

# Pragmatic evaluation of a quality improvement programme for people living with modifiable high-risk chronic obstructive pulmonary disease

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| <b>Submission date</b><br>08/10/2021   | <b>Recruitment status</b><br>No longer recruiting | <input checked="" type="checkbox"/> Prospectively registered<br><input checked="" type="checkbox"/> Protocol            |
| <b>Registration date</b><br>13/10/2021 | <b>Overall study status</b><br>Ongoing            | <input type="checkbox"/> Statistical analysis plan<br><input type="checkbox"/> Results                                  |
| <b>Last Edited</b><br>08/05/2025       | <b>Condition category</b><br>Respiratory          | <input type="checkbox"/> Individual participant data<br><input checked="" type="checkbox"/> Record updated in last year |

## Plain English summary of protocol

### Background and study aims

Chronic obstructive pulmonary disease (COPD) represents a major challenge to public health due to its increasing incidence and it is currently the third leading cause of death worldwide. The main symptoms of COPD are breathlessness, wheeze and chronic cough, for which patients receive medicinal and non-medicinal treatment. However, both underdiagnosis and misdiagnosis are problematic, with an estimated 60-70% of true COPD cases being undiagnosed, and symptoms commonly being incorrectly diagnosed as cardiac-related. COPD has a gradual onset over a number of years and most COPD cases are identified during an exacerbation or after significant loss of lung function. Timely diagnosis of COPD remains limited, due to various factors including patients not recognising or adapting to their symptoms. Similarly, sub-optimal treatment is also a barrier to patients receiving appropriate care, with about two-thirds of patients in large database studies not being prescribed maintenance therapy. Research from large population-based studies of patients in primary and secondary care have shown that patients with late diagnosis and suboptimal treatment of COPD have a greater future risk of exacerbations, faster worsening of lung function, greater risk of cardiovascular (relating to the heart and blood vessels) events, greater risk of death, and larger healthcare costs.

Patients with COPD often experience exacerbations (or flare-ups), when their symptoms worsen to the point where additional treatment is required. Exacerbations have a negative impact on quality of life, accelerate disease progression and can result in hospital admissions and death. A significant proportion of COPD patients with frequent exacerbations remain undertreated according to health quality standards in primary care. Reviewing electronic medical records (EMR) data could identify patients with modifiable, high-risk COPD, such as those experiencing frequent previous exacerbations and prescribed sub-optimal medication according to current guidelines. Patients with modifiable, high-risk COPD have scope to benefit from further clinical assessment and provision of appropriate medication and other treatments.

This study will assess the impact of a primary care quality improvement programme aiming to reduce COPD exacerbations and major adverse cardiac or respiratory events (MACREs) for modifiable high-risk COPD patients. The intervention is based on current guidelines and will support practices to identify suitable patients, assess the severity of disease and prescribe appropriate management and treatment. Comparing the effectiveness of the intervention

against usual care within a UK primary care setting will address current evidence gaps regarding the potential patient health benefits of earlier diagnosis and optimised management of modifiable high-risk patients.

Who can participate?

GP practices in England with a sufficient number of patients aged 40 years or older with COPD

What does the study involve?

This study aims to recruit 168 GP practices and collect anonymous data for about 2016 patients from their electronic medical records. Half of the participating practices are randomly allocated to receive a quality improvement intervention, aiming to identify patients at risk of COPD exacerbations whose current treatment may be optimised. The other half of the participating practices continue their usual clinical patient care. The study does not require patients to attend their GP practice outside of their normal care. Each practice takes part in the study for about three years and anonymous patient data is extracted from their electronic medical records. All data will be stored in an ethically approved database (Optimum Patient Care Research Database, <https://opcrd.co.uk>) and provided to the study team at the end of the study.

What are the possible benefits and risks of participating?

Practices in the intervention arm of the trial will be supported to offer guideline-recommended care to their patients with existing and newly identified COPD, including optimising treatment. Assuming treatment optimisation reduces future exacerbation risk, practices may see lower consultation rates amongst their COPD patients and lower medication costs due to patients having more stable symptom profiles. Fewer exacerbations would also protect against rapid decline in lung function, therefore minimising the proportion of COPD patients with severe, complex disease. Practices will be supported to identify potential undiagnosed COPD patients, thus improving diagnosis of hidden COPD. These patients can then receive appropriate treatment to improve their health.

Optimising treatment for already-diagnosed and newly identified modifiable high-risk COPD patients is expected to reduce future exacerbation risk and rate of lung function decline, and improve quality of life. In addition, patients will be referred to non-pharmacological treatment as appropriate, such as smoking cessation and pulmonary rehabilitation.

