# Chronic obstructive pulmonary disease underdiagnosis

Submission date 31/01/2025	<b>Recruitment status</b> Not yet recruiting	[X] Prospectively registered	
		[X] Protocol	
<b>Registration date</b>	Overall study status	Statistical analysis plan	
21/03/2025	Ongoing	[] Results	
Last Edited 19/03/2025	<b>Condition category</b> Respiratory	Individual participant data	
		[X] Record updated in last year	

## Plain English summary of protocol

Background and study aims

This study aims to investigate opportunities for improving outcomes in chronic obstructive pulmonary disease (COPD) in underserved populations.

Who can participate?

Participants for the cross-sectional prevalence survey will be registered within Primary Care Networks in North Sheffield, SAPA5, and The Foundry, and must be adults of over 18 years of any gender, while participants for the qualitative semi-structured interview must be over 18 years and have lived experience of COPD as a healthcare worker, carer, or person living with COPD.

What does the study involve?

This is a mixed methods explanatory sequential study design consisting of two phases quantitative followed by qualitative.

It consists of five work packages that will run over five years.

• Work package 1 (WP1): quantitative modelling of publicly available data to estimate the magnitude of underdiagnosis of COPD in General Practice in England

• Work package 2 (WP2): Cross-sectional prevalence survey randomly sampling 4,761 people from two primary care networks in deprived areas, offering a breathing assessment (est. 30% uptake with a 7% prevalence) creating a cohort of 100 patients with COPD.

• Work package 3/4 (WP3/4) Semi-structured interviews with health professionals (10-15) and people living with COPD (15-25) purposively sampled informed by findings from WP1-2.

• Work package 5 (WP5): Co-design workshops will be held with representation from key stakeholders (patients, health professionals, managers, integrated care boards) to develop interventions for improving diagnosis and care for people living with COPD.

What are the possible benefits and risks of participating?

Recognising underserved people with COPD and intervening early could reduce the burden of this condition on both patients and the health system. Primary care-based interventions, improve health, reduce exacerbations and are the most cost-effective for COPD. This study

provides valuable information from voices seldom heard allowing the co-development of fit-forpurpose interventions. Findings will be disseminated through publication, social media, community groups and existing stakeholder networks.

The specific risks associated with this project are low. Post-bronchodilator spirometry, blood tests and chest X-rays are offered routinely as usual care in symptomatic individuals to make a diagnosis of COPD in primary and secondary care. These would be offered to participants as part of the study and are low-risk.

There is a small chance participants might be found to have COPD, asthma or another condition. If this is the case depending on the clinical condition of the patient, they would be referred to their GP or an acute medical service as appropriate. Any diagnoses, such as COPD or asthma, that are made, but don't require immediate intervention, would be shared with the patient's GP to ensure that they have the appropriate ongoing care for their condition.

Where is the study run from? University of Sheffield, UK

When is the study starting and how long is it expected to run for? November 2023 to December 2029

Who is funding the study? National Institute for Health and Care Research (NIHR)

Who is the main contact? Dr Shamanthi Jayasooriya, Email: s.jayasooriya@sheffield.ac.uk

# **Contact information**

**Type(s)** Public, Scientific, Principal Investigator

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# Additional identifiers

EudraCT/CTIS number

#### Nil known

**IRAS number** 331290

**ClinicalTrials.gov number** Nil known

Secondary identifying numbers NIHR 303606, CPMS 57283

# Study information

#### Scientific Title

Missed opportunities for improving outcomes in chronic obstructive pulmonary diseases in underserved populations

#### **Study objectives**

Chronic obstructive pulmonary disease (COPD) is a preventable and treatable chronic lung disease affecting three million people in the UK and is associated with a substantial healthcare burden. COPD is more common in deprived communities where it is associated with risk factors such as smoking, air pollution and poor housing. People living with COPD in the most deprived areas are up to ten times more likely to have poor outcomes compared to those in the least deprived areas, with increased morbidity and mortality.

Some ethnic minority groups are at higher risk of developing COPD as they are more likely to smoke and live in the most income-deprived neighbourhoods. They are also more likely to have COPD unrelated to smoking, and those who are first-generation immigrants are likely to have alternative risk factors. Despite this, the proportion of people from minoritised ethnic groups diagnosed with COPD appears lower than expected with little research conducted in this area.

The aims of this work are:

To determine the burden of disease caused by COPD in underserved populations and why it may be underestimated.

To explore barriers and enablers of primary care interventions (smoking cessation, vaccination and pulmonary rehabilitation).

The associated research questions are:

To what extent is COPD under-detected in underserved (socioeconomically deprived and/or ethnic minority) populations, and what are the main reasons for this? Why do primary care interventions (vaccination, pulmonary rehabilitation, and treatment of

tobacco dependency) for supporting people with COPD fail to reach underserved populations?

