

# First-line support for assistance in breathing in children

<b>Submission date</b> 17/06/2019	<b>Recruitment status</b> No longer recruiting	<input checked="" type="checkbox"/> Prospectively registered <input checked="" type="checkbox"/> Protocol
<b>Registration date</b> 19/06/2019	<b>Overall study status</b> Completed	<input checked="" type="checkbox"/> Statistical analysis plan <input checked="" type="checkbox"/> Results
<b>Last Edited</b> 07/05/2025	<b>Condition category</b> Respiratory	<input type="checkbox"/> Individual participant data

## Plain English summary of protocol

### Background and study aims

Many of the 20,000 children admitted to NHS paediatric critical care units every year need support for their breathing. The most invasive form of breathing support is when a child has a tube inserted into their windpipe and is put on a breathing machine. To reduce the number of children needing invasive support, non-invasive methods like Continuous Positive Airway Pressure (CPAP) are used. CPAP provides oxygen/air through a face mask or into the nose. Although CPAP is beneficial, some children find it uncomfortable and some have complications. A more recent alternative is called High Flow Nasal Cannula (HFNC). HFNC provides oxygen/air through tiny tubes inserted into the nostrils. Less is known about benefits or safety of HFNC, but hospitals are starting to use HFNC instead of CPAP as it is easier to use and some children appear more comfortable on it. Thus, there is widespread variation across the country in which method is used. Before HFNC is adopted more widely, it is crucial that its role is studied closely. The aim of this study is to find out whether HFNC is as effective as CPAP.

### Who can participate?

Children from 25 paediatric critical care units who require non-invasive breathing support to either help prevent them from going onto a ventilator, or to prevent them from going back on a ventilator after having just come off one

### What does the study involve?

Children assessed by the treating clinician to require non-invasive respiratory support will be randomly allocated to receive either CPAP or HFNC as the first method of non-invasive breathing support. Guidance on the initiation, maintenance and weaning of CPAP and HFNC will be provided but as per current clinical practice, clinicians will be able to switch, escalate or stop the allocated treatment, if clinically deemed necessary. Time to liberation from breathing support is measured, defined as the start of a 48-hour period during which the child is free of all forms of breathing support.

### What are the possible benefits and risks of participating?

This study will provide much-needed evidence and will have a large and immediate impact on

how sick children are cared for in the NHS. Both HFNC and CPAP are already used in standard NHS practice, but the benefits and risks of one method over the other are unclear at this time, which is why this study is needed.

Where is the study run from?

Great Ormond Street Hospital For Children NHS Foundation Trust

When is the study starting and how long is it expected to run for?

February 2019 to November 2022.

Who is funding the study?

National Institute for Health Research (NIHR) (UK)

Who is the main contact?

1. Dr Alvin Richards-Belle

alvin.richards-belle@icnarc.org

2. Dr Padmanabhan Ramnarayan

p.ramnarayan@gosh.nhs.uk

### **Study website**

<https://www.icnarc.org/Our-Research/Studies/First-Abc>

## **Contact information**

### **Type(s)**

Scientific

### **Contact name**

Mr Alvin Richards-Belle

### **ORCID ID**

<http://orcid.org/0000-0001-8577-9380>

### **Contact details**

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WC1V 6AZ

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alvin.richards-belle@icnarc.org

### **Type(s)**

Scientific

### **Contact name**

Dr Padmanabhan Ramnarayan

### **ORCID ID**

<http://orcid.org/0000-0003-0784-8154>

## Contact details

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Children's Acute Transport Service  
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London  
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WC1N 3JZ  
+44 (0)20 7430 5850  
p.ramnarayan@gosh.nhs.uk

## Additional identifiers

### EudraCT/CTIS number

Nil known

### IRAS number

### ClinicalTrials.gov number

Nil known

### Secondary identifying numbers

CPMS: 42112

## Study information

### Scientific Title

FIRST-line support for Assistance in Breathing in Children (FIRST-ABC): a master protocol of two randomised trials to evaluate the non-inferiority of high flow nasal cannula (HFNC) versus continuous positive airway pressure (CPAP) for non-invasive respiratory support in paediatric critical care

### Acronym

FIRST-ABC

### Study objectives

Many of the 20,000 children admitted to NHS paediatric critical care units yearly need support for their breathing. The most invasive form of breathing support is when a child has a tube inserted into their windpipe and is put on a breathing machine. To reduce the number of children needing invasive support, non-invasive methods like Continuous Positive Airway Pressure (CPAP) are used. CPAP provides oxygen/air through a face mask or into the nose. Although CPAP is beneficial, some children find it uncomfortable and some have complications.