GP practices that were randomised to the control arm will receive the quality improvement programme at the end of the study.

Where is the study run from?

Observational and Pragmatic Research International Ltd (UK)

When is the study starting and how long is it expected to run for?

October 2021 to October 2026

Who is funding the study?

1. AstraZeneca (UK)
2. Optimum Patient Care Global Limited (UK)

Who is the main contact?

Andy Dickens  
[prevail@opri.org.uk](mailto:prevail@opri.org.uk)

## Contact information

**Type(s)**

Scientific

**Contact name**

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**Additional identifiers****Clinical Trials Information System (CTIS)**

Nil known

**Integrated Research Application System (IRAS)**

295908

**Protocol serial number**

OPRIUK-2003, IRAS 295908, CPMS 48750

**Study information****Scientific Title**

A 3-year cluster randomised controlled trial of the impact of a quality improvement and clinical decision support package versus usual care for patients with modifiable high-risk chronic obstructive pulmonary disease with or without a current diagnosis.

**Acronym**

PREVAIL

**Study objectives**

Can a quality improvement programme improve the treatment and clinical outcomes in people with Chronic Obstructive Pulmonary Disease (COPD)?

Patients whose COPD treatment and management could be optimised, or whose COPD is not recognised and diagnosed, suffer exacerbations of the disease, ongoing symptoms and reduced health-related quality of life. Clinical guidelines note the importance of diagnosing COPD and optimising treatment for those at high risk of future exacerbations. The study will focus on patients who have a high risk of future exacerbations and potential for increased COPD medication, referred to as modifiable 'high-risk' COPD.

**Ethics approval required**

Old ethics approval format

## **Ethics approval(s)**

Approved 24/11/2021, East Midlands - Derby Research Ethics Committee (The Old Chapel, Royal Standard Place, Nottingham, NG1 6FS, UK; +44 207 104 8276; derby.rec@hra.nhs.uk), ref: 21/EM/0252

## **Study design**

Multi-centre cluster randomized trial

## **Primary study design**

Interventional

## **Study type(s)**

Other

## **Health condition(s) or problem(s) studied**

Chronic Obstructive Pulmonary Disease (COPD)

## **Interventions**

Enrolled practices will be randomised in a 1:1 ratio (using permuted blocks of different sizes) to either the intervention or control arm of the trial. Practices in the control arm will continue their usual patient care during the trial, and will receive the intervention at the end of the study period.

Practices in the intervention arm will participate in a COPD quality improvement package, supporting healthcare professionals in primary care to diagnose, assess, and optimise the management of their patients with modifiable high-risk COPD, through the promotion of guideline-based care. Modifiable high-risk patients are those whose medical history of COPD exacerbations (flare-ups of disease), or history of smoking and respiratory symptoms and infections for those who do not have a COPD diagnosis, put them at a higher risk of exacerbations and cardiac or respiratory illness in the future. We describe this as a 'modifiable' risk because, with appropriate management, the risk may be modified and reduced.

The intervention consists of four main components: i) identification of patients with modifiable high-risk COPD, ii) assessment of disease/quantification of future risk, iii) pharmacological/non-pharmacological treatment, iv) clinical follow up. Each practice will receive support and resources to deliver the intervention, including: clinical support to undertake clinic assessments, a spirometry service for patient lung function testing, patient questionnaires exploring respiratory symptoms and health and, individualised patient quality improvement reports based on guidelines.

As a cluster randomised trial of a quality improvement intervention, patients will not be required to attend any research study visits; any consultations will be part of quality improvement activities undertaken by practices as part of guideline-recommended care and treatment. Participating practices in both the intervention and control arms will be required to contribute anonymised and de-identified data (i.e. data which does not identify any patients) to the Optimum Patient Care Research Database (OPCRD) as part of the quality improvement programme. The study is anticipated to start in 2021 and finish in 2026, with each practice spending approximately 3 years in the study.

## **Intervention Type**

Other

## Primary outcome(s)

1. The annual rate of moderate or severe exacerbations is measured using electronic medical records data (prescription of oral corticosteroids and/or antibiotics, or hospital visits/admissions following a respiratory event), at baseline, 12, 24 and 30 months. All outcome data will be analysed retrospectively at the end of the trial.
2. The annual rate of major cardiac or respiratory events is measured using electronic medical records data (new diagnosis/hospitalisation for heart failure, coronary artery revascularization, myocardial infarction, stroke, cardiac/respiratory death, respiratory hospitalisation), at baseline, 12, 24 and 30 months. All outcome data will be analysed retrospectively at the end of the trial.

## Key secondary outcome(s)

Total annual systemic corticosteroid exposure is measured using electronic medical records data (average annual dose of prednisolone taken via any systemic route), at baseline, 12, 24 and 30 months. All outcome data will be analysed retrospectively at the end of the trial.

