

# HoPS: Home-based picture exchange communication schedule (PECS) study

<b>Submission date</b> 05/11/2019	<b>Recruitment status</b> No longer recruiting	<input type="checkbox"/> Prospectively registered <input checked="" type="checkbox"/> Protocol
<b>Registration date</b> 26/06/2020	<b>Overall study status</b> Completed	<input type="checkbox"/> Statistical analysis plan <input type="checkbox"/> Results
<b>Last Edited</b> 14/05/2024	<b>Condition category</b> Mental and Behavioural Disorders	<input type="checkbox"/> Individual participant data <input type="checkbox"/> Record updated in last year

## Plain English summary of protocol

### Background and study aims

The emergence of language is crucial for social and emotional development but it is estimated that up to 30% of individuals with autism fail to develop functional speech. Furthermore, once they reach school age, many autistic children with little or no language fail to make progress and rarely develop compensatory strategies, such as gesture, to communicate basic needs. This has a negative impact on individuals and their families and increases the risk of children developing emotional and behavioural problems. Currently, most intervention including speech and language therapy is provided in schools. Thus, parents are rarely involved in interventions such as The Picture Exchange Communication System (PECS) and cannot therefore develop these skills at home. PECS was specifically designed to help pre-verbal children with autism make spontaneous requests for basic needs i.e. food, play or leisure activities. Evidence for the effectiveness of PECS with children with autism is promising but limited. The aim of this study is to find out whether it is possible to recruit and deliver the PECS training to parents/carers and their children at home and to ask the families about the acceptability of this approach in order to design a much larger study that can test the benefit of the therapy.

### Who can participate?

Children aged 2-10 with a clinical diagnosis of autism who are non/minimally verbal (i.e. fewer than 10 words used on a regular basis)

### What does the study involve?

Participants are assessed at three timepoints: first when they are recruited to the study, then again at 20 weeks after they start and finally at 24 weeks after they start. Some assessments are only done at the start of the study i.e. a direct measure with the researcher to measure the child's development and questionnaires for the parents/carers about the child's autism symptoms and general development. Other assessments are done at all timepoints e.g. vocabulary development. Parents are also asked to use a phone App to note down the way in which their child makes requests and at the same time to use a mini-camera to record their child's communication. These measurements are taken for two brief periods (i.e. 1-2 hours) after school and at a weekend and are agreed with the parents. The researchers also ask families about their experience of participating in the study and about the types of local treatment they have received while participating in the study. Participants are randomly allocated either to:

1. Home-based PECS treatment (32 families). Families in this group receive the PECS treatment in addition to their local provision (education and health services)
2. Treatment as usual (32 families). TAU families continue to receive the local provision (education and health services) for their child.

Parents in both groups are invited to attend a short group session where they are informed about the study and the senior researchers can help with any problems relating to the use of the mobile phone App or mini-cameras. In addition, the parents in the TAU group have a session on communication and behaviour management strategies at home. The parents in the PECS group learn about the treatment which is a system for teaching children to communicate using pictures. It involves six stages, each focusing on specific communication skills and uses strategies to teach children to exchange a picture-card or object for an immediate high-value reward (e.g. favourite food).

What are the possible benefits and disadvantages and risks of taking part?

All children in this study will have assessments from a skilled professional and families will complete questionnaires that may help clarify the strengths and needs of their child. If requested the researchers can provide a brief written summary of the assessment data. All parents will be invited to attend a parent education day run by a senior researcher/clinician in the team to inform them about general strategies to improve communication and behavioural management in children with autism. The researchers do not anticipate that this study will result in any disadvantages or risks to families and PECS has not been found to have any unwanted effects in previous studies. For all families there is a time commitment required for the assessment visits. For families allocated to the PECS intervention, additional time is needed for therapy sessions and home practice. This may have effects on family life. The researchers will try to schedule sessions at times to suit each family, including outside normal working hours if necessary.

