

# Use of telemedicine and artificial intelligence to improve the way patients are referred from community optometrists to hospital eye units

<b>Submission date</b> 10/02/2020	<b>Recruitment status</b> No longer recruiting	<input checked="" type="checkbox"/> Prospectively registered <input type="checkbox"/> Protocol
<b>Registration date</b> 18/03/2020	<b>Overall study status</b> Completed	<input type="checkbox"/> Statistical analysis plan <input type="checkbox"/> Results
<b>Last Edited</b> 28/05/2024	<b>Condition category</b> Eye Diseases	<input type="checkbox"/> Individual participant data <input type="checkbox"/> Record updated in last year

## Plain English summary of protocol

### Background and study aims

One in ten of all patients referred to hospitals in the UK are for problems involving the eyes. Many of the most urgent referrals are for problems in the retina, a light-sensitive layer at the back of the eye which allows us to see. Doctors can now detect and diagnose these diseases earlier than ever before thanks to a technology called Optical Coherence Tomography (OCT). This light-based technology is safe, comfortable and quick for the patient and provides the results within seconds. Excitingly, OCT is increasingly being installed in high street optometrist practices, and is no longer limited to hospital eye clinics. This could transform the way patients with retinal diseases are cared for, but only if these scans are accurately interpreted enabling early diagnosis and correct decisions over who should be referred to the hospital eye service, and how urgently. Currently, however, the introduction of this new technology on the high street is not always matched by the availability of those skilled at interpreting these scans. This is leading to a huge number of patients being referred inappropriately, which is increasing the pressure on hospital eye services and delaying access to care for patients that do need treatment. Additionally, unnecessary referral causes distress and inconvenience. This study aims to use new technologies to improve this referral process, moving hospital-level expertise to the high street without the specialist needing to leave the hospital, and helping the hospital eye service and high street optometrists work together to refer the 'right patient at the right time'.

### Who can participate?

People attending the involved community optometry practices, who underwent an OCT, and who in the opinion of the community optometrist have any suspicion of a retinal condition

### What does the study involve?

The first technology to be evaluated is 'teleophthalmology' in which OCT scans taken by high street optometrists are automatically reviewed by hospital specialists remotely. In this part of the study high street optometrists with OCT will be divided into two groups: half of the practices, selected by chance, will continue to refer patients using the existing paper-based system, with the other half installing a leading teleophthalmology platform ('Big Picture') to allow instant transfer of scans to the eye hospitals for review and advice within 24 hours. The

researchers will check whether the new referral system can safely lead to fewer unnecessary visits to the eye hospitals and whether it improves the time it takes for referred patients to be seen or treated. They will also assess the cost of the new system to the NHS and ask what patients and healthcare practitioners think about it – their confidence in its safety and data privacy, and the effect on patient experience. The second technology to be evaluated is the interpretation of retinal scans by ‘artificial intelligence’ (AI). In a recent study from the UK, members of the study team and a leading technology company worked together to develop an AI algorithm that can diagnose retinal diseases and provide referral advice to the same standard as leading UK experts. This exciting development could enable expert-level care to be digitally embedded into every optometry practice as standard but first they need further evidence of how this AI technology would perform in the real world. In this part of our study, they will use this technology (‘the DeepMind algorithm’) on all the OCT scans collected from the participating high street optometrists and the researchers will assess how accurate it is in providing the correct advice for referral. A key part of this study is to collect the opinions of patients and practitioners on the potential role of Artificial Intelligence for eye referrals. What the researchers learn from this part of the study will also help determine what further evaluation might be needed before AI retinal diagnosis could become mainstream, and identify any concerns about this.

What are the possible benefits and risks of participating?

People involved in the study will continue to receive care as needed and will be referred to a hospital-based eye unit if required. There are no direct benefits from participation but the study can help establish a care pathway that is more friendly and convenient to patients. The study involves minimal risks for participants. A safety net arrangement is in place for patients who are not referred to hospital eye services.

Where is the study run from?

1. Moorfields Eye Hospital NHS Foundation Trust (UK)
2. London North West University Healthcare NHS Trust (UK)
3. North West Anglia NHS Foundation Trust (UK)
4. Birmingham University Hospitals (UK)
5. Manchester Royal Eye Hospital (UK)

When is the study starting and how long is it expected to run for?

