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# Neck stabilization using the PERLA® Occipital fixation system

**Submission date**
23/11/2022

**Recruitment status**
No longer recruiting

**Registration date**
15/12/2022

**Overall study status**
Completed

**Last Edited**
11/04/2025

**Condition category**
Musculoskeletal Diseases

[X] Prospectively registered
[ ] Protocol
[ ] Statistical analysis plan
[ ] Results
[ ] Individual participant data
[ ] Record updated in last year

## Plain English summary of protocol

Background and study aims
This is a post-market study to confirm the performance and safety of the PERLA Occipital-Cervical-Thoracic system in the treatment of head-neck instability due that can be due to trauma, autoimmune disease, oncologic (cancer) or congenital (present from birth) reasons. This treatment results in severe restriction of head movement after surgery. Therefore, this method should be restricted to patients with head-neck instability as a last resort.
The medical devices to stabilise the head-neck junction are composed of occipital plates, rods, and a screw system such as the PERLA Occipital-Cervical-Thoracic system.
Head-neck fixation is the treatment of choice in most cases of traumatic occipito-cervical dislocation. It is recommended in the most recent guidelines from the American Association of Neurological Surgeons (AANS)/Congress of Neurological Surgeons (CNS) Joint Guidelines Committee.

Who can participate?
Patients who received the PERLA Occipital plate at the participating centers

What does the study involve?
The site will review the medical charts of patients who received the investigated device. Those patients who are eligible for the study will be contacted to invite them to participate in the study. After reading the information letter, the patients should let their surgeon know if they agree/consent before any data collection. Clinical and x-ray data will be collected for preoperative, surgical, and postoperative clinical visits performed as the site standard of care.

What are the possible benefit and risks of participating?
As a retrospective study of real-world evidence data collection, there are no direct benefits or risks.

Where is the study run from?
Three hospitals or clinic in France, Germany and Austria

When is the study starting and how long is it expected to run for?
June 2022 to July 2024

Who is funding the study?
Spineart (Switzerland)

Who is the main contact?
clinic@spineart.com

# Contact information

### Type(s)
Scientific

### Contact name
Mrs Dervilla Bermingham

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# Additional identifiers

### Protocol serial number
P69_CLD002

# Study information

### Scientific Title
Occipitocervical spine stabilization using PERLA® posterior occipito-cervico-thoracic fixation. A post-market clinical follow-up study

### Acronym
PERLA Occipital plate

### Study objectives
N/A. Non-probability sample size, due to the very low number of patients treated. The study is to confirm the performance and safety of the Perla Occipital plate for the treatment of instabilities in the craniocervical junction by collecting real-world evidence.

### Ethics approval required
Old ethics approval format

### Ethics approval(s)
1. France: Since it’s a fully retrospective study, only a registration on the Health Data Hub platform is needed; no contact details, website: https://www.health-data-hub.fr/projets; submission date 26/10/2022, ref: F20221026145427
2. Germany: Approved 20/03/2023, Ethik-Kommission der Bayerischen Landesärztekammer (EK

der BLÄK, Mühlbaurstraße 16, 81677 München, Germany; +49 (0)89 4147 283; ethikkommission@blaek.de), ref: 22126
3. Austria: Approved 15/03/2024, Medizinische Universität Graz (Neue Stiftingtalstr.6, West, Q /04, 8010 Graz, Austria; +43 (0)316 385 13928; ethikkommission@medunigraz.at), ref: 36-121 ex 23/24

## Study design
Real-world evidence retrospective multicentric international single-arm post-market clinical follow-up study

## Primary study design
Observational

## Study type(s)
Treatment

## Health condition(s) or problem(s) studied
Acute or chronic cranio-cervical junction instability due to, but not limited to: Trauma: Atlanto-occipital dislocation, occipital condyle fracture, atlas, and axis fractures; Inflammation /autoimmune disease: Rheumatoid arthritis, psoriatic arthritis, ankylosing spondylitis, inflammatory bowel disease-associated arthropathy, tuberculosis, osteomyelitis; Neoplasm: Metastasis, chordoma, Ewing tumor, osteoblastoma, osteochondroma, hemangioma, aneurysmal bone cyst; Congenital: Chiari malformation with basilar invagination, Down's syndrome, Klippel–Feil syndrome, Morquio's syndrome, os odontoideum; Iatrogenic: After odontoidectomy, failed previous attempts at C1–C2 fusion, after the far lateral approach with occipital condyle resection.

