# The genetics of autism spectrum disorder

Submission date 25/10/2022	<b>Recruitment status</b> No longer recruiting	Prospectively registered
		∐ Protocol
Registration date	Overall study status	Statistical analysis plan
31/10/2022	Ongoing	☐ Results
<b>Last Edited</b> 28/10/2024	<b>Condition category</b> Mental and Behavioural Disorders	☐ Individual participant data
		[X] Record updated in last year

# Plain English summary of protocol

Background and study aims

Autism or autism spectrum disorders are complex developmental disorders that have dramatically increased in prevalence over the past few decades. They are characterised by varying degrees of impairments in social interaction and communication, and the exhibition of stereotypic (repetitive) behaviours. Identification of individuals at higher autism risks is of great importance as this would enable the early use of interventions/therapies that address the symptoms of this disorder, which may be beneficial to patients with autism given the known benefits of early treatment on reducing autistic symptoms. The search for any new genetic markers associated with autism development would help in such identification. Such a search may also help identify new drug targets for autism treatment. There is therefore a need to identify any new genetic variations that are present in autistic individuals. This study aims to use a combination of optical mapping and Nanopore sequencing technology to identify genetic variants among a group of individuals with autism.

# Who can participate?

Individuals diagnosed with autism (aged 2 or above) and their relatives.

# What does the study involve?

After providing informed consent, the participants with autism and their family members will have 5-10 ml of blood drawn for genetic analyses. The mother or guardian will also be asked to complete a questionnaire collecting demographic information, the personal and family history of autism, any possible exposure to stress, medication, infections and other complications during pregnancy/birth, and the observable autism-related symptoms among the participants.

# What are the possible benefits and risks of participating?

Participants may benefit from the acquisition of knowledge of the potential variants that may be present in autistic children. In addition, parents with children who might have a higher risk of autism (e.g. having already an older sibling diagnosed with dyslexia) would have the benefit of knowing the possible genetic factors associated with autism, enabling them to understand the genetic variations that they should be looking for through genetic testing in assessing the autism risk of their further children. The risk of participating in this study would be the potential discomfort and distress caused by blood sample collection. There may also be a low risk of infection.

Where is the study run from?

- 1. The Chinese University of Hong Kong (Hong Kong)
- 2. The Prince of Wales Hospital (Hong Kong)

When is the study starting and how long is it expected to run for? June 2022 to September 2025

Who is funding the study?

The Nethersole School of Nursing, The Chinese University of Hong Kong (Hong Kong)

Who is the main contact? Prof Sek Ying Chair, sychair@cuhk.edu.hk

# Contact information

# Type(s)

Principal Investigator

#### Contact name

Prof Sek Ying Chair

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# Type(s)

**Public** 

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# Additional identifiers

# **EudraCT/CTIS** number

Nil known

**IRAS** number

# ClinicalTrials.gov number

Nil known

# Secondary identifying numbers

1

# Study information

#### Scientific Title

To find endophenotypes of patients with autism spectrum disorder by phenotype and genotype correlation

### **Study objectives**

There are risk alleles that increase the susceptibility of autism in Hong Kong Chinese children and these risk alleles might be different from those reported in other populations.

# Ethics approval required

Old ethics approval format

# Ethics approval(s)

Approved 08/09/2022, the Joint Chinese University of Hong Kong – New Territories East Cluster Clinical Research Ethics Committee (Joint CUHK-NTEC Clinical Research Ethics Committee, 8/F, Lui Che Woo Clinical Sciences Building, Prince of Wales Hospital, Shatin, Hong Kong; +852 (0) 3505 3935; crec@cuhk.edu.hk), ref: 2022.425

# Study design

Single-centre prospective cohort study

# Primary study design

Observational

# Secondary study design

Cohort study

# Study setting(s)

Hospital

# Study type(s)

#### Other

### Participant information sheet

Not available in web format, please use the contact details to request a participant information sheet

# Health condition(s) or problem(s) studied

Autism spectrum disorder

#### **Interventions**

Current interventions as of 03/11/2023:

Upon the provision of informed consent, the participants with autism and their family members will have 5-10 ml of blood drawn for genetic analyses. The mother or guardian will also be asked to complete a questionnaire collecting demographic information and the personal and family history of autism, any possible exposure to stress, medication, infections and other complications during pregnancy/birth, and the observable autism-related symptoms among the participants.

#### Previous interventions:

Upon the provision of informed consent, the children and their family members will have 5-10 ml of blood drawn for genetic analyses. The mother or guardian will also be asked to complete a questionnaire collecting demographic information and the personal and family history of autism.

# Intervention Type

Other

### Primary outcome measure

Current primary outcome measure as of 03/11/2023:

### Measured at a single timepoint:

- 1. Success rate for systematic recruitment and ascertainment of autistic subjects who had no positive findings in previous genomic studies for the genotype and phenotype study of Hong Kong Chinese children using optical mapping. This will be measured by records of the number of individuals approached, the number of individuals recruited to the study, and the number of approached individuals who refused to participate in the study. These records will be taken during participant recruitment.
- 2. Structural variants in genes that are associated with autism, assessed via genetic analyses of the DNA extracted from the participants' blood samples.