Where is the study run from?

Evelina Children's Hospital (UK)

When is the study starting and how long is it expected to run for?

April 2019 to December 2021

Who is funding the study?

Autistica (UK)

Who is the main contact?

Dr Vicky Slonims

vicky.slonims@gstt.nhs.uk

## Contact information

**Type(s)**

Scientific

**Contact name**

Dr Vicky Slonims

**ORCID ID**

<https://orcid.org/0000-0003-3339-2365>

## Contact details

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2nd Floor Becket House  
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+44 (0)7896983982  
vicky.slonims@gstt.nhs.uk

## Additional identifiers

### EudraCT/CTIS number

Nil known

### IRAS number

### ClinicalTrials.gov number

Nil known

### Secondary identifying numbers

Autistica Funder - ID: 7262 - Grant Reference: 7262

## Study information

### Scientific Title

A pilot evaluation of a home-based Picture Exchange Communication Schedule (PECS) intervention for young non- or minimally-verbal children with autism

### Acronym

HoPS

### Study objectives

1. It is feasible and acceptable to teach the use of PECS to parents and their young autistic child at home.
2. It is feasible to use Early Years special school education services as a means to identify and recruit young children with autism in the London area.
3. A mobile App will be an acceptable and efficient method for parents to count and rate their child's spontaneous requesting in the home context.
4. It is feasible and acceptable to use small body cameras (worn by the child) to gather direct observational data on spontaneous communication behaviour at home.
5. Pilot data, including retention rate, assessment completion and effect size, will be collected in order to prepare an application for a large multi-site RCT of home-based PECS training for parents of non-verbal young children with autism in the UK.
6. A short term follow-up, 4 weeks after the cessation of training can be conducted to monitor the continuing use of PECS at home and any sustained progress made by the child.

### Ethics approval required

Old ethics approval format

## **Ethics approval(s)**

1. Approved 25/09/2019, PNM Research Ethics Subcommittee, King's College Hospital (Franklin Wilkins Building, 5.9 Waterloo Bridge Wing, Waterloo Road, London, SE1 9NH, United Kingdom; 0207 848 4020; rec@kcl.ac.uk), ref: HR-19/20-14197

2. Approved 15/04/2021, PNM Research Ethics Subcommittee, King's College Hospital (Franklin Wilkins Building, 5.9 Waterloo Bridge Wing, Waterloo Road, London, SE1 9NH, United Kingdom; 0207 848 4020; rec@kcl.ac.uk), ref: RESCM-20/21-14197

## **Study design**

Single-centre feasibility and pilot randomized controlled trial

## **Primary study design**

Interventional

## **Secondary study design**

Randomised controlled trial

## **Study setting(s)**

Home

## **Study type(s)**

Treatment

## **Participant information sheet**

Not available in web format, please use the contact details to request a patient information sheet

## **Health condition(s) or problem(s) studied**

Autism

## **Interventions**

Blocks of 6-8 parents at each school will be randomised to receive the PECS intervention or Treatment as Usual (TAU). A freely available random number allocation website will be used e.g. Random Allocation Software (RAS) or Research Randomiser. The research assistant will be blind to group allocation. Children will be stratified into two age groups (+/- 4 years) to avoid large differences between PECS and TAU groups. Eligibility criteria should mean that differences in language ability and autism severity are unlikely. Any possible differences in cognitive or other abilities will be explored in analysis. Qualitative data will be used to assess the acceptability of the study to families and to the therapist.

The delivery of the Picture Exchange Communication System (PECS) will be tested. PECS is a well-established manualised system for teaching children to communicate using pictures or objects. It involves 6 developmental stages, each focusing on specific communication skills. PECS uses behavioural strategies to teach children to exchange a picture-card or object for an immediate high-value reward (e.g. favourite food). Initially, teaching is primarily through the use of physical prompts, which are subsequently systematically faded to ensure independence.

