# RISCS Trial – Risks In Spinal Consenting for Surgery: Establishing the information required to enable informed consent for patients receiving spinal injections

Submission date	Recruitment status	<ul><li>Prospectively registered</li></ul>		
22/03/2017	No longer recruiting	[X] Protocol		
Registration date	Overall study status Completed Condition category	Statistical analysis plan		
02/10/2017		Results		
Last Edited		Individual participant data		
29/10/2019	Musculoskeletal Diseases	Record updated in last year		

## Plain English summary of protocol

Background and study aims

Informed consent has been established for ethical, medical and legal reasons. Ethically, it is better for the patient and the surgeon to have a shared decision regarding the choice to proceed to an operation. Medically, a patient should be aware of the potential immediate, early and late health outcomes after an operation, and the risks involved. Legally, consent is required to enable fair consideration of liability should complications arise or patient expectations not be met. The legal aspect underpinning informed consent can be viewed as risk management against a potential law suit should problems arise. Based on this view, if the goal of informed consent is simply risk-management, then consent forms should be encyclopaedic, informing the patient of every conceivable risk associated with the surgery. This is not currently true, due to the balance that has to be struck between the ethical aims of the informed consenting process and the potential negative consequences of overloading patients with information. There is no clear definition of how much information is required for consent to be sufficiently informed. Commonly it is loosely defined by what a reasonable person would need to make an appropriate decision. This is subjective, and thus provides sufficient greyness that can be sometimes exploited by legal prosecution teams on behalf of naturally dissatisfied patients. Despite the legal stance, patients frequently report that they do not want to be overburdened with information about very rare risks, and that this information makes them more anxious about their procedure. Increased anxiety before a procedure has been shown to correlate with worse outcomes afterwards, so it could be argued that the legal premise of listing and explaining every single known risk, however rare, could actually be harmful. This study aims to assess if explaining more risks to patients before receiving a spinal injection leads to more patients withdrawing consent due to concerns about those risks.

Who can participate?

Adults aged 18 and older who require a spinal injection.

What does the study involve?

Participants are randomly allocated to one of two groups. Those in the first group receive the standard practice consent form. Those in the second group receive a consent form based on the stance of the legal profession with an encyclopaedic level of risks on the consent form based on literature reports. The amount of participants who withdraw from consenting to the study is recorded and participants have their anxiety status and anxiety traits assessed.

What are the possible benefits and risks of participating?

Participants may benefit by having more information about their procedure and by helping to inform future consenting practices both related to spinal injections and in general. There are no notable risks with participating however participants may feel an increase in anxiety levels. Any patients found to be very anxious will be offered referral to their general practitioner for ongoing management of this.

Where is the study run from? Musgrove Park Hospital (UK)

When is the study starting and how long is it expected to run for? November 2015 to April 2018

Who is funding the study?
Bristol Orthopaedic Trust (UK)

Who is the main contact? Mr Paul Thorpe paul.thorpe@tst.nhs.uk

# Study website

https://sites.google.com/view/riscs

# **Contact information**

# Type(s)

Public

## Contact name

Mr James Fletcher

#### **ORCID ID**

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### Contact details

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# Type(s)

**Public** 

### Contact name

Mr Paul Thorpe

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# Additional identifiers

**EudraCT/CTIS** number

**IRAS** number

ClinicalTrials.gov number

Secondary identifying numbers

0.7

# Study information

### Scientific Title

Risks In Spinal Consenting for Surgery Trial: Randomised, controlled trial comparing standard consenting vs. consenting with all known procedural risks for patient undergoing day case spinal injections based on rates of consent withdraw

## Acronym

**RISCS** 

# **Study objectives**

Null Hypothesis:

There will be no reduction in the rate of consenting (i.e. everyone still consents) despite being told of several more risks associated with the procedure.

