

# What is the inspired oxygen fraction that better predicts the need for surfactant administration for the lungs of preterm neonates with neonatal distress syndrome?

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<b>Registration date</b> 16/10/2023	<b>Overall study status</b> Completed	<input type="checkbox"/> Protocol
<b>Last Edited</b> 16/10/2023	<b>Condition category</b> Pregnancy and Childbirth	<input type="checkbox"/> Statistical analysis plan
		<input type="checkbox"/> Results
		<input type="checkbox"/> Individual participant data
		<input type="checkbox"/> Record updated in last year

## Plain English summary of protocol

### Background and study aims

Current international guidelines on neonatal respiratory distress syndrome (RDS) recommend continuous positive airway pressure (CPAP) stabilization as the primary treatment. RDS occurs from a deficiency of surfactant, due to either inadequate surfactant production, or surfactant inactivation in the immature lungs. Surfactant replacement is indicated for those babies still requiring a fraction of inspired oxygen (FiO<sub>2</sub>) > 0.3 (above the normal atmospheric level or 20%) regardless of the gestational age (GA). The research team will explore the accuracy of decreasing FiO<sub>2</sub> thresholds as early predictors of surfactant replacement in babies stratified by GA.

### Who can participate?

Neonates aged 1 day to 6 months of age with RDS

### What does the study involve?

This study is a pragmatic, observational study set in 12 Italian and Spanish neonatal intensive care units (NICUs). This is a secondary analysis of data from a derivation and a validation cohort of preterm babies suffering from RDS, stratified by gestational age (250-276; 280-306; 310-336 days) and stabilized on CPAP. FiO<sub>2</sub> was collected soon after stabilization and its prognostic accuracy was evaluated on the subsequent surfactant administration by a rigorously masked physician.

### What are the possible benefits and risks of participating?

It is hoped that future patients will benefit from the findings that FiO<sub>2</sub> is a GA-dependent predictor of early surfactant administration. Neonates participating in the study have no possible risks because they will not undergo new procedures because of the study itself. It is an observational study without interventions on patients.

Where is the study run from?  
University of Naples Federico II (Italy)

When is the study starting and how long is it expected to run for?  
May 2017 to April 2023

Who is funding the study?  
University of Naples Federico II (Italy)

Who is the main contact?  
Prof Francesco Raimondi, raimondi@unina.it (Italy)

## Contact information

### Type(s)

Public, Scientific, Principal investigator

### Contact name

Prof Francesco Raimondi

### ORCID ID

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### Contact details

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## Additional identifiers

### Clinical Trials Information System (CTIS)

Nil known

### Protocol serial number

Nil known

## Study information

### Scientific Title

Inspired oxygen fraction thresholds accuracy to predict surfactant administration in neonatal RDS is gestational age dependent: a pragmatic, multi-center study

### Study objectives

The inspired oxygen fraction (FiO<sub>2</sub>) has been described as a significant predictor of CPAP failure and subsequent surfactant administration. Current European recommendations are to deliver surfactant if the infant has been stabilized with CPAP 6 cm H<sub>2</sub>O and still requires a FiO<sub>2</sub>>0.3

while Canadian guidelines recommend waiting until the oxygen requirement meets an  $FiO_2 > 0.5$ . While the American Academy of Pediatrics would only recommend an early (i.e. within 2 hours of life) individualized surfactant administration without citing the  $FiO_2 > 0.3$  cut-off, the latter has been considered by a recent expert consensus from the United States.

Indeed, the choice of any  $FiO_2$  threshold is not supported by indisputable evidence. A recent meta-analysis showed no significant difference in major neonatal outcomes (i.e. BPD, ventilation etc) within the  $FiO_2$  0.3-0.59 interval. However, it remains unclear whether a  $FiO_2 \leq 0.3$  threshold may be equally or more beneficial.

We hypothesized that decreasing  $FiO_2$  thresholds as predictors of CPAP failure would improve early surfactant replacement.

### **Ethics approval required**

Ethics approval required

### **Ethics approval(s)**

approved 15/06/2017, Ethics Committee "Carlo Romano" at the Università Federico II di Napoli (Via Pansini 5, Napoli, 80131, Italy; + 39 331 2642920; segreteria@comitatoeticofedericoicardarelli.it), ref: 187/17

### **Study design**

Pragmatic observational secondary analysis cohort study

### **Primary study design**

Observational

### **Study type(s)**

Diagnostic

### **Health condition(s) or problem(s) studied**

Inspired oxygen fraction as a predictor of need for surfactant in respiratory distress syndrome of preterm neonates

### **Interventions**

This is a secondary analysis of prospectively collected data from 12 NICUs (Università Federico II, Naples; Ospedale Pineta Grande, Castelvoturno; Ospedale Careggi, Università di Firenze, Florence; Ospedale Buzzi, Milan; Università di Milano, Fondazione Policlinico, Milan; Ospedale Salesi, Università Politecnica delle Marche, Ancona; Fondazione Policlinico Gemelli, Roma; Hospital Gregorio Marañón, Madrid; Hospital San Juan de Dio, Barcelona; Hospital Basurto, Bilbao; and, Hospital Puerta del Mar, Cadiz).

