The effect of serotonin receptor 4 on cognition in unusual experiences

Submission date	Recruitment status	[X] Prospectively registered		
17/09/2025	Recruiting	[X] Protocol		
Registration date	Overall study status	Statistical analysis plan		
19/09/2025	Ongoing	☐ Results		
Last Edited	Condition category	Individual participant data		
19/09/2025	Mental and Behavioural Disorders	[X] Record updated in last year		

Plain English summary of protocol

Background and study aims

Studying non-impactful psychotic-like experiences (PLEs) is a well-established method for exploring mechanisms underlying the more severe psychosis. PLEs are subclinical, not associated with distress or the problems with thinking seen in psychosis, and affect around 5-10% of the healthy population. However, compared to those without, individuals with PLEs often have subtle thinking differences, making them a useful non-clinical model for screening potential early psychosis treatments. Preclinical data suggest that selectively targeting the fourth serotonin receptor (5-HT4R) could improve thinking by enhancing neurotransmitters and boosting neurotrophic factors. Earlier pilot work in healthy humans shows that 5-HT4R activation (also known as agonism), using prucalopride, is associated with improved performance on thinking tasks. The effect of 5-HT4R agonism has never been assessed in those with psychosis-related symptoms. However, using electronic health records, it was found that prucalopride treatment (a 5-HT4R agonist used as a laxative) was associated with a >70% lower risk of psychosis compared to other anti-constipation treatments.

Experimental medicine models allow rapid assessment of medications that probe specific targets (i.e. prucalopride on 5-HT4Rs) using proxy measures (i.e. effect on thinking patterns) to establish proof-of-concept in a model of the clinical population of interest (i.e. PLE population as a model of early psychosis). These are not Clinical Trials of Investigational Medicinal Products (CTIMPs) as they occur after clinical trials evaluating safety and before assessing clinical efficacy.

Who can participate:

Community healthy volunteers (aged 18 - 40 inclusive) with psychotic-like experiences in the last 12 months

What does the study involve:

Half of the study population will be randomly allocated to receive a highly-selective 5-HT4 R agonist (prucalopride) and half will receive a placebo for 7 days. Baseline and follow-up measures will include neurocognitive tasks and resting state fMRI and MRS; changes in mood, anxiety, subjective cognition, and blood biomarkers and prucalopride levels will also be assessed.

What are the possible benefits and risks of participating?
The results of this research project will be used for research purposes and will not provide

participants with any direct benefit, nor are they intended to be used as part of clinical examinations. Results will not be used to help diagnose, treat or manage a particular condition. However, a clinician or appropriately trained individual will review all blood and structural MRI results. If any relevant clinical findings are identified, the participant and their GP will be informed, if the participant agrees. They or an appropriately qualified (medically trained) study team member will contact the participant to let them know that a potential clinically relevant finding has been identified. They will ask whether the participant would like to know about the results. If they do, the clinical team and/or study team will discuss the results with them and assist them in organising any follow-up assessments that may be required.

The interview materials and the types of questions asked in this project have been used in projects in the past without causing undue distress. Participants can choose not to answer any questions that make them feel uncomfortable, and can request the interviewer to stop at any time. Any support needed will be provided by staff who are not members of the research team.

An MRI or MRS scan does not produce or expose participants to radiation. However, as the imaging machine produces a magnetic field (like a 'giant magnet'), there are certain conditions or situations where an MRI would not be suitable. Before the scan, participants will complete a medical history form, which radiographers will review.

The space inside the MRI scanner is small and can be quite noisy. Feelings of mild anxiety or claustrophobia are normal in the first few minutes. If these feelings persist or become distressing, testing will stop.

The risks of the blood sample collection are the same as those of ordinary blood tests, and there may be some small discomfort, pain and/or bruising. Infection, swelling, excess bleeding, clotting or fainting are also possible, although less common. If this happens, it can be easily treated.

Where is the study run from? University of Birmingham, UK

When is the study starting?

The study runs from February 2024 to October 2027. Enrolment will commence from September 2025. It is expected to run for 18 months to 2 years.

Who is funding the study?

The NIHR Mental Health Translational Research Collaboration – Mental Health Mission, UK

Who is the main contact?

