

Bordesley Green East Birmingham United Kingdom B9 5SS

### Study participating centre Leicester Royal Infirmary

Infirmary Square Leicester United Kingdom LE1 5WW

#### Study participating centre Northampton General Hospital

Cliftonville Northampton United Kingdom NN1 5BD

#### Study participating centre Princess Anne Hospital

Coxford Road Southampton United Kingdom SO16 5YA

## Study participating centre Royal Berkshire Hospital

London Road Reading United Kingdom RG1 5AN

## Study participating centre Royal Hampshire County Hospital

Department of Paediatrics

Winchester United Kingdom SO22 5DG

## Study participating centre Southmead Hospital

Southmead road
Westbury-on-Trym
Bristol
United Kingdom
BS10 5NB

## Study participating centre St Michael's Hospital

Southwell Street Bristol United Kingdom BS2 8EG

## Study participating centre Bradford Royal Infirmary

Smith Lane Bradford United Kingdom BD9 6DA

## Study participating centre Colchester General Hospital

Turner Rd Mile End Colchester United Kingdom CO4 5JL

## Study participating centre Derriford Hospital

Derriford Rd
Plymouth
United Kingdom
PL6 8DH

### Study participating centre Gloucestershire Royal Hospital

Great Western Rd Gloucester United Kingdom GL1 3NN

## Study participating centre Great Western Hospital

Marlborough Rd Swindon United Kingdom SN3 6BB

## Study participating centre Medway Maritime Hospital

Windmill Road Gillingham United Kingdom ME7 5NY

## Study participating centre Norfolk and Norwich University Hospital

Colney Lane Norwich United Kingdom NR4 7UY

## Study participating centre Royal Devon and Exeter Hospital

Barrack Rd Exeter United Kingdom EX2 5DW

### Study participating centre Royal Oldham Hospital Rochdale Rd

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Study participating centre Stoke Mandeville Hospital Mandeville Rd Aylesbury United Kingdom HP21 8AL

Study participating centre
Basingstoke and North Hampshire Hospital
Aldermaston Rd
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## Sponsor information

#### Organisation

University of Oxford

#### **ROR**

https://ror.org/052gg0110

## Funder(s)

### Funder type

Government

#### Funder Name

NIHR Evaluation, Trials and Studies Co-ordinating Centre (NETSCC); Grant Codes: 15/188/106

## **Results and Publications**

## Individual participant data (IPD) sharing plan

The data sharing plans for the current study are unknown and will be made available at a later date.

## IPD sharing plan summary

Data sharing statement to be made available at a later date

## **Study outputs**

Output type	Details	Date created	Date added	Peer reviewed?	Patient-facing?
Results article		29/11/2022	05/12/2022	Yes	No
Results article		01/12/2023	28/12/2023	Yes	No
Protocol article	protocol	15/04/2020	24/04/2020	Yes	No
HRA research summary			28/06/2023		No
Participant information sheet	Participant information sheet	11/11/2025	11/11/2025	No	Yes
Study website	Study website	11/11/2025	11/11/2025	No	Yes