#### Ethics approval required

Old ethics approval format

**Ethics approval(s)** Pending approval

Study design

Mixed methods quantitative modelling cross-sectional prevalence survey and qualitative semistructured interviews leading to intervention co-design

## Primary study design

Observational

## Secondary study design

Cross sectional study

#### Study setting(s)

Community, GP practice, Medical and other records

## Study type(s)

Diagnostic

## Participant information sheet

Not available in web format, please use contact details to request participant information sheet

## Health condition(s) or problem(s) studied

Chronic obstructive pulmonary disease in underserved populations (depriver and minoritised ethnic groups)

#### Interventions

This is a mixed-method explanatory sequential design study consisting of two distinct phases: quantitative followed by qualitative. There are five work packages (WP); WP1-2 Quantitative phase, WP3-4 Qualitative phase and WP5 Interpretation of results and intervention codevelopment.

WP1: This will provide an estimation of the number of patients with undiagnosed COPD in underserved populations nationally using publicly available data. This will be compared with prospectively collected data from WP2.

WP2: The prevalence of COPD in underserved primary care populations will be established in people from underserved communities registered in two primary care networks.

WP3: An understanding of barriers and facilitators to recognising COPD in underserved populations will be generated through semi-structured interviews, informed by WP1-2.

WP4: An understanding of how COPD and key primary care interventions (vaccination, pulmonary rehabilitation, and treatment of tobacco dependency) are perceived by underserved populations will be generated through the semi-structured interviews, leveraging the cohort established in WP2.

WP5: Findings from WP1-4 will be integrated and interventions co-developed to improve recognition and management of people with COPD in underserved populations.

## Intervention Type

Procedure/Surgery

#### Primary outcome measure

1. Modelled estimate of underdiagnosis of COPD in general practice measured using data collected from publically available NHS fingertips data in 2025

2. Estimate of the prevalence of COPD in underserved general practice populations measured using cross-sectional breathing assessment data collected from a random sample of primary care network populations from 2025 to 2028

3. Understanding of the barriers and facilitators of COPD diagnosis in an underserved general practice population measured using qualitative interview data collected from people living with or caring for people with COPD, and primary care health professionals looking after them between 2026-2028

4. Understanding perceptions of primary care for COPD in an underserved general practice population measured using qualitative interview data collected from people living with or caring for people with COPD, and primary care health professionals looking after them between 2026-2028

5. Co-design an intervention to address the under-diagnosis of COPD and uptake of primary care interventions for managing COPD through multi-stakeholder engagement workshops

#### Secondary outcome measures

A greater understanding of perceptions of primary care interventions (smoking cessation, vaccination, pulmonary rehabilitation) for COPD measured using data collected through qualitative interviews with people living or caring for people with COPD, and the health professionals caring for them.

Overall study start date

01/11/2023

Completion date 01/12/2029

# Eligibility

#### Key inclusion criteria

1. Cross-sectional prevalence survey:

Participants will be registered within Primary Care Networks in North Sheffield, SAPA5 and The Foundry.

They can be of any gender and will be adults (> 18 years).

2. Qualitative semi-structured interview:

Participants must be over the age of 18 years and have lived experience of COPD as a healthcare worker, carer or a person living with COPD.

**Participant type(s)** Patient, Health professional, Carer

**Age group** Mixed

**Lower age limit** 18 Years

**Upper age limit** 150 Years **Sex** Both

Target number of participants

100 participants with COPD

#### Key exclusion criteria

Cross-sectional prevalence survey: 1. Participants will not be eligible if they are unable to provide informed consent or are children or adolescents (< 18 years). Qualitative semi-structured interviews: 2. Participants unable to provide informed consent or below the age of 18 years Any participant that has no lived experience of COPD.

Date of first enrolment 01/09/2025

Date of final enrolment 01/09/2028

# Locations

**Countries of recruitment** England

United Kingdom

#### **Study participating centre SAPA5 Primary Care Networks** 63 Palgrave Road Sheffield United Kingdom S5 8GS

#### **Study participating centre The Foundry Primary Care Network** 87 Wincobank Avenue Sheffield United Kingdom S5 8AZ

# Sponsor information

**Organisation** University of Sheffield

**Sponsor details** Regent court, 30 Regent Street Sheffield England United Kingdom S1 4DA +44 (0)114 2220792 j.a.burr@sheffield.ac.uk

**Sponsor type** University/education

Website https://www.sheffield.ac.uk/research

ROR https://ror.org/05krs5044

# Funder(s)

**Funder type** Government

**Funder Name** National Institute for Health and Care Research

## Alternative Name(s)

National Institute for Health Research, NIHR Research, NIHRresearch, NIHR - National Institute for Health Research, NIHR (The National Institute for Health and Care Research), NIHR

**Funding Body Type** Government organisation

Funding Body Subtype National government

**Location** United Kingdom

# **Results and Publications**

Publication and dissemination plan

#### Planned publication in a peer-reviewed journal

## Intention to publish date

01/12/2030

#### Individual participant data (IPD) sharing plan

The datasets generated during and/or analysed during the current study will be available upon request from Dr S Jayasooriya: s.jayasooriya@sheffield.ac.uk

#### IPD sharing plan summary

Available on request

#### Study outputs

Output type	Details	Date created	Date added	Peer reviewed?	Patient-facing?
<u>Protocol file</u>	version 0.2	03/02/2025	05/02/2025	No	No