# Investigating the possibility and benefit of closed-loop deep brain stimulation by detecting the voluntary movement and postural tremor on patients with tremor

| Submission date   | <b>Recruitment status</b> Suspended | Prospectively registered    |  |  |
|-------------------|-------------------------------------|-----------------------------|--|--|
| 12/02/2020        |                                     | ☐ Protocol                  |  |  |
| Registration date | Overall study status                | Statistical analysis plan   |  |  |
| 14/02/2020        | Completed                           | Results                     |  |  |
| Last Edited       | Condition category                  | Individual participant data |  |  |
| 23/04/2020        | Nervous System Diseases             | Record updated in last year |  |  |

# Plain English summary of protocol

Background and study aims

Lots of patients suffer from tremor which predominantly occurs during voluntary movement and /or while maintaining a certain posture. For example, Essential tremor (ET), a progressive neurological disorder that causes involuntary and rhythmic shaking, is one of the most common movement disorders. Dystonia tremor is another condition in which tremor is typically intermittent (stops and starts). Continuous deep brain stimulation (DBS) is an approved and effective therapy for both ET or Dystonia tremor. However, due to disease progression or the brain becoming used to stimulation, many patients lose the benefit of DBS over time. In these circumstances, an increased stimulation intensity is usually required in order to maintain the beneficial effect. Increased stimulation intensity can be associated with side effects including unpleasant sensations, slurred speech and unsteadiness walking. A promising innovative DBS treatment, known as closed-loop or adaptive DBS, aims to only deliver stimulation when necessary, and so, reduce these side effects, save on battery power, and prolong the time for which DBS provides benefit to patients.

In this study, the researchers will evaluate if the closed-loop DBS system is effective in reducing tremor, saves energy in comparison to traditional continuous DBS, and could be used to predict the onset of tremors so DBS can be switched on in advance for improved patient outcomes.

#### Who can participate?

Patients aged 25 to 80 with essential tremor or dystonia tremor undergoing DBS for the treatment of the symptoms.

#### What does the study involve?

The patients will be asked to perform some self-paced movements of everyday life, meanwhile,

the researchers will either switch off the DBS, switch on the DBS continuously, or set the DBS to a novel setting (adaptive/closed-loop). The total duration of the experiment will be approximately 3 hours.

What are the possible benefits and risks of participating?

The assessments and recordings that will be performed during the study are neither invasive nor harmful. They do not pose any risk to the health or safety of the participants. The risk to participants is minimal. Involvement in the study will not affect the clinical care the patients receive. All recordings with patients are assisted by a local clinician. There are no direct benefits to participants. Results from the study may, however, help in developing an innovative treatment for tremor.

Where is the study run from? University of Oxford (UK)

When is the study starting and how long is it expected to run for? December 2018 to January 2022

Who is funding the study? Medical Research Council (UK)

Who is the main contact?

1. Dr Shenghong He shenghong.he@ndcn.ox.ac.uk

2. Dr Huiling Tan huiling.tan@ndcn.ox.ac.uk

# Contact information

# Type(s)

Scientific

#### Contact name

Dr Shenghong He

#### **ORCID ID**

https://orcid.org/0000-0002-5269-1902

#### Contact details

Nuffield Dept. of Clinical Neurosciences University of Oxford 6th Floor West Wing John Radcliffe Hospital Oxford United Kingdom OX3 9DU +44(0)7517414778 shenghong.he@ndcn.ox.ac.uk

# Type(s)

Scientific

#### Contact name

Dr Huiling Tan

#### **ORCID ID**

https://orcid.org/0000-0001-8038-3029

#### Contact details

Nuffield Dept. of Clinical Neurosciences University of Oxford 6th Floor West Wing John Radcliffe Hospital Oxford United Kingdom OX3 9DU +44(0)1865 572483 huiling.tan@ndcn.ox.ac.uk

# Additional identifiers

# **EudraCT/CTIS** number

Nil known

#### **IRAS** number

249989

# ClinicalTrials.gov number

Nil known

# Secondary identifying numbers

MR/P012272/1, MC\_UU\_12024/1, IRAS 249989

# Study information

#### Scientific Title

Closed-loop Deep Brain Stimulation for Tremor: CDBSoT

#### **Acronym**

**CDBSoT** 

#### Study objectives

Patients with essential tremor or dystonia tremor suffer from tremor predominantly during voluntary movements and when they are trying to maintain a posture. This study aims to test whether we can detect movements and the presence of tremor based on the signals recorded from electrodes implanted in the brain and whether we can use this decoded information to switch on and off the Deep Brain Stimulation (DBS) so that the brain is stimulated only when necessary.

# Ethics approval required

Old ethics approval format

# Ethics approval(s)

Approved 23/10/2018, Ethics Committee of the South Central - Oxford B Research Ethics Committee (Level 3, Block B, Whitefriars Building, Lewins Mead, Bristol BS1 2NT; +44 02071048058; nrescommittee.southcentral-oxfordb@nhs.net), ref: 18/SC/0436

# Study design

Multicentre interventional study

# Primary study design

Interventional

# Secondary study design

Non randomised study

#### Study setting(s)

Hospital

#### Study type(s)

Diagnostic

#### Participant information sheet

Not available in web format, please use contact details to request a participant information sheet

# Health condition(s) or problem(s) studied

Essential Tremor (ET) or Dystonia tremor in which tremor mainly affect voluntary movement or while maintaining postures

#### **Interventions**

The researchers will assess which stimulation parameters have the best effect to suppress tremor. This procedure is part of the normal clinical procedure and will take approximately 1 hour.