A more recent alternative is called High Flow Nasal Cannula (HFNC). HFNC provides oxygen/air through tiny tubes inserted into the nostrils. Less is known about benefits or safety of HFNC, however, hospitals are starting to use HFNC instead of CPAP as it is easier to use and some children appear more comfortable on it. Thus, there is widespread variation across the country in which method is used. Before HFNC is adopted more widely, it is crucial that its role is studied closely.

The researchers will study whether HFNC is as effective as CPAP by doing two randomised clinical trials (RCTs) under one framework (FIRST-ABC).

**Null Hypothesis:**

In critically ill children assessed by the treating clinician to require non-invasive respiratory support, the first-line use of high flow nasal cannula (HFNC) is superior to continuous positive airway pressure (CPAP) in terms of the time to liberation from respiratory support.

**Ethics approval required**

Old ethics approval format

**Ethics approval(s)**

Approved 26/07/2019, East of England – Cambridge South (Tel: +44 (0)207 104 8097, +44 (0)207 104 8104; Email: NRESCommittee.EastofEngland-CambridgeSouth@nhs.net), ref: 19/EE/0185

**Study design**

Randomized; Interventional; Design type: Treatment, Prevention, Other

**Primary study design**

Interventional

**Secondary study design**

Randomised controlled trial

**Study setting(s)**

Hospital

**Study type(s)**

Treatment

**Participant information sheet**

Not available in web format, please use the contact details to request a patient information sheet

**Health condition(s) or problem(s) studied**

Non-invasive respiratory support in paediatric critical care

**Interventions**

Current interventions as of 17/07/2020:

**Study design/setting**

Master protocol comprising two multi-centre, parallel groups, non-inferiority RCTs with shared infrastructure, and integrated health-economic evaluation. The master study will involve 1,200 patients (600 in each RCT) from 25 paediatric critical care units (PICUs and HDUs). The RCT design was chosen as this is considered to be the gold standard design for clinical trials.

**Procedures**

The decision to start the patient on non-invasive respiratory support (which patient and when) is left to the discretion of the treating clinician and constitutes the pragmatic inclusion criterion in both RCTs. Once an eligible patient is identified and screened as eligible for FIRST-ABC, they will be randomised as soon as possible (on the basis of deferred consent).

In both the step-up and step-down RCTs, patients will be randomised to either CPAP or HFNC as first-line treatment option for non-invasive respiratory support. Only the first-line mode of NRS will be randomly allocated. In line with current practice, and to safeguard patient safety, the treating clinical team will be allowed to switch the patient to the alternative mode of non-invasive respiratory support for non-response (based on pre-specified study criteria) or if the allocated mode is not being tolerated by the patient. Such switches will be monitored and recorded but will not be considered deviations provided they are undertaken in accordance with the protocol. Both CPAP and HFNC devices will be used for their intended purposes and are CE marked. Similarly, the protocol will allow escalation to non-invasive ventilation (NIV) modes such as pressure support or bilevel positive airway pressure or to invasive mechanical ventilation (IMV) at the treating clinical team's discretion.

In order to standardise non-invasive respiratory support management in the two groups and across research sites, the study protocol will use current evidence to provide guidance relating to starting flow rates (HFNC) and pressure (CPAP) as well as when and how to wean HFNC and CPAP. Once the patient is escalated or switched to another mode of NIV or IMV, clinical management of the patient thereafter will be outside the study protocol and as per the clinicians' usual practice.

Consent will be sought from parents/legal guardians by a GCP-trained, delegated member of the local research team as soon as appropriate and practically possible after randomisation (this will usually be within 24-48 hours of randomisation). Parents/legal guardians will be asked to complete a short-validated questionnaire assessing parental stress in hospital at time of consent (after their child started on the treatment). Recruited children will continue to be monitored until 48 hours after liberation from all forms of respiratory support (in some cases this will occur following discharge from critical care to the general ward). At the six-month time point post randomisation, parents/legal guardians of recruited patients will be emailed or posted (as per their preference indicated at the time of consent) a follow-up questionnaire assessing health-related quality of life (consisting of three validated instruments). The questionnaire will be sent by a trained research team member at the ICNARC CTU, who will telephone the parent/legal guardian three weeks later (if no response is received). In addition, data will be collected from routine national data sources (e.g. NHS Digital) on survival and these data will be used in the integrated economic evaluation.

