

Dose escalation trial of *Listeria monocytogenes* based vaccine in patients with oropharyngeal squamous cell carcinoma

Submission date 31/10/2011	Recruitment status Stopped	<input checked="" type="checkbox"/> Prospectively registered <input type="checkbox"/> Protocol
Registration date 31/10/2011	Overall study status Stopped	<input type="checkbox"/> Statistical analysis plan <input type="checkbox"/> Results
Last Edited 02/09/2022	Condition category Cancer	<input type="checkbox"/> Individual participant data <input type="checkbox"/> Record updated in last year

Plain English summary of protocol

<https://www.cancerresearchuk.org/about-cancer/find-a-clinical-trial/a-trial-looking-new-vaccine-treat-cancer-throat-that-may-be-caused-by-virus-hpv-realistic>

Contact information

Type(s)

Scientific

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Additional identifiers

Clinical Trials Information System (CTIS)

2010-019916-20

ClinicalTrials.gov (NCT)

NCT01598792

Protocol serial number

11160

Study information

Scientific Title

A phase I, dose escalation trial of recombinant *Listeria monocytogenes* (Lm) based vaccine encoding human papilloma virus (HPV) serotype 16 target antigens (ADXS11001) in patients with HPV16 positive oropharyngeal squamous cell carcinoma

Acronym

REALISTIC

Study objectives

Human Papilloma Viruses (HPVs) are obligate human pathogens, some have the propensity to promote malignant transformations of their host cells. An example of this is HPV-16 in the oropharyngeal squamous cell carcinoma, which is seen in about 50-70% of cases although there is geographical variation.

Oropharyngeal squamous cell carcinoma (OPSCC) is on the increase in Europe and the United Kingdom, Cancer Research (UK) reported 953 new cases in 2005. If we accept that approx. 40-50% of these new cases may be due to HPV-16 infection, the resultant disease burden is about 380-480 new cases per annum in the UK. In contrast to other head and neck cancers, HPV related OPSCC occurs in younger patients, who are non-smokers and non-heavy drinkers.

ADXS11-001 (formerly Lovaxin C) is a bioengineered strain of living *Listeria monocytogenes* that induces a strong therapeutic immune response using multiple mechanisms of action. This vaccine secretes the tumour antigen HPV-16 E7 fused to an attenuated Lm virulence factor, Listeriolysin O (LLO), which has strong adjuvant properties.

If proved safe, there is a role for ADXS11-001 as a post-treatment adjuvant as part of a treatment de-escalation strategy in an attempt to reduce the adverse effects of current treatment strategies without compromising survival.

The REALISTIC trials' objective is to determine safety and to characterise the toxicity profile of ADXS11-001.

Ethics approval required

Old ethics approval format

Ethics approval(s)

First MREC, 20/09/2011, ref: GTAC 176

Study design

Non-randomised interventional screening treatment

Primary study design

Interventional

Study type(s)

Screening

Health condition(s) or problem(s) studied

Head and neck cancer

Interventions

ADXS11-001 - the patient will receive 3 vaccinations. The vaccine will be given on Day 1 of each cycle. An interval of at least 28 days should occur between any two vaccinations. To proceed to the next vaccination the previous vaccination(s) must have been well tolerated. At each recruiting centre, the infusion will take place in an area specifically designated for phase I clinical trial patients to receive their experimental treatments. Follow Up Length: 12 months

Intervention Type

Drug

Phase

Phase I

Drug/device/biological/vaccine name(s)

ADXS11-001

Primary outcome(s)

1. Safety
2. Occurrence of drug-related grade 3 or 4 systemic or local adverse events

Key secondary outcome(s)

1. Immunity
2. Demonstration by ELISPOT assay of the frequency of IFN secreting lymphocytes recognising MHC class

Completion date

01/01/2012

Reason abandoned (if study stopped)