# Ethics approval required

Old ethics approval format

# Ethics approval(s)

NHS Health Research Authority: Research Ethics Committee, 16/01/2017, ref: 16/SC/0510

# Study design

Single centre interventional randomised controlled trial

# Primary study design

Interventional

# Secondary study design

Randomised controlled trial

## Study setting(s)

Hospital

## Study type(s)

Treatment

# Participant information sheet

Not available in web format, please use contact details to request a participant information sheet

## Health condition(s) or problem(s) studied

Consenting practice for spinal injections

#### **Interventions**

The study is a non-inferiority, randomised, controlled trial. Two different consent forms to consent patients for their spinal injections are used. One form is the standard practice, based on the risks that are recommended to be discussed by the medical profession, in this instance the British Association of Spinal Surgeons based on the complications listed on the British Spinal Register. The intervention consent form is based on the stance of the legal profession with an encyclopaedic level of risks on the consent form based on literature reports. The only difference to routine practice is the change of consent form in the intervention group and the use of STAI questionnaires in both groups. The duration of treatment is as per patients' current treatment, with follow up to six weeks post operatively; again, this is six weeks post operative follow up is currently routine practice.

Participants are randomised between groups when their trial packs are distributed. The packs contain the different consent forms and have being ordered randomly; these are distributed sequentially.

# Intervention Type

Behavioural

## Primary outcome measure

Number of patients withdrawing consent for injections is measured using the patient records at time of injection.

# Secondary outcome measures

State of anxiety is measured using state-trait anxiety inventory (STAI) scores at time of reading consent form and day of surgery.

# Overall study start date

01/11/2015

# Completion date

01/11/2018

# **Eligibility**

Key inclusion criteria

- 1. Spinal injection (facet injection, nerve root or caudal/foraminal injection)
- 2. Day case, elective patient
- 3. Able to consent (capacity)
- 4. Age 18 years or older

# Participant type(s)

**Patient** 

# Age group

Adult

## Lower age limit

18 Years

## Sex

Both

# Target number of participants

220

## Key exclusion criteria

- 1. Patients listed for inpatient procedure
- 2. Emergency injections
- 3. Patients who are unable to understand English will be excluded because the questionnaires in this study has not been translated and validated into all other languages.
- 4. Patients who lose capacity before they receive their injection

## Date of first enrolment

01/05/2017

## Date of final enrolment

31/03/2018

# Locations

## Countries of recruitment

England

**United Kingdom** 

# Study participating centre Musgrove Park Hospital

Taunton & Somerset NHS Foundation Trust Parkfield Drive Taunton United Kingdom TA15DA

# **Sponsor information**

## Organisation

Taunton & Somerset NHS Foundation Trust

## Sponsor details

Parkfield Drive Taunton England United Kingdom TA1 5DA +44 1823 333444 research@tst.nhs.uk

## Sponsor type

Hospital/treatment centre

#### Website

www.tsft.nhs.uk

#### **ROR**

https://ror.org/02y5f7327

# Funder(s)

## Funder type

Charity

## **Funder Name**

**Bristol Orthopaedic Trust** 

# **Results and Publications**

# Publication and dissemination plan

Presentation of the findings is scheduled for National Spinal Surgeon conferences (e.g. BritSpine) and planned publication in a high-impact peer reviewed journal, with publication scheduled around one year after the trial has ended. No documents will be available online. An overview is provided on the trial website https://sites.google.com/view/riscs/home

# Intention to publish date

01/04/2019

Individual participant data (IPD) sharing plan

The datasets generated during and/or analysed during the current study will be stored in a non-publically available repository- the RISCS trial data repository. The data stored are patient identifies, whether consent was withdrawn, STAI trait and state questionnaire scores. There is no weblink. The data is accessed by trial team members, all clinicians. The data is stored for one year after the trial has ended. Patients all sign a consent form consenting to their data being stored on the repository. Patient names are removed, but patient identifiers such as date of birth and hospital number are entered alongside their trial number. There are standard legal restrictions as per NHS patient confidential data.

# IPD sharing plan summary

Stored in repository

## **Study outputs**

Output type	Details	Date created	Date added	Peer reviewed?	Patient-facing?
Protocol article	protocol	10/09/2018	29/10/2019	Yes	No
HRA research summary			26/07/2023	No	No