## Completion date

01/10/2026

# Eligibility

## Key inclusion criteria

Criteria for participants (primary care practices):

1. Primary care (GP) practice in England
2. Has a minimum of 12 modifiable "high-risk" COPD patients

Modifiable high-risk patients suitable for quality improvement programme:

Diagnosed COPD at baseline, i.e., "already-diagnosed":

1. Diagnosed with COPD at trial baseline
2. Aged 40 years or older at trial baseline
3. In baseline period: two or more moderate, or one or more severe, exacerbations in the 24 months preceding randomization, including at least one exacerbation in the last 12 months
4. Therapy at baseline: no therapy, on a short-acting bronchodilator (SABA, SAMA or SABA-SAMA), on monotherapy with a long-acting  $\beta$ 2 agonist (LABA), long-acting muscarinic antagonist (LAMA) or inhaled corticosteroid (ICS), or on dual therapy with LAMA-LABA or ICS-LABA

Undiagnosed patients with potential modifiable high-risk COPD:

1. No diagnosis of COPD at trial baseline
2. Aged 40 years or older by trial baseline
3. Current smoker, or ex-smoker with a significant smoking history (10+ years smoking duration or 10+ pack-years)
4. In baseline period: two or more moderate, or one or more severe exacerbations of potential COPD in the 24 months preceding randomization, including at least one exacerbation in the last 12 months
5. Therapy at baseline: no therapy, on a short-acting bronchodilator (SABA, SAMA or SABA-SAMA), on monotherapy with a long-acting  $\beta$ 2 agonist (LABA), long-acting muscarinic antagonist (LAMA) or inhaled corticosteroid (ICS), or on dual therapy with LAMA-LABA or ICS-LABA

## Participant type(s)

Patient

## Healthy volunteers allowed

No

## Age group

Adult

## Sex

All

## Key exclusion criteria

Criteria for participants (primary care practices):

1. Practices that are in the process of, or planning to change the electronic medical records system (also called GP clinical system) or practice ownership within the study period
2. Practices engaged in active research studies or COPD related Quality Improvement Programs which might impact the ability to implement the quality improvement programme (intervention)

Modifiable high-risk patients (diagnosed and undiagnosed COPD) not suitable for quality improvement programme:

1. Patients with a 'consent refusal' code in electronic medical records indicating opt-out for the usage of their data for research
2. Women who are pregnant at randomization or who become pregnant
3. Patients with a current asthma diagnosis and evidence of an asthma consultation in the last 24 months (applies to undiagnosed COPD only)

## Date of first enrolment

07/03/2022

## Date of final enrolment

31/10/2022

## Locations

### Countries of recruitment

United Kingdom

England

### Study participating centre

**Observational and Pragmatic Research International Ltd**

Stubbs House

Stubbs Green

Loddon

Norwich

United Kingdom

NR14 6EA

## Sponsor information

**Organisation**

Observational & Pragmatic Research Institute

**ROR**

<https://ror.org/02gq3ch54>

**Funder(s)****Funder type**

Industry

**Funder Name**

AstraZeneca

**Alternative Name(s)**

AstraZeneca PLC, Pearl Therapeutics, AZ

**Funding Body Type**

Government organisation

**Funding Body Subtype**

For-profit companies (industry)

**Location**

United Kingdom

**Funder Name**

Optimum Patient Care

**Alternative Name(s)**

Optimum Patient Care Ltd, Optimum Patient Care Limited, OPC

**Funding Body Type**

Private sector organisation

**Funding Body Subtype**

Other non-profit organizations

**Location**

United Kingdom

# Results and Publications

## Individual participant data (IPD) sharing plan

The datasets generated and/or analysed during the current study are not expected to be made available due to restrictions on the use of the Optimum Patient Care Research Database (study data source), as outlined within the legally binding data-sharing agreement with the study sponsor. Individual requests for dataset access may be made available for approved researchers on specific requests to the steering committee and with the written approval for data sharing by the ADEPT committee (governing body of OPCR).

## IPD sharing plan summary

Not expected to be made available

## Study outputs

| Output type                          | Details       | Date created | Date added | Peer reviewed? | Patient-facing? |
|--------------------------------------|---------------|--------------|------------|----------------|-----------------|
| <a href="#">Protocol article</a>     |               | 25/04/2025   | 01/05/2025 | Yes            | No              |
| <a href="#">HRA research summary</a> |               |              | 26/07/2023 | No             | No              |
| <a href="#">Study website</a>        | Study website | 11/11/2025   | 11/11/2025 | No             | Yes             |