March 2020 to February 2023

Who is funding the study?

National Institute for Health Research (NIHR) (UK)

Who is the main contact?

Dr Konstantinos Balaskas

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## Contact information

Type(s)

Scientific

Contact name

Dr Konstantinos Balaskas

## Contact details

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## Additional identifiers

### Clinical Trials Information System (CTIS)

Nil known

### ClinicalTrials.gov (NCT)

Nil known

### Protocol serial number

v1.0, NIHR127773

## Study information

### Scientific Title

Tele-opHththalmology-enabled and aRtificial Intelligence-ready referral pathway for coMmunity optomEtry referralS of retinal disease: the HERMES study - a cluster randomised superiority trial with a linked observational diagnostic accuracy study

### Acronym

HERMES

### Study objectives

Current hypothesis as of 22/11/2021:

Can we improve the care of patients with sight-threatening retinal disease by using new technologies – teleophthalmology and artificial intelligence (AI) - to disseminate expert care from the hospital to the high-street? Specifically, the researchers will ask:

1. Is timely and appropriate review for patients with retinal disease improved by embedding cloud-based teleophthalmology technologies in high-street optometrist practices?
2. Can this remote review be safely performed by an AI Decision Support System (AI DSS) previously validated in a hospital setting (Moorfields-DeepMind algorithm)?
3. What are the barriers and enablers to the adoption of these digital technologies?

Primary objectives: To compare the proportion of referrals classified as unnecessary (cases that can be safely managed without a HES consultation) between standard care and teleophthalmology referral pathway (objective 1) and to assess the diagnostic (referral) accuracy of AI DSS in the context of this referral pathway (objective 2). Enablers and barriers to adoption of these digital technologies will be assessed through a Human-Computer Interaction (HCI) analysis. A full economic analysis of the digital referral pathways will be performed (objective 3).

Addressing objective 1, an interventional superiority cluster randomised trial (RCT) will be performed comparing standard practice for referral of suspicious retinal disease with teleophthalmology between community optometry and HES.

Addressing objective 2, a prospective observational study will be conducted integrating the data of the RCT to assess the diagnostic (referral) accuracy of an advanced AI DSS (the Moorfields-DeepMind algorithm) for the automated diagnosis and referral recommendation for retinal disease.

Addressing objective 3, a within-trial and model-based economic evaluation will estimate the efficiency of alternative referral models for retinal disease. An HCI analysis using qualitative methods will assess the feasibility of implementation of both digital technologies.

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#### Previous hypothesis:

Can we improve the care of patients with sight-threatening retinal disease by using new technologies – teleophthalmology and artificial intelligence (AI) - to disseminate expert care from the hospital to the high-street? Specifically, the researchers will ask:

1. Is timely and appropriate review for patients with retinal disease improved by embedding cloud-based teleophthalmology technologies in high-street optometrist practices?
2. Can this remote review be safely performed by an AI Decision Support System (AI DSS) previously validated in a hospital setting (Moorfields-DeepMind algorithm)?
3. What are the barriers and enablers to the adoption of these digital technologies?

Primary objectives: To compare the proportion of referrals classified as unnecessary (cases that can be safely managed without a HES consultation) between standard care and teleophthalmology referral pathway (objective 1) and to assess the diagnostic (referral) accuracy of AI DSS in the context of this referral pathway (objective 2). Enablers and barriers to adoption of these digital technologies will be assessed through a Human-Computer Interaction (HCI) analysis. A full economic analysis of the digital referral pathways will be performed.

Addressing objective 1, an interventional superiority cluster randomised trial (RCT) will be performed comparing standard practice for referral of suspicious retinal disease with teleophthalmology ('Big Picture' platform) between community optometry and HES.

Addressing objective 2 a prospective observational study will be conducted integrating the data of the RCT to assess the diagnostic (referral) accuracy of an advanced AI DSS (the Moorfields-DeepMind algorithm) for the automated diagnosis and referral recommendation for retinal disease. A within-trial and model-based economic evaluation will estimate the efficiency of alternative referral models for retinal disease. An HCI analysis using qualitative methods will assess the feasibility of implementation of both digital technologies.