The participants will be asked to do some simple movement tasks, such as gripping a device that measures the force generated, during standard clinical deep brain stimulation (DBS) and with stimulation turned off. Meanwhile, the thalamic local field potentials (LFP), EEG, EMG, and accelerometer signals will be recorded. This procedure is for the researchers to prepare the models for movement or postural tremor decoding and will take approximately 1 hour.

Participants will be asked to perform some voluntary movements and/or maintaining some specific postures to evoke tremor. These will be self-paced movements typically seen in everyday life, such as drawing, reaching and picking up a cup, or holding a book. They will then receive continuous DBS, adaptive DBS or no DBS during these movements or postures. Adaptive DBS (also known as 'closed loop DBS') responds to the detection of movements and tremor. This procedure is for testing the performance of the adaptive DBS and will take approximately 1 hour.

In this study, the control conditions include continuous DBS and when the stimulation turned off, therefore provides within-subject control. The effect of adaptive DBS is evaluated in terms of decoding performance (accuracy, sensitivity, and false-positive rate), saved energy compared to continuous DBS, and tremor suppression.

## **Intervention Type**

Behavioural

#### Primary outcome measure

- 1. Voluntary movement and postural tremor decoding performance assessed through recorded LFPs and accelerometer signals at baseline, 1h, and 2h.
- 2. Effectiveness and efficiency of the proposed adaptive DBS assessed by the energy saved compared to continuous DBS and the suppression of tremor compared with when the DBS is turned off measured using accelerometer and patient's self-report at baseline, 1h, and 2h.

#### Secondary outcome measures

- 1. Different feature contributions for voluntary movement and posture tremor decoding assessed through recorded LFPs and accelerometer signals at baseline, 1h, and 2h.
- 2. The possibility for predicting the onsets of voluntary movement and postural tremor using LFPs, thus the DBS can be switched on in advance assessed by recorded LFPs and accelerometer signals at baseline, 1h, and 2h.
- 3. Possibilities of movement/tremor decoding on different contacts using the anatomy information of the DBS electrodes for the patients at baseline, 1h, and 2h.

# Overall study start date

01/12/2018

# Completion date

31/01/2022

# **Eligibility**

# Key inclusion criteria

- 1. Aged 25 to 80 years
- 2. Diagnosis of essential tremor or dystonia tremor
- 3. Undergoing DBS for tremor symptoms

# Participant type(s)

Patient

#### Age group

Adult

#### Sex

Both

# Target number of participants

10 - ET with DBS electrodes implanted

#### Key exclusion criteria

- 1. Intracranial bleeding, confusion, CSF leak or any other complication after the first stage of surgery
- 2. Lack of capacity to consent (judged by the clinician taking consent as not having sufficient mental capacity to understand the study and its requirements). Anyone who, in the opinion of the clinician taking consent, is unlikely to retain sufficient mental capacity for the duration of

their involvement in the study.

3. Cognitive impairment/lack of capacity to perform the experimental tasks. In cases where capacity was borderline and difficult to judge subjectively, we will additionally conduct a short (10 min) quantitative assessment of cognitive function using the Mini Mental State Exam (MMSE). Patients with a score of <20 will be excluded from the study.

# Date of first enrolment

01/12/2018

#### Date of final enrolment

31/08/2021

# Locations

#### Countries of recruitment

England

**United Kingdom** 

# Study participating centre

University of Oxford

Nuffield Department of Clinical Neurosciences Level 6 West Wing John Radcliffe Hospital Oxford United Kingdom OX3 9DU

# **Sponsor information**

# Organisation

University of Oxford

# Sponsor details

Clinical Trials and Research Governance Joint Research Office 1st floor Boundary Brook House Churchill Drive Headington Oxford England United Kingdom OX3 7GB +44 01865616487 ctrg@admin.ox.ac.uk

#### Sponsor type

University/education

#### Website

http://www.ox.ac.uk/

#### **ROR**

https://ror.org/052gg0110

# Funder(s)

# Funder type

Research council

#### **Funder Name**

Medical Research Council

# Alternative Name(s)

Medical Research Council (United Kingdom), UK Medical Research Council, MRC

# **Funding Body Type**

Government organisation

# **Funding Body Subtype**

National government

#### Location

**United Kingdom** 

#### **Funder Name**

Rosetrees Trust

## Alternative Name(s)

Teresa Rosenbaum Golden Charitable Trust, Rosetrees

## **Funding Body Type**

Private sector organisation

## **Funding Body Subtype**

Trusts, charities, foundations (both public and private)

#### Location

**United Kingdom** 

# **Results and Publications**

# Publication and dissemination plan

The patient information sheet (PIS), study protocol, and consent forms are all available upon reasonable request from the study chief investigator (Dr Tan). Results of this study will be disseminated through a range of peer-reviewed scientific articles, conference presentations, and online articles.

# Intention to publish date

31/08/2021

# Individual participant data (IPD) sharing plan

The datasets generated and/or analysed during the current study during this study will be included in the subsequent results publication. Raw data will be anonymised and made possible for sharing. When being contacted with a request to share data generated by the study, the chief investigator will ask the requestor to provide a brief research proposal on how they wish to use the data. If the CI has doubts over the scientific validity of the proposal or the requestor's ability to analyse/interpret data correctly, this should be discussed with the requestor. The CI, Dr Huiling Tan will be in charge of ensuring that the security and confidentiality of the participants' information is maintained.

# IPD sharing plan summary

Available on request

# Study outputs

| Output type          | Details | Date created | Date added | Peer reviewed? | Patient-facing? |
|----------------------|---------|--------------|------------|----------------|-----------------|
| HRA research summary |         |              | 28/06/2023 | No             | No              |