#### Internal pilot

The internal pilot will run from months 7-12 (as per the grant timeline) and use a traffic light system to assess key progression criteria regarding site opening, recruitment and adherence to the study protocol. The internal pilot will follow the same processes as the main trial; participants enrolled in the pilot will be included in the analysis of the main RCTs. At the end of the internal pilot, the Trial Steering Committee (TSC) will make a recommendation to the funder as to whether they feel that both RCTs should continue and the funder (NIHR) will take the final decision.

#### Oversight committees

Both a TSC and a Data Monitoring & Ethics Committee (DMEC) will be convened and will meet regularly during the trial. The DMEC will review available accruing trial data. A single interim analysis was planned to be carried out in each RCT after the recruitment and follow-up to 60 days of 300 patients to recommend early termination due to superiority of either intervention in time to liberation from respiratory support or evidence of harm from either intervention in mortality at 60 days. In the step-down RCT, due to faster than anticipated recruitment, no formal interim analysis will be performed. Safety data (counts and percentages of adverse events by arm, and a line listing of SAEs) will be available for scrutiny by the DMEC, by the end of the internal pilot stage.

Each RCT will be analysed separately once follow-up is completed for the respective RCT.

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## Previous interventions:

### Study design/setting

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### Procedures

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In both the step-up and step-down RCTs, patients will be randomised to either CPAP or HFNC as first-line treatment option for non-invasive respiratory support. Only the first-line mode of NRS will be randomly allocated. In line with current practice, and to safeguard patient safety, the treating clinical team will be allowed to switch the patient to the alternative mode of non-invasive respiratory support for non-response (based on pre-specified study criteria) or if the allocated mode is not being tolerated by the patient. Such switches will be monitored and recorded but will not be considered deviations provided they are undertaken in accordance with the protocol. Both CPAP and HFNC devices will be used for their intended purposes and are CE marked. Similarly, the protocol will allow escalation to non-invasive ventilation (NIV) modes such as pressure support or bilevel positive airway pressure or to invasive mechanical ventilation (IMV) at the treating clinical team's discretion.

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### Oversight committees

Both a TSC and a Data Monitoring & Ethics Committee (DMEC) will be convened and will meet regularly during the trial. The DMEC will review available accruing trial data. A single interim analysis will be carried out in each RCT after the recruitment and follow-up to 60 days of 300 patients to recommend early termination due to superiority of either intervention in time to liberation from respiratory support or evidence of harm from either intervention in mortality at 60 days.

Each RCT will be analysed separately once follow-up is completed for the respective RCT.

### Intervention Type

Procedure/Surgery

### Primary outcome measure

Time to liberation from respiratory support, defined as the start of a 48-hour period during which the child was free of all forms of respiratory support

### Secondary outcome measures

Current secondary outcome measures as of 02/03/2020:

1. Mortality at PICU/HDU discharge, day 60 and day 180, assessed through review of patient medical notes at the relevant timepoints and/or data-linkage with nationally held death registrations
2. Rate of (re)intubation at 48 hours assessed through review of patient medical notes
3. Duration of PICU/HDU and hospital stay assessed through review of patient medical notes at PICU/HDU discharge and hospital discharge
4. Patient comfort during randomised treatment and during non-invasive respiratory support (i.e. HFNC and/or CPAP) measured using the COMFORT-B score
5. Proportion of patients in whom sedation is used during non-invasive respiratory support, assessed through review of patient medical notes
6. Parental stress in hospital at the time of consent, measured using the Parental Stressor Scale: PICU (PSS:PICU)
7. Health-related quality of life measured using age-appropriate Pediatric Quality of Life Inventory (Peds-QL) and the Child Health Utility 9D (CHU-9D) at 6 months
8. Total costs at 6 months
9. Quality-Adjusted Life Years (QALYs) at 6 months
10. Net monetary benefit gained at a willingness-to-pay of £20,000 per QALY at six months associated with HFNC vs. CPAP

Previous secondary outcome measures:

1. Mortality at PICU/HDU discharge, day 60 and day 180, assessed through review of patient medical notes at the relevant timepoints and/or data-linkage with nationally held death registrations

2. Rate of (re)intubation at 48 hours assessed through review of patient medical notes
3. Duration of PICU/HDU and hospital stay assessed through review of patient medical notes at PICU/HDU discharge and hospital discharge
4. Patient comfort during randomised treatment measured using the COMFORT-B score
5. Proportion of patients in whom sedation is used during non-invasive respiratory support, assessed through review of patient medical notes
6. Parental stress in hospital at the time of consent, measured using the Parental Stressor Scale: PICU (PSS:PICU)
7. Health-related quality of life measured using age-appropriate Pediatric Quality of Life Inventory (Peds-QL) and the Child Health Utility 9D (CHU-9D) at 6 months