Stage 1: focuses on teaching the child to exchange an image to receive a highly desirable item (often food). Prompts are given by a second adult and prompting is then gradually reduced.

Stage 2: develops a child's persistence by creating small barriers to communication such as increasing the distance between the child and the desired object/activity.

Stage 3: more images of desired items are introduced and the child begins to discriminate

between them to make requests.

Stage 4: combines images in a "picture strip" to indicate messages such as 'I want....', thereby helping the child to use more complex constructions.

Stages 5 and 6: build on these skills to help a child learn to answer questions (e.g. what do you want?) and to make comments (e.g. what can you see?)

The therapist will provide 6 weekly sessions at home with the parent and child, followed by a further 6 home-based sessions for the parent only on a fortnightly basis, giving a total of 12 sessions over 18 weeks. Home-based sessions and assessments will be arranged to fit in with parents' commitments.

Parents in each group will be invited to attend a brief group workshop hosted by the school and delivered by the research leads. Parents in the TAU group will be provided with psycho-educational information about autism, and given strategies to support communication and behaviour management. The parents in the PECS group will be introduced to the intervention. Both groups will be given general information about how the study is to be conducted and have the opportunity to discuss the use of the App and the mini camera.

## **Intervention Type**

Behavioural

## **Primary outcome measure**

Current primary outcome measure as of 12/03/2021:

1. Successful recruitment of 64 eligible participants, measured using evaluation of participant numbers in each school (anticipated to be 4- 5 schools).
2. Successful data collection on questionnaire and direct assessments at relevant time points e.g. measurement of complete data sets for all recruited participants at each assessment point: baseline, end point (18 weeks) and follow up (22 weeks) and assessment of complete data sets for all recruited participants at the end of data collection for the study.
  - 2.1. Autism symptoms: The Social Communication Questionnaire - Lifetime version
  - 2.2. Communication: Communication Development Inventory (CDI) Words and Gestures
  - 2.3. Adaptive Behaviour: The Adaptive Behaviour Assessment System (ABAS)
  - 2.4. Developmental level: Researcher administered Mullen Scales of Development
  - 2.5. Qualitative data e.g. A therapist rating (5 point scale), A parent-completed Social Validity Questionnaire
  - 2.6. Local service use data: from parent completed Child and Adolescent Service Use Schedule (CA-SUS)
3. Acceptability of App and body-worn cameras and quality of data obtained from this equipment, measured using verbal feedback from parents at each assessment i.e. baseline, end point(18 weeks) and follow up (22 weeks).
4. Number of families retained in both groups, measured using assessment of the number of participating/engaged families at end point (18 weeks) and follow up assessments (22 weeks)
5. Number of home-based sessions delivered by the therapist, measured using therapist diary records at end of each family's treatment (17-18 weeks)
6. Data (from the research therapist) on parent/child progression of PECS stages (i.e. 1-6) for treatment families (parent treatment fidelity) at end of treatment period (17-18 weeks)
7. Feedback on feasibility and acceptability of the intervention at treatment end point (18 weeks) from Parents assessed by Social Validity Questionnaire (for treatment group only). This information will be further supplemented by qualitative data from end-of-treatment interviews conducted by an independent member of the study who will be supervised by Dr Penny Williams (Study co-investigator). An invitation letter will be send to parents in the

intervention group and the interviews will be conducted over the phone with randomly selected parents (12 -15 interviews in total, depending on when data saturation is reached). All interviews will be recorded on an audio device and further transcribed for analysis. Each interview will last between 20-45 min and an interview guide will be used. At the end of the study the research assistant and therapist will be asked to provide feedback on their experience of participating in the study.