#### **Ethics approval required**

Old ethics approval format

#### **Ethics approval(s)**

Approved 26/01/2020, London Bromley Research Ethics Committee (Level 3, Block B, Whitefriars, Bristol Research Ethics Committee Centre, Bristol, BS1 2NT, UK; +44 (0)207 104 8105; bromley.rec@hra.nhs.uk), ref: 20/LO/1299

#### **Study design**

Interventional superiority cluster randomized trial

#### **Primary study design**

Interventional

## **Study type(s)**

Diagnostic

## **Health condition(s) or problem(s) studied**

Retino-choroidal disease

## **Interventions**

Current intervention as of 22/11/2021:

The intervention pathway is the tele-ophthalmology model for referral of patients with suspicion of retinal disease from community optometry to HES using a digital referral platform. Patients who attend participating community optometry practices will undergo a clinical assessment and OCT scan. Patients with a suspicion of any retinal disease at the opinion of the community optometrist will be included in the study and their OCT and clinical information will be transferred via the digital referral platform to corresponding HES. In each case, human experts based in HES will make a referral decision remotely ('tele-HES') after review of OCT and clinical information on the digital referral platform. The referring community optometrist will also make their own referral recommendation independent of HES. In each case both the decision made by the community optometrist and the one made by remote review in 'tele-HES' will be recorded but the decision made by 'tele-HES' will be the one implemented. The following scenarios can occur in the intervention arm:

1. Community optometrist decision: Refer urgently to HES → OCT scan and clinical data are transferred to 'tele-HES' and reviewed within 48 h remotely by human expert → Referral Decision is made in 'tele-HES' (refer urgently, refer routinely, don't refer) and fed-back to the community optometry practice to be implemented.
2. Community optometrist decision: Refer routinely to HES → OCT scan and clinical data are transferred to 'tele-HES' and reviewed within 48 h remotely by human expert → Referral Decision is made in 'tele-HES' (refer urgently, refer routinely, don't refer) and fed-back to the community optometry practice to be implemented.
3. Community optometrist decision: Don't refer to HES → OCT scan and clinical data are transferred to 'tele-HES' and reviewed within 48 h remotely by human expert → Referral Decision is made in 'tele-HES' (refer urgently, refer routinely, don't refer) and fed-back to the community optometry practice to be implemented.

The decision made in 'tele-HES' will be the one implemented in every case in the intervention pathway. The remote review of OCTs and clinical data at 'tele-HES' will be performed by expert clinicians (medics or specialist optometrists) experienced in retinal clinics (minimum of two years' experience of independent practice in the context of retinal clinics in HES) based at Moorfields Eye Hospital, Central Middlesex Hospital, North West Anglia NHS Foundation Trust Hospitals, or Queen Elizabeth Hospital, Birmingham with access to senior advice by Consultant Ophthalmologists specialising in retinal disease.

In the first part of the study, high street optometrists with OCT will be divided into two groups: half of the practices, selected by chance, will continue to refer patients using the existing paper-based system, with the other half installing a leading teleophthalmology platform to allow instant transfer of scans to the eye hospitals for review and advice within 48 hours. The researchers will then check whether the new referral system can safely lead to fewer unnecessary visits to the eye hospitals and whether it improves the time it takes for referred patients to be seen or treated. They will also assess the cost of the new system to the NHS and ask what patients and healthcare practitioners think about it – their confidence in its safety and data privacy, and the effect on patient experience.

In the second part of the study, the researchers will use the DeepMind algorithm on all the OCT scans collected from the participating high street optometrists and assess how accurate it is in providing the correct advice for referral. A key part of this study is to collect opinions of patients and practitioners on the potential role of Artificial Intelligence for eye referrals. What the researchers learn from this part of the study will also help determine what further evaluation might be needed before AI retinal diagnosis could become mainstream, and identify any concerns about this.