**Overall study start date**

01/02/2019

**Completion date**

07/11/2022

## Eligibility

**Key inclusion criteria**

1. Admitted/accepted for admission to PICU/HDU
2. Age >36 weeks corrected gestational age and < 16 years
3. Assessed by the treating clinician to require non-invasive respiratory support, EITHER
  - 3.1. For an acute illness (step-up RCT) OR
  - 3.2. Within 72 hours of extubation following a period of invasive ventilation (step-down RCT)

**Participant type(s)**

Patient

**Age group**

Child

**Upper age limit**

16 Years

**Sex**

Both

**Target number of participants**

Planned Sample Size: 1200; UK Sample Size: 1200

**Key exclusion criteria**

Current participant exclusion criteria as of 02/03/2020:

1. Assessed by the treating clinician to require immediate intubation and invasive ventilation due to severe hypoxia, acidosis and/or respiratory distress, upper airway obstruction, difficulty managing airway secretions or recurrent apnoeas
2. Tracheostomy in place
3. Received HFNC/CPAP for > 2 hours in the prior 24 hours
4. On home non-invasive ventilation prior to PICU/HDU admission
5. Presence of untreated air-leak (pneumothorax and/or pneumomediastinum)



6. Midfacial/craniofacial anomalies (unrepaired cleft palate, choanal atresia) or recent craniofacial surgery
7. Agreed 'not for intubation' or other limitation of critical care treatment plan in place.
8. Previously recruited to the FIRST-ABC trial
9. Clinician decision to start other form of non-invasive respiratory support (i.e. not HFNC or CPAP)

Previous participant exclusion criteria:

1. Assessed by the treating clinician to require immediate intubation and invasive ventilation due to severe hypoxia, acidosis and/or respiratory distress, upper airway obstruction, difficulty managing airway secretions or recurrent apnoeas
2. Tracheostomy in place
3. Received HFNC/CPAP for > 2 hours in the prior 24 hours
4. On home non-invasive ventilation prior to PICU/HDU admission
5. Presence of untreated air-leak (pneumothorax and/or pneumomediastinum)
6. Midfacial/craniofacial anomalies (unrepaired cleft palate, choanal atresia) or recent craniofacial surgery
7. Agreed 'not for intubation' or other limitation of critical care treatment plan in place.
8. Previously recruited to the FIRST-ABC trial

**Date of first enrolment**

06/08/2019

**Date of final enrolment**

07/11/2021

## **Locations**

**Countries of recruitment**

England

Scotland

United Kingdom

Wales

**Study participating centre**

**Great Ormond Street Hospital For Children NHS Foundation Trust**

Great Ormond Street

London

United Kingdom

WC1N 3JH

**Study participating centre**

**University Hospitals Bristol NHS Foundation Trust**

Marlborough Street

Bristol  
United Kingdom  
BS1 3NU

**Study participating centre**  
**Birmingham Women's and Children's NHS Foundation Trust**  
Steelhouse Lane  
Birmingham  
United Kingdom  
B4 6NH

**Study participating centre**  
**Imperial College Healthcare NHS Trust**  
St Marys Hospital  
Praed Street  
London  
United Kingdom  
W2 1NY

**Study participating centre**  
**Barts Health NHS Trust**  
The Royal London Hospital  
Whitechapel Rd  
London  
United Kingdom  
E1 1BB

**Study participating centre**  
**St George's University Hospitals NHS Foundation Trust**  
St George's Hospital  
Blackshaw Road  
Tooting  
London  
United Kingdom  
SW17 0QT

**Study participating centre**  
**Cambridge University Hospitals NHS Trust**  
Addenbrooke's Hospital  
Hills Road  
Cambridge

United Kingdom  
CB2 0QQ

**Study participating centre**

**Alder Hey Children's NHS Foundation Trust**

Eaton Road  
Liverpool  
United Kingdom  
L12 2AP

**Study participating centre**

**University Hospitals Bristol NHS Foundation Trust**

Bristol Royal Hospital for Children  
Upper Maudlin Street  
Bristol  
United Kingdom  
BS2 8BJ

**Study participating centre**

**Chelsea and Westminster Hospital NHS Foundation Trust**

369 Fulham Road  
London  
United Kingdom  
SW10 9NH

**Study participating centre**

**Guy's and St Thomas' NHS Foundation Trust**

Evelina Hospital  
Westminster Bridge Road  
London  
United Kingdom  
SE1 7EH

**Study participating centre**

**The Newcastle upon Tyne Hospitals NHS Foundation Trust**

Royal Victoria Infirmary  
Newcastle upon Tyne  
United Kingdom  
NE1 4LP

**Study participating centre**  
**Hull University Teaching Hospitals NHS Trust**  
Hull Royal Infirmary  
Anlaby Road  
Hull  
United Kingdom  
HU3 2JZ