Objectives no longer viable

Eligibility

Key inclusion criteria

1. Histologically confirmed HPV-16 +ve, p16 +ve OPSCC
2. Patients in remission from disease, i.e. complete response (CR) or unconfirmed complete response (CRu) in the case of non-surgical treatment or complete macroscopic resection of tumour and associated cervical lymph nodes in patients undergoing surgery
3. Completion of standard therapy for malignancy at least 6 weeks before trial entry
4. A positive result following anergy testing
5. Written informed consent and the ability of the patient to co-operate with treatment and follow up must be ensured and documented
6. Age greater than 18 years
7. World Health Organisation (WHO) performance status of 0 or 1
8. Life expectancy of at least 12 months

9. Haematological and biochemical indices (these measurements must be performed within 8 days prior to the patient going on study)
10. Haematological:
 - 10.1. Haemoglobin (Hb) > 10.0 g/dl
 - 10.2. Neutrophils = $1.5 \times 10^9/L$
 - 10.3. Platelets (Plts) = $100 \times 10^9/L$
11. Baseline liver function tests:
 - 11.1. Serum bilirubin = 1.5 x upper normal limit
 - 11.2. Serum alkaline phosphatase, alanine amino-transferase (ALT) and/or aspartate amino-transferase (AST) < 1.5 x ULN
12. Baseline renal function test: calculated creatinine clearance > 50ml/min (uncorrected value) or isotope clearance measurement > 50ml/min
13. Female patients of child-bearing potential are eligible, provided they have a negative serum pregnancy test prior to enrolment and agree to use appropriate medically approved contraception during the study up to six months after the last vaccination
- 14.. Male patients must agree to use appropriate medically approved contraception during the study up to six months after the last vaccination
15. Lower age limit 18

Participant type(s)

Patient

Healthy volunteers allowed

No

Age group

Adult

Lower age limit

18 years

Sex

All

Key exclusion criteria

1. Receiving, or having received, chemotherapy or radiotherapy within 6 weeks of trial entry.
2. Having undergone surgery +/- PORT within 6 weeks of trial therapy
3. A negative result following anergy testing
4. Known chronic active infection with Hepatitis B, Hepatitis C or Human Immunodeficiency Virus (HIV)
5. Current active autoimmune disease
6. Current active skin diseases requiring therapy (psoriasis, eczema etc)
7. Ongoing active infection
8. History of anaphylaxis or severe allergy to vaccination
9. Previous myeloablative therapy followed by an autologous or allogeneic haematopoietic stem cell transplant
10. Patients who have had a splenectomy or splenic irradiation, or with known splenic dysfunction
11. Receiving current immunosuppressive medication, including corticosteroids within 4 weeks of the first dose
12. Pregnant and lactating women

- 13. Ongoing toxic manifestations of previous treatment
- 14. Major thoracic and/or abdominal surgery in the preceding four weeks from which the patient has not yet recovered
- 15. Patients with any other condition which in the investigator's opinion would not make the patient a good candidate for the clinical trial
- 16. Concurrent congestive heart failure or prior history of class III/ IV cardiac disease

Date of first enrolment

01/01/2012

Date of final enrolment

01/01/2012

Locations

Countries of recruitment

United Kingdom

England

Study participating centre

University of Liverpool

Liverpool

United Kingdom

L3 9TA

Sponsor information

Organisation

University Hospital Aintree (UK)

ROR

<https://ror.org/008j59125>

Funder(s)

Funder type

Government

Funder Name

Clinical Trials Awards and Advisory Committee (UK) ref: C26837/A11920

Results and Publications

Individual participant data (IPD) sharing plan

Not provided at time of registration

IPD sharing plan summary

Not provided at time of registration

Study outputs

Output type	Details	Date created	Date added	Peer reviewed?	Patient-facing?
Participant information sheet	Participant information sheet	11/11/2025	11/11/2025	No	Yes
Plain English results			02/09/2022	No	Yes