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#### Previous intervention:

The intervention pathway is the tele-ophthalmology model for referral of patients with suspicion of retinal disease from community optometry to HES using the Big Picture platform. Patients who attend participating community optometry practices will undergo a clinical assessment and OCT scan. Patients with a suspicion of any retinal disease at the opinion of the community optometrist will be included in the study and their OCT and 'Smart History' will be transferred via the Big Picture platform to corresponding HES. The 'Smart History' is obtained on iPads via the Big Picture platform and will contain the same standardised clinical information as in standard practice community optometry consultations. In each case, human experts based in HES will make a referral decision remotely ('tele-HES') after review of OCT and clinical information on the Big Picture platform. The referring community optometrist will also make their own referral recommendation independent of HES. In each case both the decision made by the community optometrist and the one made by remote review in 'tele-HES' will be recorded but the decision made by 'tele-HES' will be the one implemented. The following scenarios can occur in the intervention arm:

1. Community optometrist decision: Refer urgently to HES → OCT scan and 'Smart History' are transferred to 'tele-HES' and reviewed within 24 h remotely by human expert → Referral Decision is made in 'tele-HES' (refer urgently, refer routinely, don't refer) and fed-back to the community optometry practice to be implemented.
2. Community optometrist decision: Refer routinely to HES → OCT scan and 'Smart History' are transferred to 'tele-HES' and reviewed within 24 h remotely by human expert → Referral Decision is made in 'tele-HES' (refer urgently, refer routinely, don't refer) and fed-back to the community optometry practice to be implemented.
3. Community optometrist decision: Don't refer to HES → OCT scan and 'Smart History' are transferred to 'tele-HES' and reviewed within 24 h remotely by human expert → Referral Decision is made in 'tele-HES' (refer urgently, refer routinely, don't refer) and fed-back to the community optometry practice to be implemented.

The decision made in 'tele-HES' will be the one implemented in every case in the intervention pathway. The remote review of OCTs and 'Smart History' at 'tele-HES' will be performed by expert clinicians (medics or specialist optometrists) experienced in retinal clinics (minimum of two years' experience of independent practice in the context of retinal clinics in HES) based at Moorfields Eye Hospital, Manchester Royal Eye Hospital or Birmingham Eye Hospital with access to senior advice by Consultant Ophthalmologists specialising in retinal disease.

In the first part of the study, high street optometrists with OCT will be divided into two groups: half of the practices, selected by chance, will continue to refer patients using the existing paper-based system, with the other half installing a leading teleophthalmology platform ('Big Picture') to allow instant transfer of scans to the eye hospitals for review and advice within 24 hours. The researchers will then check whether the new referral system can safely lead to fewer unnecessary visits to the eye hospitals and whether it improves the time it takes for referred

patients to be seen or treated. They will also assess the cost of the new system to the NHS and ask what patients and healthcare practitioners think about it – their confidence in its safety and data privacy, and the effect on patient experience.

In the second part of the study, the researchers will use the DeepMind algorithm on all the OCT scans collected from the participating high street optometrists and assess how accurate it is in providing the correct advice for referral. A key part of this study is to collect opinions of patients and practitioners on the potential role of Artificial Intelligence for eye referrals. What the researchers learn from this part of the study will also help determine what further evaluation might be needed before AI retinal diagnosis could become mainstream, and identify any concerns about this.

## **Intervention Type**

Device

## **Phase**

Not Applicable

## **Drug/device/biological/vaccine name(s)**

-

## **Primary outcome(s)**

Current primary outcome measure as of 22/11/2021:

Cluster RCT:

Proportion of false-positive referrals (unnecessary HES visits) in the current referral pathway and the teleophthalmology referral pathway (against the Reference Standard) at the end of the recruitment period. The primary endpoint selected is patient-centric, as unnecessary visits to HES are associated with significant anxiety and inconvenience for patients as demonstrated by pre-application PPI work, while at the same time having significant implications for NHS services in terms of costs and relative efficiency.

AI study:

We will adhere to the STARD publication standard in reporting the outcomes of the observational diagnostic accuracy study. The primary endpoint is diagnostic accuracy of the referral decision made by the Moorfields-DeepMind AI (refer to HES, do not refer to HES) against the Reference Standard (Moorfields Reading Centre).

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Previous primary outcome measure:

Cluster RCT:

Proportion of false-positive referrals (unnecessary HES visits) in the current referral pathway and the teleophthalmology referral pathway (against the Reference Standard) at the end of the recruitment period. The primary endpoint selected is patient-centric as unnecessary visits to HES are associated with significant anxiety and inconvenience for patients as demonstrated by pre-application PPI work, while at the same time having significant implications for NHS services in terms of costs and relative efficiency.