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#### Previous primary outcome measure:

1. Successful recruitment of 64 eligible participants, measured using evaluation of participant numbers in each school (anticipated to be 4- 5 schools).
2. Successful data collection on questionnaire and direct assessments at relevant time points e. g. measurement of complete data sets for all recruited participants at each assessment point: baseline, end point (18 weeks) and follow up (22 weeks) and assessment of complete data sets for all recruited participants at the end of data collection for the study.
  - 2.1. Autism symptoms: The Social Communication Questionnaire - Lifetime version
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  - 2.5. Qualitative data e.g. A therapist rating (5 point scale), A parent-completed Social Validity Questionnaire
  - 2.6. Local service use data: from parent completed Child and Adolescent Service Use Schedule (CA-SUS)
3. Acceptability of App and body-worn cameras and quality of data obtained from this equipment, measured using verbal feedback from parents at each assessment i.e. baseline, end point(18 weeks) and follow up (22 weeks).
4. Number of families retained in both groups, measured using assessment of the number of participating/engaged families at end point (18 weeks) and follow up assessments (22 weeks)
5. Number of home-based sessions delivered by the therapist, measured using therapist diary records at end of each family's treatment (17-18 weeks)
6. Data (from the research therapist) on parent/child progression of PECS stages (i.e. 1-6) for treatment families (parent treatment fidelity) at end of treatment period (17-18 weeks)
7. Feedback on feasibility and acceptability of the intervention at treatment end point (18 weeks) from Parents assessed by Social Validity Questionnaire (for treatment group only). Two families randomly selected from each school (one treatment and one Treatment as Usual family) will have a qualitative interview with Dr Penny Williams on their experience of participating in the study. Focus groups to provide feedback on the experience of participating in the study will be arranged within 2 months of completion of the study for teachers in each school. At the end of the study the research assistant and therapist will be asked to provide feedback on their experience of participating in the study.

#### Secondary outcome measures

1. At baseline, endpoint (18 weeks) and follow up (22 weeks) PECS usage (count of requesting behaviours rated by parents via telephone App and by researcher from video), according to 2 codes: 1) use of PECS, 2) other forms of request. Other behaviours (anticipated to be non-communicative behaviour) will be noted.
2. Children's communication assessed by parent-rated Communication Development Inventory (CDI) at baseline and endpoint (18 weeks)

#### Overall study start date

01/04/2019

**Completion date**

10/12/2021

## **Eligibility**

**Key inclusion criteria**

Children:

1. Aged 2-10 years
2. Clinical diagnosis of autism, meeting cut-off for autism symptoms i.e  $\geq 15$  on the Social Communication Questionnaire
3. Non/minimally verbal (i.e. fewer than 10 words used on a regular basis)

Parents:

4. Willing to consent to participation and able to engage in intervention at home

**Participant type(s)**

Learner/student, Mixed

**Age group**

Mixed

**Lower age limit**

2 Years

**Upper age limit**

10 Years

**Sex**

Both

**Target number of participants**

64 in total: 32 in PECS treatment and 32 in treatment as usual group

**Total final enrolment**

39

**Key exclusion criteria**

Children who have:

1. More than 10 words used regularly
2. Severe visual or hearing impairment
3. A twin brother or sister who would also be a candidate for the intervention
4. Parents in whom poor use and/or understanding of English would limit participation

**Date of first enrolment**

30/09/2019

**Date of final enrolment**

12/01/2021

# Locations

## Countries of recruitment

England

United Kingdom

## Study participating centre

### **Evelina Children's Hospital (Guy's & St Thomas' NHS Foundation Trust)**

Children's Neurosciences

2nd Floor

Becket House

1 Lambeth Palace Road

London

United Kingdom

SE1 7EU

## Study participating centre

### **Russet House School**

11 Autumn Cl

Enfield

United Kingdom

EN1 4JA

## Study participating centre

### **Phoenix School**

49 Bow Rd, Bow

London

United Kingdom

E3 2AD

## Study participating centre

### **Queensmill School**

1 Askham Road

Shepherds Bush

London

United Kingdom

W12 0NW

## Study participating centre



**Hatton School & Special Needs Centre**

Roding Lane South  
Woodford Green  
London  
United Kingdom  
IG8 8EU

**Study participating centre****Cherry Garden School**

41 Bellenden Rd  
Peckham  
London  
United Kingdom  
SE15 5BB

**Study participating centre****The Livity School**

35 Adare Walk  
Streatham Wells  
London  
United Kingdom  
SW16 2PW

**Study participating centre****Turney School**

Turney Rd  
Norwood  
London  
United Kingdom  
SE21 8LX

## **Sponsor information**

**Organisation**

Evelina Children's Hospital, Guy's and St Thomas' NHS Foundation Trust and King's College  
London