Previous primary outcome measure:

#### Measured at a single timepoint:

1. Success rate for systematic recruitment and ascertainment of autistic subjects who had no positive findings in previous genomic studies for the genotype and phenotype study of Hong Kong Chinese children using optical mapping. This will be measured by records of the number of

individuals approached, the number of individuals recruited to the study, and the number of approached individuals who refused to participate in the study. These records will be taken during participant recruitment.

2. Structural variants in genes that are associated with autism in children, assessed via genetic analyses of the DNA extracted from the participants' blood samples

#### Secondary outcome measures

Endophenotypes in carriers of autism susceptibility risk alleles, assessed via clinical records at a single timepoint

Overall study start date 28/06/2022

Completion date 30/09/2025

# Eligibility

#### Key inclusion criteria

Current inclusion criteria as of 03/11/2023:

- 1. Reported by the parents to be autistic or autism spectrum disorder (ASD) with confirmation from health care professional workers. The probands must have received a valid and reliable assessment and must meet cutoffs for autism spectrum or autism. (e.g. the newest Autism Diagnostic Observation Schedule [ADOS] algorithms to be used for Modules 1 3 and the original cutoff algorithms to be used for Module 4; or a clinical "Best Estimate Diagnosis," of Autistic Disorder, Asperger's Disorder, or Pervasive Developmental Disorder-Not Otherwise Specified [PDD-NOS], according to the Diagnostic and Statistical Manual of Mental Disorders [DSM-IV-TR]).
- 2. Age: The proband must be aged 2 or above when the phenotype measures are administered, any first and second-degree relatives and their parents (autistic or not) will also be recruited in anticipation that these samples will be valuable resources for further understanding of the genetic factors that might contribute to the phenotype.
- 3. Being ethnic Chinese

#### Previous inclusion criteria:

- 1. Reported by the parents to be autistic or autism spectrum disorder (ASD) with confirmation from health care professional workers. The probands must have received a valid and reliable assessment and must meet cutoffs for autism spectrum or autism. (e.g. the newest Autism Diagnostic Observation Schedule [ADOS] algorithms to be used for Modules 1 3 and the original cutoff algorithms to be used for Module 4; or a clinical "Best Estimate Diagnosis," of Autistic Disorder, Asperger's Disorder, or Pervasive Developmental Disorder-Not Otherwise Specified [PDD-NOS], according to the Diagnostic and Statistical Manual of Mental Disorders [DSM-IV-TR]).
- 2. Age: The proband must be between 2 to 18 years of age when the phenotype measures are administered, any first and second-degree relatives and their parents (autistic or not) will also be

recruited in anticipation that these samples will be valuable resources for further understanding of the genetic factors that might contribute to the phenotype.

3. Being ethnic Chinese

# Participant type(s)

Patient

### Age group

Αll

### Lower age limit

2 Years

#### Sex

Both

# Target number of participants

100

#### Total final enrolment

26

#### Kev exclusion criteria

Current exclusion criteria as of 03/11/2023:

- 1. With significant injury, abnormality, or disease having effects upon the brain, extensive complications during birth or pregnancy (careful screening will be carried out for those who stayed in the hospital for more than 3 days after birth)
- 2. With sensory or motor deficits that preclude the effective use of the diagnostic tools
- 3. Other known genetic disorder: e.g. Down's syndrome, or Fragile X syndrome
- 4. Those diagnosed with a known genetic disorder, and those with a psychiatric disorder requiring medication

#### Previous exclusion criteria:

- 1. With significant injury, abnormality, or disease having effects upon the brain, extensive complications during birth or pregnancy (careful screening will be carried out for those who stayed in the hospital for more than 3 days after birth)
- 2. With sensory or motor deficits that preclude the effective use of the diagnostic tools
- 3. With significant nutritional and psychological deprivation
- 4. Other known genetic disorder: e.g. Down's syndrome, or Fragile X syndrome
- 5. Those diagnosed with a known genetic disorder, and those with a psychiatric disorder requiring medication

#### Date of first enrolment

25/10/2022

#### Date of final enrolment

30/09/2024

# Locations

### Countries of recruitment

Hong Kong

# Study participating centre The Prince of Wales Hospital

30-32 Ngan Shing Street Shatin The New Territories Hong Kong Hong Kong N/A

# Sponsor information

# Organisation

Chinese University of Hong Kong

### Sponsor details

The Nethersole School of Nursing 6-8/F, Esther Lee Building Shatin, N.T. Hong Kong Hong Kong N/A +852 (0)39438174 nursing@cuhk.edu.hk

# Sponsor type

University/education

#### Website

http://www.cuhk.edu.hk/english/index.html

### **ROR**

https://ror.org/00t33hh48

# Funder(s)

# Funder type

University/education

#### Funder Name

Chinese University of Hong Kong

# Alternative Name(s)

The Chinese University of Hong Kong, , CUHK

### Funding Body Type

Government organisation

### Funding Body Subtype

Universities (academic only)

#### Location

Hong Kong

# **Results and Publications**

### Publication and dissemination plan

The researchers intend to publish the results of our study as an original research article in a peer-reviewed journal.

### Intention to publish date

31/03/2026

# Individual participant data (IPD) sharing plan

The data collected and analysed during the study will be presented in the results section of a future publication, after necessary de-identification.

# IPD sharing plan summary

Published as a supplement to the results publication