AI study:

Diagnostic accuracy (sensitivity and specificity) of the referral decision made by the Moorfields-DeepMind AI (dichotomous analysis: refer to HES, do not refer to HES)

## **Key secondary outcome(s)**

Current secondary outcome measures as of 22/11/2021:

All measured at the end of recruitment:

Cluster RCT:

1. Proportion of wrong diagnosis and wrong referral urgency (as a percentage %) in standard and teleophthalmology pathways against the reference standard
2. Proportion of false-negative referrals (as a percentage %) patients that would have benefited from a HES review) as well as sensitivity and specificity in standard and teleophthalmology pathways against the reference standard
3. Time from referral to consultation (in days) for urgent and routine referrals in standard and teleophthalmology pathways
4. Time from referral to treatment (in days) for urgent maculopathies (wet AMD and Retinal Vein Occlusions) in standard and teleophthalmology pathways
5. Number of uncommon referrals (rare disease) that can be safely triaged in the teleophthalmology pathway

AI study:

1. Diagnostic accuracy (sensitivity and specificity) of Moorfields-DeepMind AI for the diagnosis of retinal disease
2. Diagnostic accuracy (sensitivity and specificity) of Moorfields-DeepMind AI for referral urgency (routine or urgent referral)
3. Proportion of false-positive referrals (as a percentage %) in the standard and teleophthalmology pathways when human assessors are replaced by the AI DSS
4. Proportion of wrong diagnosis and wrong referral urgency (as a percentage %) in the standard and teleophthalmology pathways when human assessors are replaced by AI DSS
5. Uptime and end-to-end inference speed (in seconds) of technical infrastructure supporting the AI DSS
6. Average time of end-to-end output (referral recommendation) by the AI DSS (in hours)
7. Modelled cost-consequences and net benefits of AI-enabled digital referral pathway using the same model as for the RCT to compare alternative diagnostic and referral strategies

Pragmatic sub-study:

1. Proportion of false positive referrals (unnecessary HES visits) in the tele-ophthalmology referral pathway against the Reference Standard and the intervention arm in the main RCT.
2. Proportion of wrong diagnosis and wrong referral urgency in the tele-ophthalmology pathway compared against the Reference Standard and the intervention arm in the main RCT study
3. Proportion of false negative referrals (patients that would have benefited from a HES review) compared against the Reference Standard and the intervention arm in the main RCT study
4. Time from referral to review and/or treatment in HES for urgent referrals (such as Wet AMD and Retinal Vein Occlusions) in the post-implementation real-life tele-ophthalmology digital pathway

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Previous secondary outcome measures:

All measured at the end of recruitment:

Cluster RCT:

1. Proportion of wrong diagnosis and wrong referral urgency (as a percentage %) in standard and teleophthalmology pathways against the reference standard



2. Proportion of false-negative referrals (as a percentage %) patients that would have benefited from a HES review) as well as sensitivity and specificity in standard and teleophthalmology pathways against the reference standard
3. Time from referral to consultation (in days) for urgent and routine referrals in standard and teleophthalmology pathways
4. Time from referral to treatment (in days) for urgent maculopathies (wet AMD and Retinal Vein Occlusions) in standard and teleophthalmology pathways
5. Number of uncommon referrals (rare disease) that can be safely triaged in the teleophthalmology pathway

#### AI study:

1. Diagnostic accuracy (sensitivity and specificity) of Moorfields-DeepMind AI for the diagnosis of retinal disease
2. Diagnostic accuracy (sensitivity and specificity) of Moorfields-DeepMind AI for referral urgency (routine or urgent referral)
3. Proportion of false-positive referrals (as a percentage %) in the standard and teleophthalmology pathways when human assessors are replaced by the AI DSS
4. Proportion of wrong diagnosis and wrong referral urgency (as a percentage %) in the standard and teleophthalmology pathways when human assessors are replaced by AI DSS
5. Uptime and end-to-end inference speed (in seconds) of technical infrastructure supporting the AI DSS
6. Average time of end-to-end output (referral recommendation) by the AI DSS (in hours)