Two cohorts of preterm infants 250-336 weeks of gestational age (GA) were investigated after validating their homogeneity. Neonates were stratified by gestational age calculated from the first day of the last menstrual period: 25-27; 28-30; 31-33 weeks. Written parental consent was obtained and the study was approved by the Ethics Committee "Carlo Romano" at the Università Federico II di Napoli (prot # 1621/17). Formal approval was also obtained by the Ethics Committee of each participating center. The study was conducted in accordance with the TRIPOD statement guidelines.

Preterm neonates were stabilized after birth as per the individual NICU protocol. After CPAP stabilization and within 120 minutes of life, a local investigator who was not in charge of the patient recorded the quantity of respiratory support in cm H<sub>2</sub>O, her/his  $FiO_2$  and the post-natal

age. SpO<sub>2</sub> was recorded at the right hand by pulse oximetry, and it was maintained in the 90-95% range by adjusting FiO<sub>2</sub>. Natural surfactant (poractant alfa 200 mg/kg for the first dose and 100 mg/kg for the following doses, Chiesi Farmaceutici, Parma, Italy) was prescribed by an attending neonatologist, unaware of the purpose of the study, based on radiographic and clinical signs suggestive of respiratory distress syndrome (RDS) as per the individual NICU protocol. For each patient, the local investigator also recorded the subsequent respiratory support history, the clinical complications from birth to discharge/exitus, and the presence of BPD.

### **Intervention Type**

Other

### **Primary outcome(s)**

FiO<sub>2</sub> thresholds recorded within the first 3 hours of life as predictors of later surfactant administration in preterm neonates by a masked attending physician measured using a secondary analysis of prospectively collected data at one timepoint

### **Key secondary outcome(s)**

The following secondary outcome measures were measured using prospectively collected data at one timepoint:

1. Gestational age, birth weight, type of delivery, chorioamnionitis, maternal hypertension, PROM, IUGR, Apgar score at 5 min  $\leq 5$ , and antenatal steroids recorded within 120 minutes of life
2. First surfactant dose administered (number of infants)
3. Age at first surfactant dose (minutes)
4. FiO<sub>2</sub> at first surfactant dose
5. Death or BPD at NICU discharge

### **Completion date**

30/04/2023

## **Eligibility**

### **Key inclusion criteria**

Premature neonates with respiratory distress syndrome (RDS) enrolled at birth and before the administration of the first surfactant dose. RDS in the preterm infant was defined as the presence of intercostal and subcostal retractions with expiratory grunting shortly after birth in the presence of typical radiographic features.

### **Participant type(s)**

Patient

### **Healthy volunteers allowed**

No

### **Age group**

Neonate

### **Lower age limit**

1 days

**Upper age limit**

6 months

**Sex**

All

**Total final enrolment**

412

**Key exclusion criteria**

1. Infants who were intubated in the delivery room
2. Neonates with major congenital malformations

**Date of first enrolment**

01/05/2018

**Date of final enrolment**

31/10/2022

**Locations****Countries of recruitment**

Australia

Italy

Spain

**Study participating centre****Federico II University Hospital**

Division of Neonatology, Department of Translational Medical Sciences

Via Pansini 5

Naples

Italy

80131

**Study participating centre****NICU Casa di Cura Pineta Grande**

Via Domitiana

Castel Volturno (CE)

Italy

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**Study participating centre**

**Neonatology Division, Instituto de Investigación Sanitaria Hospital General Universitario Gregorio Marañón, Complutense University of Madrid**  
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**Study participating centre**  
**Neonatal Intensive Care Unit, Department of Paediatrics, Hospital Germans Triasi Pujol, Autonomous University of Barcelona**  
Passeig Sant Joan de Déu, 2  
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**Study participating centre**  
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**Study participating centre**  
**Department of Clinical Sciences and Community Health, Fondazione Istituto di Ricovero e Cura a Carattere Scientifico Cà Granda Ospedale Maggiore Policlinico, University of Milan**  
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**Study participating centre**  
**Women's and Children's Health Department, University of Padova**  
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**Study participating centre**  
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**Study participating centre**  
**Neonatal Intensive Care Unit, Puerta del Mar University**  
Avenida Ana de Viya 21  
Cadiz  
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**Study participating centre**  
**Neonatal Intensive Care Unit, Basurto University Hospital**  
Montevideo Etorb., 18,  
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**Study participating centre**  
**Newborn Research Centre, The Royal Women's Hospital, University of Melbourne**  
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Parkville. Victoria  
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3010

**Study participating centre**  
**TIN Ospedale Salesi, Università Politecnica delle Marche**  
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**Study participating centre**  
**TIN Fondazione Gemelli. Università Cattolica del Sacro Cuore**  
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**Sponsor information**

**Organisation**

University of Naples Federico II

**ROR**

<https://ror.org/05290cv24>

**Funder(s)****Funder type**

University/education

**Funder Name**

Università degli Studi di Napoli Federico II

**Alternative Name(s)**

University of Naples Federico II, University of Naples, Federico II University of Naples, Università di Napoli, Università di Napoli Federico II, UNINA

**Funding Body Type**

Government organisation

**Funding Body Subtype**

Universities (academic only)

**Location**

Italy

**Results and Publications****Individual participant data (IPD) sharing plan**

The dataset generated and /or analyzed during the current study will be available upon request from Prof Francesco Raimondi, [raimondi@unina.it](mailto:raimondi@unina.it). Anonymized data will be available for sharing for one year after the publication of the study.

**IPD sharing plan summary**

Available on request