## Interventions
Any patient who has received a Perla Occipital system in the participating center will be asked for the data collection of their retrospective data.

## Intervention Type
Device

## Phase
Phase IV

## Drug/device/biological/vaccine name(s)
Perla® Occipital System

## Primary outcome(s)
1. Spinal stability assessed by measuring the COBB angle on radiological images. The stability will be achieved by a maximum change of 5° of the COBB angle of the first postoperative follow-up (up to 4 months) interim (4-10 months) and last follow-up (after 10 months) radiological exams compared to the immediate postoperative radiological exams
2. Fusion (solid, fibrous, non-union) assessed with radiological images at interim postoperative follow-up (4-10 months) and last follow-up (after 10 months)
3. Clinical and neurological assessment will be evaluated with the Modified Japanese Orthopaedic Association (mJOA) scale. The mJOA scores at immediate postoperation, first follow-up (up to 4 months) interim (4-10 months) and last follow up (after 10 months) will be compared to the pre-operative score. Additionally, score groups analysis over time will be

assessed (scores 0-11; 12-14; 15-18).
4. Absence of adjacent segment disease will be assessed with clinical and radiological assessments at interim postoperative follow-up (4-10 months) and last follow-up (after 10 months)
5. Safety evaluation: The incidence of AEs related to the device and/or procedure will be recorded from the surgery through the last post-operative follow-up visit. All perioperative and postoperative adverse device effects (ADEs) (including serious adverse device effects [SADEs] and unanticipated serious adverse device effects [USADEs]) will be assessed for the relationship to device and procedure, seriousness, incidence, and time to resolution or re-operation. The incidence of re-intervention at the index level will be assessed. The incidence of device deficiencies that might have led to a SADE will be recorded from the surgery through the last post-operative follow-up visit.

### Key secondary outcome(s))
There are no secondary outcome measures

### Completion date
03/07/2024

## Eligibility

### Key inclusion criteria
1. Patients who received the PERLA® Occipital system to treat a cranio-cervical instability of any cause and indicated for occipito-cervical fusion
2. Non-opposition/consent for retrospective data collection

### Participant type(s)
Patient

### Healthy volunteers allowed
No

### Age group
Adult

### Sex
All

### Total final enrolment
15

### Key exclusion criteria
Current exclusion criteria as of 19/02/2024:
Does not meet the inclusion criteria.

Previous exclusion criteria:
Less than 6 months of post-operative follow-up

### Date of first enrolment
09/03/2023

**Date of final enrolment**
26/05/2024

# Locations

**Countries of recruitment**
Austria

France

Germany

**Study participating centre**
**HIA Sainte Anne - Toulon**
2 Bd Sainte-Anne
Toulon
France
83000

**Study participating centre**
**Klinik für Orthopädie, Unfallchirurgie und Wirbelsäulentherapie Donau-Ries Klinik Donauwörth**
Neudegger Allee 6
Donauwörth
Germany
86609

**Study participating centre**
**Landeskrankenhaus Hochsteiermark, Standort Bruck**
Tragösser Str. 1
Bruck an der Mur
Austria
8600

# Sponsor information

**Organisation**
Spineart (Switzerland)

**ROR**
https://ror.org/05sz2c652

# Funder(s)

**Funder type**
Industry

**Funder Name**
Spineart SA

# Results and Publications

**Individual participant data (IPD) sharing plan**
The dataset generated and analysed during the current study will be available upon request from clinic@spineart.com

**IPD sharing plan summary**
Available on request

**Study outputs**

| Output type | Details | Date created | Date added | Peer reviewed? | Patient-facing? |
|---|---|---|---|---|---|
| Study website | Study website | 11/11/2025 | 11/11/2025 | No | Yes |