Dr Angharad de Cates, Principal Investigator and Clinical Lecturer, a.n.decates@bham.ac.uk

Contact information

Type(s)

Public, Scientific, Principal Investigator

Contact name

Dr Angharad de Cates

ORCID ID

Contact details

Institute for Mental Health University of Birmingham Birmingham United Kingdom B15 2TT +44 (0)1214143978 a.n.decates@bham.ac.uk

Additional identifiers

EudraCT/CTIS number

Nil known

IRAS number

ClinicalTrials.gov number

Nil known

Secondary identifying numbers

Nil known

Study information

Scientific Title

Does 5-HT4 receptor agonism have an acute procognitive effect in young adults with psychotic-like experiences: proof-of-concept study: The SERENE study: SErotonin Receptor 4 Effect on NEurocognition

Acronym

SERENE

Study objectives

Primary aim: To determine if pharmacologically activating the 5-HT4R compared to placebo has an effect on acute neurocognition in healthy volunteers with psychotic-like experiences (PLEs) as a proof-of-concept meriting further investigation.

Study objectives:

- 1. Potentially establish novel proof-of-principle evidence for acute neurocognitive effects of 5-HT4R agonism in people with PLEs
- 2. Yield an estimate of effect size to aid future design of potential next stage clinical trials where clinical impact on cognition and other outcomes in early psychosis can be explored.

Ethics approval required

Ethics approval required

Ethics approval(s)

Approved 02/06/2025, Science, Technology, Engineering and Mathematics Committee (University of Birmingham, Edgbaston, Birmingham, B15 2TT, United Kingdom; +44 (0)121 414 3344; ADM-researchgov@adf.bham.ac.uk), ref: ERN 3117, amendment approved 03/09/2025

Study design

Experimental medicine (interventional) proof-of-concept randomized double-blinded placebo-controlled study

Primary study design

Interventional

Secondary study design

Randomised controlled trial

Study setting(s)

Community, Laboratory

Study type(s)

Other

Participant information sheet

See study outputs table

Health condition(s) or problem(s) studied

Healthy volunteer community population with psychotic-like experiences in the last 12 months

Interventions

Intervention arm: Prucalopride (tablets, over-encapsulated in a vegetarian shell and filled with Lactose Monohydrate Ph. Eur. powder or equivalent grade) 1mg for 2 days and then 2x1mg for 5-8 days (max 10 days in total). Taken orally once daily at home. 2 bottles will be dispensed at randomisation by a study clinician: Bottle 1 contains medication for day 1 and day 2; Bottle 2 contains medication for day 3 onwards. A researcher will contact participants by prior agreement on day 2 to confirm that participants have not had side effects and to move from Bottle 1 to Bottle 2. Bottles will be returned to researchers at the end of the study, and the remaining capsules will be counted.

Placebo arm: Placebo (Lactose Monohydrate Ph Eur powder in a hard vegetarian shell to match the active) 1 capsule for 2 days and then 2 capsules for 5-8 days (max 10 days in total). Taken orally once daily at home. 2 bottles will be dispensed at randomisation by a study clinician: Bottle 1 contains medication for day 1 and day 2; Bottle 2 contains medication for day 3 onwards. A researcher will contact participants by prior agreement on day 2 to confirm that participants have not had side effects and to move from Bottle 1 to Bottle 2. Bottles will be returned to researchers at the end of the study, and the remaining capsules will be counted.

Intervention Type

Drug

Pharmaceutical study type(s)

Proof-of-concept experimental medicine

Phase

Not Applicable

Drug/device/biological/vaccine name(s)

Prucalopride

Primary outcome measure

Pattern of effect across a battery of behavioural neurocognitive measures: the auditory verbal learning task (AVLT), working memory task (N-back), facial expression recognition task (FERT), rewards learning task (PILT) from enrollment to the end of the study (after 7-10 days of medication)

Secondary outcome measures

The following secondary outcome measures are assessed at baseline and follow-up, from enrolment to the end of the study (after 7–10 days of medication), unless otherwise stated:

- 1. Changes in brain network connectivity measured using resting-state functional MRI
- 2. Brain metabolites, such as choline and glutamate/glutamine, levels in the hippocampus are measured using magnetic resonance spectroscopy (MRS)
- 3. Blood biomarkers linked to psychotic symptoms and 5-HT4 agonism (IL-1b, IL-6, IL-10, TNFa, IFNg, BDNF, S100B and SuPAR) and prucalopride levels measured using blood tests from enrolment to the end of the study (after 7–10 days of medication). Biomarkers in blood will be quantified by multiplex analysis (Luminex) and ELISA. Prucalopride in the blood will be quantified by chromatography and mass spectrometry.
- 4. Subjective cognition measured using self-report measures (PDQ-20 (Perceived Deficits Questionnaire))

Overall study start date

02/02/2024

Completion date

01/10/2027

Eligibility

Key inclusion criteria

- 1. Aged 18-40 years inclusive
- 2. Consent to the study
- 3. Recent psychotic-like experiences (last 12 months)
- 4. Fluent in English

Participant type(s)

Healthy volunteer

Age group

Adult

Lower age limit

18 Years

Upper age limit

Sex

Both

Target number of participants

36

Key exclusion criteria

- 1. Current antipsychotic medication
- 2. Current antidepressant medication
- 3. Documented history of intellectual disability
- 4. Past or current clinically relevant central nervous system disorder
- 5. Current significant medical disorder
- 6. Current or past treated or untreated psychotic episode
- 7. Pregnancy, breastfeeding, or actively trying to become pregnant. Participants will be asked to avoid becoming pregnant.
- 8. Individuals with contraindications for MRI, including those with non-MRI-safe metallic or electronic implants, incompatible medical devices, severe claustrophobia, or exceeding scanner size limits
- 9. Recent (in the last 3 months) involvement in a study that uses an experimental drug or device 10. Recent (in the last 6 months) involvement in a study using similar thinking or emotional tasks

Date of first enrolment

25/09/2025

Date of final enrolment

01/09/2027

Locations

Countries of recruitment

England

United Kingdom

Study participating centre University of Birmingham

Edgbaston Birmingham United Kingdom B15 2TT

Sponsor information

University of Birmingham

Sponsor details

Edgbaston
Birmingham
England
United Kingdom
B15 2TT
+44 (0)121 414 3344
ADM-researchgov@adf.bham.ac.uk

Sponsor type

University/education

Website

https://www.birmingham.ac.uk/

ROR

https://ror.org/03angcq70

Funder(s)

Funder type

Government

Funder Name

National Institute for Health and Care Research

Alternative Name(s)

National Institute for Health Research, NIHR Research, NIHRresearch, NIHR - National Institute for Health Research, NIHR (The National Institute for Health and Care Research), NIHR

Funding Body Type

Government organisation

Funding Body Subtype

National government

Location

United Kingdom

Results and Publications

Publication and dissemination plan

To facilitate academic impact after the study ends:

- 1. At 3-6 months, we will aim to present initial findings locally, including with the Lived Experience Advisory Group, and/or with potential collaborators for feedback and to minimise duplication of data, as well as to national and international psychopharmacology networks (i.e. BAP/ECNP)
- 2. At 6-9 months, we will submit articles for peer-reviewed publication, including press releases to the public and clinical networks.
- 3) We will make findings available to other interested third parties, including pharmaceutical organisation representatives (i.e. Takeda and Pfizer) via the Mental Health Mission (MHMTC) as appropriate.

Intention to publish date

01/11/2027

Individual participant data (IPD) sharing plan

The datasets generated during and/or analysed during the current study will be stored in a publicly available repository. Analysis code, and pseudoanonymised quantitative raw and MRI data, along with the protocol and analysis plans, will be shared where practicable using repositories such as Open Science Framework (https://osf.io/) and Neurovault (https://neurovault.org/). Personal information will not be shared.

IPD sharing plan summary

Stored in publicly available repository

Study outputs

Output type	Details	Date created	Date added	Peer reviewed?	Patient-facing?
Participant information sheet	version 2	03/08/2025	19/09/2025	No	Yes
Protocol file	version 2	03/09/2025	19/09/2025	No	No