**Sponsor details**

Children's Neurosciences  
2nd Floor Becket House  
1 Lambeth Palace Road

London  
England  
United Kingdom  
SE1 7EU  
+44 (0)7896983982  
vicky.slonims@gstt.nhs.uk

**Sponsor type**

Hospital/treatment centre

**Website**

<https://www.evelinalondon.nhs.uk/Home.aspx>

## **Funder(s)**

**Funder type**

Charity

**Funder Name**

Autistica

**Alternative Name(s)****Funding Body Type**

Government organisation

**Funding Body Subtype**

Trusts, charities, foundations (both public and private)

**Location**

United Kingdom

## **Results and Publications**

**Publication and dissemination plan**

The study protocol and pilot results will be published in peer-reviewed journals of general and special interest. The study will also be disseminated in collaboration with Ian Dale and Denise May at the National Autistic Society (NAS). The focus will be on three stakeholder audiences: (1) health practitioners, education professionals and researchers, (2) autistic people and their families, and (3) study participants. We aim to ensure that all three groups are fully informed about the project.

**Intention to publish date**

30/12/2022

## Individual participant data (IPD) sharing plan

Current IPD sharing statement as of 10/01/2022:

The anonymised data analysed during the current study will be available upon request from Dr Vicky Slonims (vicky.slonims@gstt.nhs.uk) after the completion of analysis and reporting to the funder in accordance with the permissions under which participants have signed up and agreed for data to be shared. Requests for data will be considered case-by-case on the basis of the purpose of the query e.g. during the publication process or if requested by PNM Research Ethics sub Committee, Kings College London, james.2.patterson@kcl.ac.uk , in accordance with their guidance.

Raw data will include anonymised quantitative descriptive data on participants, the delivery of therapy e.g. dosage etc and some between group analyses. Additionally there will be transcripts from qualitative interviews with participants. Data is stored electronically on databases, on paper in secure locked cabinets in a secure building and video recordings on an encrypted hard drive kept in a secure cabinet.

3-part consent from participants has been obtained for the use of anonymised data in publications and presentations for dissemination etc, the sharing of anonymised data with other research groups, and video or similar being used at conferences and in presentations to professional groups

The data analysed during the current study will be also be included in the subsequent results publication.

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Previous IPD sharing statement:

The datasets generated during and/or analysed during the current study are/will be available upon request from Dr Vicky Slonims (Vicky.slonims@gstt.nhs.uk). Data are primarily qualitative relating to the feasibility of the study. Secondary data comprises information from questionnaires, a baseline direct assessment of child development and count ratings from video footage of children's behaviour at home. The data can be applied for up to 3 years following the end of the study in accordance with KCL data storage policy. In addition, the ethics committee have indicated that they may wish to audit the progress of the study. In accordance with the participant information and consent forms the researchers will not share any data without first obtaining the permission of study participants. All data are kept in accordance with KCL ethics requirements, i.e. stored anonymously with personal data and study identification information kept separately; databases are password protected and stored on an NHS electronic file system with limited access (only named study personnel have access to the folder).

## IPD sharing plan summary

Available on request, Published as a supplement to the results publication, Other

## Study outputs

Output type	Details	Date created	Date added	Peer reviewed?	Patient-facing?
<a href="#">Protocol file</a>	version 5	25/03/2021	10/01/2022	No	No