**Study participating centre**  
**South Tees Hospital NHS Foundation Trust**  
James Cook Hospital  
Marton Road  
Middlesbrough  
United Kingdom  
TS4 3BW

**Study participating centre**  
**Oxford University Hospitals NHS Foundation Trust**  
John Radcliffe Hospital  
Headley Way  
Oxford  
United Kingdom  
OX3 9DU

**Study participating centre**  
**King's College Hospital NHS Foundation Trust**  
King's College Hospital  
Denmark Hill  
London  
United Kingdom  
SE5 9RS

**Study participating centre**  
**University Hospitals of Leicester NHS Trust**  
Leicester Royal Infirmary and Glenfield Hospital  
Leicester  
United Kingdom  
LE3 9QP

**Study participating centre**

**Cardiff & Vale University Health Board**

Noah's Ark Childrens Hospital  
Heath Park Way  
Cardiff  
United Kingdom  
CF14 4XW

**Study participating centre****Nottingham University Hospitals NHS Trust**

Queens Medical Centre  
Derby Road  
Nottingham  
United Kingdom  
NG7 2UH

**Study participating centre****Brighton and Sussex University Hospitals NHS Trust**

Royal Alexandra Children's Hospital  
North Dr  
Brighton  
United Kingdom  
BN2 5BE

**Study participating centre****Royal Brompton & Harefield NHS Foundation Trust**

Sydney Street  
London  
United Kingdom  
SW3 6NP

**Study participating centre****NHS Lothian**

Royal Hospital for Sick Children Edinburgh  
9 Sciennes Road  
Edinburgh  
United Kingdom  
EH9 1LF

**Study participating centre****Manchester University NHS Foundation Trust**

Royal Manchester Children's Hospital

Oxford Road  
Manchester  
United Kingdom  
M13 9WL

**Study participating centre**  
**University Hospital Southampton NHS Foundation Trust**  
Southampton Children's Hospital  
Tremona Road  
Southampton  
United Kingdom  
SO16 6YD

**Study participating centre**  
**Sheffield Children's NHS Foundation Trust**  
Clarkson St  
Sheffield  
United Kingdom  
S10 2TH

## **Sponsor information**

**Organisation**  
Great Ormond Street Hospital for Children NHS Foundation Trust

**Sponsor details**  
Great Ormond Street  
London  
England  
United Kingdom  
WC1N 3JH  
+44 (0)20 7905 2249  
Research.Governance@gosh.nhs.uk

**Sponsor type**  
Hospital/treatment centre

**ROR**  
<https://ror.org/03zydm450>

## **Funder(s)**

**Funder type**

Government

**Funder Name**

NIHR Evaluation, Trials and Studies Co-ordinating Centre (NETSCC); Grant Codes: 17/94/28

## Results and Publications

**Publication and dissemination plan**

1. The protocol will be made publicly available on the ICNARC and the NIHR websites, once REC approval is received
2. Peer-reviewed scientific journals
3. Conference presentation
4. Publication on website

**Intention to publish date**

31/01/2024

**Individual participant data (IPD) sharing plan**

The datasets generated during and/or analysed during the current study will be available upon request from the Chief Investigator, Dr Padmanabhan Ramnarayan (P.Ramnarayan@gosh.nhs.uk). Non-patient identifiable data, for participants who consented to data sharing, will be made available one year after the publication of the main trial results. Application requests will be reviewed and approved by the Chief Investigator and the ICNARC CTU.

**IPD sharing plan summary**

Available on request

**Study outputs**

Output type	Details	Date created	Date added	Peer reviewed?	Patient-facing?
<a href="#">Protocol article</a>		04/08/2020	05/08/2020	Yes	No
<a href="#">Statistical Analysis Plan</a>	Statistical and health economic analysis plan	31/10/2020	03/11/2020	Yes	No
<a href="#">Results article</a>	Step-down RCT results	07/04/2022	08/04/2022	Yes	No
<a href="#">Results article</a>	Step-up RCT results	16/06/2022	17/06/2022	Yes	No
<a href="#">HRA research summary</a>			28/06/2023	No	No
<a href="#">Results article</a>	Cost-effectiveness	07/06/2024	11/06/2024	Yes	No
<a href="#">Results article</a>	Sub-group cost-effectiveness analysis	28/09/2024	02/10/2024	Yes	No
<a href="#">Results article</a>		01/05/2025	07/05/2025	Yes	No