#### Completion date

28/02/2023

## Eligibility

#### Key inclusion criteria

Current inclusion criteria as of 22/11/2021:

1. Adult (aged  $\geq 18$  years) attending the involved community optometry practices who underwent an OCT scan
2. People who at the opinion of the community optometrist have any suspicion of a retinal condition (including dry AMD, wet AMD, diabetic retinopathy, macular oedema, macular holes, epiretinal membranes, central serous chorio-retinopathy, genetic eye disease)
3. Macular OCT scan performed at community optometry

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Previous inclusion criteria:

1. People attending the involved community optometry practices who underwent an OCT
2. People who at the opinion of the community optometrist have any suspicion of a retinal condition (including dry AMD, wet AMD, diabetic retinopathy, macular oedema, macular holes, epiretinal membranes, central serous chorio-retinopathy, genetic eye disease)
3. Macular OCT scan performed using either Topcon 3D OCT-2000 or Heidelberg OCT1 device (performed with Heidelberg 'dense' acquisition settings)

#### Participant type(s)

Patient

Healthy volunteers allowed

No

**Age group**

Adult

**Lower age limit**

18 years

**Sex**

All

**Key exclusion criteria**

1. People with any non-retinal ocular co-morbidities in either eye other than cataract
2. People with media opacities, inability to position or fixate or any other reason that prevents acquisition of good quality OCT scans (at the discretion of the community optometrist)

**Date of first enrolment**

01/09/2020

**Date of final enrolment**

28/02/2023

## **Locations**

**Countries of recruitment**

United Kingdom

England

**Study participating centre**

**Moorfields Eye Hospital NHS Foundation Trust**

City Road

London

United Kingdom

EC1V 2PD

**Study participating centre**

**Manchester Royal Eye Hospital**

Oxford Road

Manchester

United Kingdom

M13 9WL

**Study participating centre**

**Birmingham University Hospitals**

Mindelsohn Way  
Birmingham  
United Kingdom  
B15 2TH

**Study participating centre****Central Middlesex Hospital NHS Trust**

Acton Lane  
Park Royal  
London  
United Kingdom  
NW10 7NS

**Study participating centre****Hinchingbrooke Hospital**

Hinchingbrooke Park  
Huntingdon  
United Kingdom  
PE29 6NT

## Sponsor information

**Organisation**

Moorfields Eye Hospital NHS Foundation Trust

**ROR**

<https://ror.org/03zaddr67>

## Funder(s)

**Funder type**

Government

**Funder Name**

National Institute for Health Research

**Alternative Name(s)**

National Institute for Health Research, NIHR Research, NIHRresearch, NIHR - National Institute for Health Research, NIHR (The National Institute for Health and Care Research), NIHR

**Funding Body Type**  
Government organisation

**Funding Body Subtype**  
National government

**Location**  
United Kingdom

## Results and Publications

### Individual participant data (IPD) sharing plan

As per the policy of the National Institute for Health Research, a data sharing statement will be included when publishing the findings of the research describing how to access the underpinning research data.

The data sharing statement, which is in the process of development by the host institution, will specify the policies and procedures for the management of data access requests from third parties. These will be transparent, robust, fair and demonstrate that appropriate mechanisms are in place to provide assurances as to the integrity of the research data.

Release of data will be subject to a data use agreement between the host institution and the third party requesting the data.

The data use agreement will detail agreed use and appropriate management of the research data to be shared. Studies by third parties shall promote appropriate acknowledgement of the significant contributions of all parties to creating new value through data-sharing, including the researchers who generated the data and the original funder.

### IPD sharing plan summary

Data sharing statement to be made available at a later date

### Study outputs

Output type	Details	Date created	Date added	Peer reviewed?	Patient-facing?
<a href="#">HRA research summary</a>			28/06/2023	No	No
<a href="#">Other publications</a>	Qualitative interview study with patients and clinicians	24/05/2024	28/05/2024	Yes	No
<a href="#">Participant information sheet</a>	Participant information sheet	11/11/2025	11/11/2025	No	Yes
<a href="#">Study website</a>	Study website	11/11/2025	11/11/2025	No	Yes